

## Quantitative estimates for interpolated operators by multidimensional methods.

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### Abstract

We describe the behaviour of ideal variations under interpolation methods associated to polygons.

## 0 Introduction

The behaviour of weakly compact operators under interpolation methods for  $N$ -tuples defined by means of polygons has been considered by Cobos, Fernández-Martínez and Martínez [5] and by Carro and Nikolova [4]. Among other things, they showed that the interpolated operator acting between two  $K$ -spaces or two  $J$ -spaces is weakly compact provided that all but two restrictions of  $T$  (located in adjacent vertices of the polygon) are weakly compact. Moreover, a similar result holds for other operator ideals sharing certain properties with weakly compact operators (see [5], Remark 2.9).

In this paper we investigate how far the interpolated operator can be from being weakly compact. In a more general way, we estimate the distance of the interpolated operator to a given operator ideal. In the case of the classical real method for Banach couples, this question has been recently studied by Cobos, Manzano and Martínez [9] and Cobos

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and Martínez [10], [11], where they have established estimates for the measures  $\gamma_{\mathcal{I}}$ ,  $\beta_{\mathcal{I}}$  related to a given operator ideal  $\mathcal{I}$ . We consider here similar questions in the multidimensional context of interpolation spaces associated to polygons. Our techniques use some ideas introduced in [9] combined with the geometrical elements which are natural to the interpolation methods that we deal with.

We start by reviewing in Section 1 some basic facts on ideal variations and on  $J$ - and  $K$ -methods associated to polygons. Then, in Section 2, we establish estimates for  $\gamma_{\mathcal{I}}$  and  $\beta_{\mathcal{I}}$  when one of the  $N$ -tuples of Banach spaces degenerates into a single space. Finally, in Section 3, we deal with the case of general  $N$ -tuples assuming that the operator ideal  $\mathcal{I}$  satisfies the  $\Sigma_q$ -condition (see [14]).

## 1 Preliminaries

Let  $A$  and  $B$  be Banach spaces. By  $\mathcal{L}(A, B)$  we denote the collection of all bounded linear operators from  $A$  into  $B$ , endowed with the usual operator norm. The closed unit ball of  $A$  is designated by  $U_A$ , and  $A^*$  stands for the dual of  $A$ . We put  $\ell_1(U_A)$  for the Banach space of all absolutely summable families of scalars  $(\lambda_a)_{a \in U_A}$  with  $U_A$  as index set. The map  $Q_A : \ell_1(U_A) \rightarrow A$  defined by  $Q_A(\lambda_a) = \sum_{a \in U_A} \lambda_a a$  is a metric surjection. The space  $\ell_\infty(U_{B^*})$  is formed by all bounded families of scalars indexed by the elements of  $U_{B^*}$ . Write  $J_B : B \rightarrow \ell_\infty(U_{B^*})$  for the isometric embedding given by  $J_B b = ((f, b))_{f \in U_{B^*}}$ .

A class  $\mathcal{I}$  of bounded linear operators is said to be an operator ideal if each component  $\mathcal{I} \cap \mathcal{L}(A, B) = \mathcal{I}(A, B)$  is a linear subspace of  $\mathcal{L}(A, B)$  that contains the finite rank operators and satisfies that  $STR \in \mathcal{I}(E, F)$  whenever  $R \in \mathcal{L}(E, A)$ ,  $T \in \mathcal{I}(A, B)$  and  $S \in \mathcal{L}(B, F)$ . The ideal  $\mathcal{I}$  is called closed if each component  $\mathcal{I}(A, B)$  is closed in  $\mathcal{L}(A, B)$ . The ideal  $\mathcal{I}$  is said to be surjective if for every  $T \in \mathcal{L}(A, B)$  it follows from  $TQ_A \in \mathcal{I}(\ell_1(U_A), B)$  that  $T \in \mathcal{I}(A, B)$ . The ideal  $\mathcal{I}$  is called injective if for every  $T \in \mathcal{L}(A, B)$  it follows from  $J_B T \in \mathcal{I}(A, \ell_\infty(U_{B^*}))$  that  $T \in \mathcal{I}(A, B)$ . Compact operators  $\mathcal{K}$  or weakly compact operators  $\mathcal{W}$  are examples of closed injective and surjective operator ideals. Strictly singular operators  $\mathcal{S}$  is an ideal which is closed and injective but it is not surjective, while strictly cosingular operators  $\mathcal{C}$  is closed and surjective but it is not injective (see [17]).

Given an operator ideal  $\mathcal{I}$ , we put  $\bar{\mathcal{I}}^s$  for its closed surjective hull, that is, the smallest closed surjective operator ideal containing  $\mathcal{I}$ . For  $T \in \mathcal{L}(A, B)$ , it turns out that  $T$  belongs to  $\bar{\mathcal{I}}^s(A, B)$  if and only if for every  $\varepsilon > 0$  there is a Banach space  $E$  and an operator  $R \in \mathcal{I}(E, B)$  such that

$$T(U_A) \subseteq R(U_E) + \varepsilon U_B \quad (\text{see [15]}).$$

The characterization for the elements of the closed injective hull  $\bar{\mathcal{I}}^i$  of  $\mathcal{I}$  is as follows: Let  $T \in \mathcal{L}(A, B)$ . The operator  $T$  belongs to  $\bar{\mathcal{I}}^i(A, B)$  if and only if for every  $\varepsilon > 0$  there is a Banach space  $F$  and an operator  $S \in \mathcal{I}(A, F)$  such that

$$\|Tx\|_B \leq \|Sx\|_F + \varepsilon \|x\|_A, \quad x \in A.$$

It is natural then to associate with  $\mathcal{I}$  the functionals defined for each  $T \in \mathcal{L}(A, B)$  by

$$\begin{aligned} \gamma_{\mathcal{I}}(T) = \gamma_{\mathcal{I}}(T_{A,B}) &= \inf\{\sigma > 0 : T(U_A) \subseteq \sigma U_B + R(U_E), \\ &R \in \mathcal{I}(E, B), E \text{ any Banach space}\}, \end{aligned}$$

$$\begin{aligned} \beta_{\mathcal{I}}(T) = \beta_{\mathcal{I}}(T_{A,B}) &= \inf\{\sigma > 0 : \text{there is a Banach space } F \text{ and} \\ &S \in \mathcal{I}(A, F) \text{ such that } \|Tx\|_B \leq \sigma \|x\|_A + \|Sx\|_F, x \in A\}. \end{aligned}$$

The (outer) measure  $\gamma_{\mathcal{I}}$  was introduced by Astala in [1], and it shows the deviation of  $T$  from  $\bar{\mathcal{I}}^s$  in the sense that

$$\gamma_{\mathcal{I}}(T) = 0 \text{ if and only if } T \in \bar{\mathcal{I}}^s(A, B).$$

The (inner) measure  $\beta_{\mathcal{I}}$  was introduced by Tylli in [19] and it gives the deviation of  $T$  from  $\bar{\mathcal{I}}^i$ . These functionals are subadditive

$$\gamma_{\mathcal{I}}(S + T) \leq \gamma_{\mathcal{I}}(S) + \gamma_{\mathcal{I}}(T) \quad , \quad \beta_{\mathcal{I}}(S + T) \leq \beta_{\mathcal{I}}(S) + \beta_{\mathcal{I}}(T)$$

submultiplicative

$$\gamma_{\mathcal{I}}(ST) \leq \gamma_{\mathcal{I}}(S)\gamma_{\mathcal{I}}(T) \quad , \quad \beta_{\mathcal{I}}(ST) \leq \beta_{\mathcal{I}}(S)\beta_{\mathcal{I}}(T)$$

satisfy that

$$\max\{\gamma_{\mathcal{I}}(T), \beta_{\mathcal{I}}(T)\} \leq \|T\|$$

and moreover the following minimal properties hold

$$\gamma_{\mathcal{I}}(J_B T) = \min\{\gamma_{\mathcal{I}}(jT) : j : B \longrightarrow F \text{ isometric embedding}\} \quad (1)$$

$$\beta_{\mathcal{I}}(TQ_A) = \min\{\beta_{\mathcal{I}}(T\pi) : \pi : E \longrightarrow A \text{ metric surjection}\} \quad (2)$$

(see [1], pag. 21 and [9], § 2 ).

Let us see now some concrete cases. Choose  $\mathcal{I} = \mathcal{K}$ , the ideal of compact operators, so  $\bar{\mathcal{K}}^i = \bar{\mathcal{K}}^s = \mathcal{K}$ . It can be checked that  $\gamma_{\mathcal{K}}(T)$  coincides with the (ball) measure of non-compactness of  $T$

$$\gamma_{\mathcal{K}}(T) = \inf\{\sigma > 0 : \text{there exists a finite number of elements } b_1, \dots, b_k \in B \text{ such that } T(U_A) \subseteq \bigcup_{j=1}^k \{b_j + \sigma U_B\}\}$$

while  $\beta_{\mathcal{K}}(T) = \lim_{n \rightarrow \infty} c_n(T)$ , where  $(c_n(T))$  is the sequence of the Gelfand numbers of  $T$ . The measures  $\gamma_{\mathcal{K}}$  and  $\beta_{\mathcal{K}}$  are equivalent. More precisely

$$\frac{1}{2}\gamma_{\mathcal{K}}(T) \leq \beta_{\mathcal{K}}(T) \leq 2\gamma_{\mathcal{K}}(T) \quad (\text{see [16]}).$$

Take next  $\mathcal{I} = \mathcal{W}$ , the ideal of weakly compact operators. Again  $\bar{\mathcal{W}}^i = \bar{\mathcal{W}}^s = \mathcal{W}$ . The measure  $\gamma_{\mathcal{W}}(T)$  is equal to the measure of weak non-compactness introduced by De Blasi [13]

$$\gamma_{\mathcal{W}}(T) = \inf\{\sigma > 0 : \text{there is a weakly compact set } W \text{ in } B \text{ such that } T(U_A) \subseteq W + \sigma U_B\}.$$

As in the previous example,  $\beta_{\mathcal{W}}(T) = \gamma_{\mathcal{W}}(T^*)$ , but this time  $\gamma_{\mathcal{W}}$  and  $\beta_{\mathcal{W}}$  are not equivalent (see [2]).

For  $\mathcal{I} = \mathcal{S}$ , the ideal of strictly singular operators, one has  $\bar{\mathcal{S}}^i = \mathcal{S}$  and  $\bar{\mathcal{S}}^s = \mathcal{R}$ , where  $\mathcal{R}$  stands for the ideal of Rosenthal operators (see [17]). The functional  $\beta_{\mathcal{S}}$  is the relevant one to show the deviation of an operator from being strictly singular, while  $\gamma_{\mathcal{S}} = \gamma_{\mathcal{R}}$  gives the deviation of an operator from being Rosenthal.

Cosingular operators  $\mathcal{C}$  satisfy that  $\bar{\mathcal{C}}^s = \mathcal{C}$  and  $\bar{\mathcal{C}}^i = \mathcal{R}$ . The relevant functional to work with  $\mathcal{C}$  is then  $\gamma_{\mathcal{C}}$ .

Next we review the definition and some basic results on interpolation methods defined by means of polygons.

Let  $\Pi = \overline{P_1 \dots P_N}$  be a convex polygon in the plane  $\mathbb{R}^2$ , with vertices  $P_j = (x_j, y_j)$ ,  $j = 1, \dots, N$ . By a Banach  $N$ -tuple we mean a family  $\bar{A} =$

$\{A_1, \dots, A_N\}$  of  $N$  Banach spaces  $A_j$  which are continuously embedded in a common Hausdorff topological space. It will be useful to imagine each space  $A_j$  as sitting in the vertex  $P_j$ .

By means of the polygon  $\Pi$ , we define the following family of norms on  $\Sigma(\bar{A}) = A_1 + \dots + A_N$

$$K(t, s; a) = \inf \left\{ \sum_{j=1}^N t^{x_j} s^{y_j} \|a_j\|_{A_j} : a = \sum_{j=1}^N a_j, a_j \in A_j \right\}, \quad t, s > 0.$$

The corresponding family of norms on  $\Delta(\bar{A}) = A_1 \cap \dots \cap A_N$  is

$$J(t, s; a) = \max_{1 \leq j \leq N} \{t^{x_j} s^{y_j} \|a\|_{A_j}\}, \quad t, s > 0.$$

Given any interior point  $(\alpha, \beta)$  of  $\Pi$  [ $(\alpha, \beta) \in \text{Int } \Pi$ ] and any  $1 \leq q \leq \infty$ , the  $K$ -space  $\bar{A}_{(\alpha, \beta), q; K}$  consists of all  $a$  in  $\Sigma(\bar{A})$  which have a finite norm

$$\|a\|_{(\alpha, \beta), q; K} = \left( \sum_{(m, n) \in \mathbf{Z}^2} \left( 2^{-\alpha m - \beta n} K(2^m, 2^n; a) \right)^q \right)^{\frac{1}{q}} \quad (\text{if } q < \infty)$$

$$\|a\|_{(\alpha, \beta), \infty; K} = \sup_{(m, n) \in \mathbf{Z}^2} \left\{ 2^{-\alpha m - \beta n} K(2^m, 2^n; a) \right\}.$$

The  $J$ -space  $\bar{A}_{(\alpha, \beta), q; J}$  is formed by all those elements  $a$  in  $\Sigma(\bar{A})$  which can be represented as

$$a = \sum_{(m, n) \in \mathbf{Z}^2} u_{m, n} \quad (\text{convergence in } \Sigma(\bar{A}))$$

with  $u_{m, n} \in \Delta(\bar{A})$  and

$$\left( \sum_{(m, n) \in \mathbf{Z}^2} \left( 2^{-\alpha m - \beta n} J(2^m, 2^n; u_{m, n}) \right)^q \right)^{\frac{1}{q}} < \infty$$

(the sum should be replaced by the supremum if  $q = \infty$ ). The norm in  $\bar{A}_{(\alpha, \beta), q; J}$  is

$$\|a\|_{(\alpha, \beta), q; J} = \inf \left\{ \left( \sum_{(m, n) \in \mathbf{Z}^2} \left( 2^{-\alpha m - \beta n} J(2^m, 2^n; u_{m, n}) \right)^q \right)^{\frac{1}{q}} \right\}$$

where the infimum is taken over all representations  $(u_{m,n})$  of  $a$  as above.

These interpolation spaces were introduced by Cobos and Peetre in [12]. One can find there continuous characterizations of  $\bar{A}_{(\alpha,\beta),q;K}$  and  $\bar{A}_{(\alpha,\beta),q;J}$ , using integrals instead of sums, but they will not be required here. An important difference with the classical real method for couples, where  $K$ - and  $J$ -spaces coincide to within equivalence of norms (see [3] and [18]), is that in general  $\bar{A}_{(\alpha,\beta),q;K} \neq \bar{A}_{(\alpha,\beta),q;J}$ . We only have now that  $\bar{A}_{(\alpha,\beta),q;J}$  is continuously embedded in  $\bar{A}_{(\alpha,\beta),q;K}$  (see [12], Thm. 1.3).

Let  $\bar{B} = \{B_1, \dots, B_N\}$  be another Banach  $N$ -tuple which we also imagine as sitting on the vertices of another copy of the polygon  $\Pi$ . By  $T \in \mathcal{L}(\bar{A}, \bar{B})$  we mean a linear operator from  $\Sigma(\bar{A})$  into  $\Sigma(\bar{B})$  whose restriction to each  $A_j$  defines a bounded operator from  $A_j$  into  $B_j$ ,  $j = 1, \dots, N$ . Let  $M_j = \|T\|_{A_j, B_j}$ .

If  $T \in \mathcal{L}(\bar{A}, \bar{B})$ , then the restriction of  $T$  to  $\bar{A}_{(\alpha,\beta),q;K}$  gives a bounded linear operator  $T : \bar{A}_{(\alpha,\beta),q;K} \rightarrow \bar{B}_{(\alpha,\beta),q;K}$ . The norm of this interpolated operator has been computed in [8], Thm. 1.9. It turns out that

$$\|T\|_{\bar{A}_{(\alpha,\beta),q;K}, \bar{B}_{(\alpha,\beta),q;K}} \leq C_1 \max \{M_i^{c_i} M_k^{c_k} M_r^{c_r} : \{i, k, r\} \in \mathcal{P}\}. \quad (3)$$

Here  $C_1$  is a constant depending only on  $\Pi$  and  $(\alpha, \beta)$ ,  $\mathcal{P}$  stands for the set of all those triples  $\{i, k, r\}$  such that  $(\alpha, \beta)$  belongs to the triangle with vertices  $P_i, P_k, P_r$ , and  $(c_i, c_k, c_r)$  are the barycentric coordinates of  $(\alpha, \beta)$  with respect to  $P_i, P_k, P_r$ . A similar estimate holds for  $J$ -spaces.

When the interpolated operator is considered from a  $J$ -space into a  $K$ -space then a better estimate is valid. Namely

$$\|T\|_{\bar{A}_{(\alpha,\beta),q;J}, \bar{B}_{(\alpha,\beta),q;K}} \leq C_2 \prod_{j=1}^N M_j^{\theta_j}. \quad (4)$$

Here  $0 < \theta_1, \dots, \theta_N < 1$  with  $\sum_{j=1}^N \theta_j = 1$  and  $\sum_{j=1}^N \theta_j P_j = (\alpha, \beta)$  (that is,  $\bar{\theta} = (\theta_1, \dots, \theta_N)$  are some barycentric coordinates of  $(\alpha, \beta)$  with respect to the vertices  $P_1, \dots, P_N$ ), and  $C_2$  is a constant depending only on  $\bar{\theta}$  (see [8], Thm. 3.2).

Estimate (1.4) implies that

$$\|a\|_{(\alpha,\beta),q;K} \leq C_3 \prod_{j=1}^N \|a\|_{A_j}^{\theta_j}, \quad a \in \Delta(\bar{A}). \quad (5)$$

On the other hand, inequality (1.3) in the case of  $J$ -spaces yields that

$$\|a\|_{(\alpha,\beta),q;J} \leq C_4 \max \left\{ \|a\|_{\bar{A}_i}^{c_i}, \|a\|_{\bar{A}_k}^{c_k}, \|a\|_{\bar{A}_r}^{c_r} : \{i, k, r\} \in \mathcal{P} \right\}, a \in \Delta(\bar{A}). \quad (6)$$

## 2 Estimates for degenerated cases

The following result describes the behaviour of the ideal variations when one of the  $N$ -tuples reduces to a single Banach space.

**Theorem 2.1.** *Let  $\mathcal{I}$  be an operator ideal, let  $\Pi = \overline{P_1 \dots P_N}$  be a convex polygon with vertices  $P_j = (x_j, y_j)$ , let  $(\alpha, \beta) \in \text{Int } \Pi$  and  $1 \leq q \leq \infty$ . Define  $\mathcal{P}$  and  $\bar{\theta} = (\theta_1, \dots, \theta_N)$  as before. Assume that  $\bar{A} = \{A_1, \dots, A_N\}$  is a Banach  $N$ -tuple and that  $B$  is a Banach space.*

*If  $T \in \mathcal{L}(\Sigma(\bar{A}), B)$  then*

$$\begin{aligned} \text{a) } & \gamma_{\mathcal{I}}(T_{\bar{A}_{(\alpha,\beta),q;K},B}) \\ & \leq D_1 \max \{ \gamma_{\mathcal{I}}(T_{A_i,B})^{c_i} \gamma_{\mathcal{I}}(T_{A_k,B})^{c_k} \gamma_{\mathcal{I}}(T_{A_r,B})^{c_r} : \{i, k, r\} \in \mathcal{P} \}. \\ \text{b) } & \gamma_{\mathcal{I}}(T_{\bar{A}_{(\alpha,\beta),q;J},B}) \leq D_2 \prod_{j=1}^N \gamma_{\mathcal{I}}(T_{A_j,B})^{\theta_j}. \end{aligned}$$

*If  $T \in \mathcal{L}(B, \Delta(\bar{A}))$  then*

$$\begin{aligned} \text{c) } & \beta_{\mathcal{I}}(T_{B,\bar{A}_{(\alpha,\beta),q;J}}) \\ & \leq D_3 \max \{ \beta_{\mathcal{I}}(T_{B,A_i})^{c_i} \beta_{\mathcal{I}}(T_{B,A_k})^{c_k} \beta_{\mathcal{I}}(T_{B,A_r})^{c_r} : \{i, k, r\} \in \mathcal{P} \}. \\ \text{d) } & \beta_{\mathcal{I}}(T_{B,\bar{A}_{(\alpha,\beta),q;K}}) \leq D_4 \prod_{j=1}^N \beta_{\mathcal{I}}(T_{B,A_j})^{\theta_j}. \end{aligned}$$

Here  $D_1$  and  $D_3$  are constants depending only on  $\Pi$  and  $(\alpha, \beta)$ , while  $D_2$  and  $D_4$  are other constants that only depend on  $\bar{\theta}$ .

**Proof.** Since  $\bar{A}_{(\alpha,\beta),q;K} \hookrightarrow \bar{A}_{(\alpha,\beta),\infty;K}$  with norm less than or equal to 1, in order to establish a) it is enough to consider the case  $q = \infty$ . Observe that there is a constant  $C$ , depending only on  $\Pi$  and  $(\alpha, \beta)$ , such that

$$\sup_{t,s>0} \left\{ t^{-\alpha} s^{-\beta} K(t, s; a) \right\} \leq C \|a\|_{(\alpha,\beta),\infty;K}, \quad a \in \bar{A}_{(\alpha,\beta),\infty;K}.$$

Hence, given any  $\varepsilon, t, s > 0$  and  $a \in U_{\bar{A}(\alpha, \beta), \infty, K}$ , we can find a decomposition  $a = \sum_{j=1}^N a_j$  with  $a_j \in A_j$  and  $\|a_j\|_{A_j} \leq (1 + \varepsilon)Ct^{\alpha-x_j}s^{\beta-y_j}$ ,  $1 \leq j \leq N$ . So

$$U_{\bar{A}(\alpha, \beta), \infty, K} \subseteq \sum_{j=1}^N (1 + \varepsilon)Ct^{\alpha-x_j}s^{\beta-y_j}U_{A_j}.$$

Let  $\sigma_j > \gamma_{\mathcal{I}}(T_{A_j, B})$ . According to the definition of  $\gamma_{\mathcal{I}}$ , there exists a Banach space  $E_j$  and an operator  $R_j \in \mathcal{I}(E_j, B)$  so that

$$T(U_{A_j}) \subseteq \sigma_j U_B + R_j(U_{E_j}), \quad 1 \leq j \leq N.$$

Therefore

$$T(U_{\bar{A}(\alpha, \beta), \infty, K})$$

$$\subseteq \sum_{j=1}^N (1 + \varepsilon)C\sigma_j t^{\alpha-x_j}s^{\beta-y_j}U_B + \sum_{j=1}^N (1 + \varepsilon)Ct^{\alpha-x_j}s^{\beta-y_j}R_j(U_{E_j})$$

$$\subseteq (1 + \varepsilon)C \left( \sum_{j=1}^N t^{\alpha-x_j}s^{\beta-y_j}\sigma_j \right) U_B + R_{\varepsilon, t, s}(U_E).$$

Here  $E = \{(z_1, \dots, z_N) : z_j \in E_j\}$  normed by  $\|(z_1, \dots, z_N)\|_E = \max\{\|z_j\|_{E_j} : 1 \leq j \leq N\}$  (i.e.,  $E = (\oplus_{j=1}^N E_j)_{\ell_\infty}$ ), and  $R_{\varepsilon, t, s} : E \rightarrow B$  is the operator defined by  $R_{\varepsilon, t, s}(z_1, \dots, z_N) = (1 + \varepsilon)C \sum_{j=1}^N t^{\alpha-x_j}s^{\beta-y_j}R_j z_j$ . Ideal property of  $\mathcal{I}$  implies that  $R_{\varepsilon, t, s} \in \mathcal{I}(E, B)$ . Hence

$$\gamma_{\mathcal{I}}(T_{\bar{A}(\alpha, \beta), q, K, B}) \leq C \inf_{t, s > 0} \left\{ \sum_{j=1}^N t^{\alpha-x_j}s^{\beta-y_j}\gamma_{\mathcal{I}}(T_{A_j, B}) \right\}$$

$$\leq NC \inf_{t, s > 0} \left\{ \max_{1 \leq j \leq N} \{t^{\alpha-x_j}s^{\beta-y_j}\gamma_{\mathcal{I}}(T_{A_j, B})\} \right\}$$

$$= NC \max \{ \gamma_{\mathcal{I}}(T_{A_i, B})^{c_i} \gamma_{\mathcal{I}}(T_{A_k, B})^{c_k} \gamma_{\mathcal{I}}(T_{A_r, B})^{c_r} : \{i, k, r\} \in \mathcal{P} \}$$

where we have used [8], Thm. 1.9, in the last equality. This establishes a).



To prove b) let again  $\sigma_j > \gamma_{\Sigma}(T_{A_j, B})$ , and consider the following norm on  $\Sigma(\bar{A})$

$$\|a\| = \inf \left\{ \sum_{j=1}^N \sigma_j \|a_j\|_{A_j} : a = \sum_{j=1}^N a_j, a_j \in A_j \right\}.$$

Take any  $a \in U_{\bar{A}_{(\alpha, \beta), q; J}}$  and  $\varepsilon > 0$ . Using the Hahn-Banach theorem, we can find  $f \in (\Sigma(\bar{A}), \|\cdot\|)^*$  such that  $f((1 + \varepsilon)^{-1}a) = \|(1 + \varepsilon)^{-1}a\|$  and  $\|f\|_{A_j^*} \leq \sigma_j$ ,  $1 \leq j \leq N$ . By (4), the norm  $\|f\|_{(\bar{A}_{(\alpha, \beta), q; J})^*}$  of the restriction of  $f$  to  $\bar{A}_{(\alpha, \beta), q; J}$  is less than or equal to  $C \prod_{j=1}^N \sigma_j^{\theta_j}$ . Whence

$$\begin{aligned} \|a\| &= (1 + \varepsilon) |f((1 + \varepsilon)^{-1}a)| \\ &\leq (1 + \varepsilon) C \prod_{j=1}^N \sigma_j^{\theta_j} \|(1 + \varepsilon)^{-1}a\|_{(\alpha, \beta), q; J} < (1 + \varepsilon) C \prod_{j=1}^N \sigma_j^{\theta_j}. \end{aligned}$$

This allows us to find a representation  $a = \sum_{j=1}^N a_j$  of  $a$  with  $\|a_j\|_{A_j} \leq (1 + \varepsilon) C \sigma_1^{\theta_1} \dots \sigma_j^{\theta_j - 1} \dots \sigma_N^{\theta_N}$ ,  $1 \leq j \leq N$ . Choosing again Banach spaces  $E_j$  and operators  $R_j \in \mathcal{I}(E_j, B)$  with

$$T(U_{A_j}) \subseteq \sigma_j U_B + R_j(U_{E_j}), \quad 1 \leq j \leq N,$$

it follows that

$$\begin{aligned} T(U_{\bar{A}_{(\alpha, \beta), q; J}}) &\subseteq (1 + \varepsilon) C \sum_{j=1}^N \sigma_1^{\theta_1} \dots \sigma_j^{\theta_j - 1} \dots \sigma_N^{\theta_N} T(U_{A_j}) \\ &\subseteq (1 + \varepsilon) C N \sigma_1^{\theta_1} \dots \sigma_N^{\theta_N} U_B + (1 + \varepsilon) C \sum_{j=1}^N \sigma_1^{\theta_1} \dots \sigma_j^{\theta_j - 1} \dots \sigma_N^{\theta_N} R_j(U_{E_j}) \\ &\subseteq (1 + \varepsilon) C N \sigma_1^{\theta_1} \dots \sigma_N^{\theta_N} U_B + R(U_E) \end{aligned}$$

where  $E = \left(\bigoplus_{j=1}^N E_j\right)_{\ell_\infty}$  and  $R \in \mathcal{I}(E, B)$  is the operator defined by

$$R(z_1, \dots, z_N) = (1 + \varepsilon) C \sum_{j=1}^N \sigma_1^{\theta_1} \dots \sigma_j^{\theta_j - 1} \dots \sigma_N^{\theta_N} R_j z_j.$$

Consequently

$$\gamma_{\mathcal{I}}(T_{\bar{A}(\alpha,\beta),q;J,B}) \leq CN \prod_{j=1}^N \gamma_{\mathcal{I}}(T_{A_j,B})^{\theta_j}.$$

To proceed to c) and d), assume that  $T \in \mathcal{L}(B, \Delta(\bar{A}))$  and let  $\sigma_j > \beta_{\mathcal{I}}(T_{B,A_j})$ ,  $1 \leq j \leq N$ . By the definition of  $\beta_{\mathcal{I}}$ , we can find Banach spaces  $F_j$  and operators  $S_j \in \mathcal{I}(B, F_j)$  so that

$$\|Tb\|_{A_j} \leq \sigma_j \|b\|_B + \|S_j b\|_{F_j}, \quad b \in B.$$

Put  $F = \left(\bigoplus_{j=1}^N F_j\right)_{\ell_1}$ ,  $\sigma = \min\{\sigma_1, \dots, \sigma_N\}$  and let  $S \in \mathcal{I}(B, F)$  be the operator defined by

$$Sb = \max\{\sigma_i^{c_i} \sigma_k^{c_k} \sigma_r^{c_r} : \{i, k, r\} \in \mathcal{P}\} \sigma^{-1} (S_1 b, \dots, S_N b).$$

Using (6) we get that

$$\begin{aligned} \|Tb\|_{(\alpha,\beta),q;J} &\leq C \max\{\|Tb\|_{A_i}^{c_i}, \|Tb\|_{A_k}^{c_k}, \|Tb\|_{A_r}^{c_r} : \{i, k, r\} \in \mathcal{P}\} \\ &\leq C \max\{\sigma_i^{c_i} \sigma_k^{c_k} \sigma_r^{c_r} : \{i, k, r\} \in \mathcal{P}\} \|b\|_B + C \|Sb\|_F, \end{aligned}$$

and c) follows.

Finally, working with the operator  $V \in \mathcal{I}(B, F)$  given by

$$Vb = \sigma^{-1} \left( \prod_{j=1}^N \sigma_j^{\theta_j} \right) (S_1 b, \dots, S_N b)$$

and using (5), we derive that

$$\begin{aligned} \|Tb\|_{(\alpha,\beta),q;K} &\leq C \prod_{j=1}^N \|Tb\|_{A_j}^{\theta_j} \leq C \prod_{j=1}^N (\sigma_j \|b\|_B + \|S_j b\|_{F_j})^{\theta_j} \\ &\leq C \prod_{j=1}^N \sigma_j^{\theta_j} \left( \|b\|_B + \frac{1}{\sigma} \|R_j b\|_{F_j} \right)^{\theta_j} \leq C \left( \prod_{j=1}^N \sigma_j^{\theta_j} \right) \|b\|_B + C \|Vb\|_F. \end{aligned}$$

This implies d) and completes the proof. ■

Writing down Theorem 2.1 for the case  $\mathcal{I} = \mathcal{W}$ , the ideal of weakly compact operators, we get a quantitative version of Thms 2.3 and 2.4 in [5]. For  $\mathcal{I} = \mathcal{K}$ , the ideal of compact operators, we obtain estimates for the measure of non-compactness of the interpolated operator that are analogous to those proved in [7], Prop. 3.1 and 3.3 for entropy numbers. Recall that the measure of non-compactness is the limit of the sequence of entropy numbers. Theorem 2.1 can be also applied to derive results on strict singularity and cosingularity.

### 3 Estimates for the general case

We deal now with the case of non-degenerated N-tuples. It is not difficult to show by means of examples that Theorem 2.1 fails in this general case. However, assuming an extra condition on the operator ideal  $\mathcal{I}$ , we shall be able to describe the behaviour of the ideal variations.

Given any sequence of Banach spaces  $(Z_{m,n})_{(m,n) \in \mathbf{Z}^2}$ , any sequence of non-negative numbers  $(\lambda_{m,n})_{(m,n) \in \mathbf{Z}^2}$  and  $1 < q < \infty$ , we denote by  $\ell_q(\lambda_{m,n}Z_{m,n})$  the vector-valued  $\ell_q$  space defined by

$$\ell_q(\lambda_{m,n}Z_{m,n}) = \left\{ z = (z_{m,n}) : z_{m,n} \in Z_{m,n} \text{ and } \right.$$

$$\left. \|z\|_{\ell_q(\lambda_{m,n}Z_{m,n})} = \left( \sum_{(m,n) \in \mathbf{Z}^2} (\lambda_{m,n} \|z_{m,n}\|_{Z_{m,n}})^q \right)^{\frac{1}{q}} < \infty \right\}.$$

Any operator  $T \in \mathcal{L}(\ell_q(\lambda_{m,n}Z_{m,n}), \ell_q(\mu_{m,n}Y_{m,n}))$  between two vector-valued  $\ell_q$  spaces can be imagined as an infinite matrix with entries  $Q_{r,s}TP_{u,v}$ . Here  $P_{u,v} : \lambda_{u,v}Z_{u,v} \rightarrow \ell_q(\lambda_{m,n}Z_{m,n})$  is the embedding  $P_{u,v}z = (\delta_{m,n}^{u,v}z)$ , where

$$\delta_{m,n}^{u,v} = \begin{cases} 1 & \text{if } m = u, n = v \\ 0 & \text{otherwise} \end{cases}, \text{ and } Q_{r,s} : \ell_q(\mu_{m,n}Y_{m,n}) \rightarrow \mu_{r,s}Y_{r,s} \text{ is the}$$

projection  $Q_{r,s}(y_{m,n}) = y_{r,s}$ .

For  $1 < q < \infty$ , we say that the operator ideal  $\mathcal{I}$  satisfies the  $\Sigma_q$ -condition if for any sequences of Banach spaces

$(\lambda_{m,n}Z_{m,n})$ ,  $(\mu_{m,n}Y_{m,n})$  and any  $T \in \mathcal{L}(\ell_q(\lambda_{m,n}Z_{m,n}), \ell_q(\mu_{m,n}Y_{m,n}))$ ,

it follows from  $Q_{r,s}TP_{u,v} \in \mathcal{I}(\lambda_{u,v}Z_{u,v}, \mu_{r,s}Y_{r,s})$  for any  $r, s, u, v$  that

$$T \in \mathcal{I}(\ell_q(\lambda_{m,n}Z_{m,n}), \ell_q(\mu_{m,n}Y_{m,n})).$$

Weakly compact operators, Rosenthal operators, Banach-Saks operators or dual Radon-Nikodym operators are examples of ideals satisfying the  $\Sigma_q$ -condition (see [14]). All of them are also injective surjective and closed.

The following result shows the behaviour of the measure  $\gamma_{\mathcal{I}}$  with  $K$ -spaces.

**Theorem 3.1.** *Let  $\Pi = \overline{P_1 \dots P_N}$  be a convex polygon with vertices  $P_j = (x_j, y_j)$ , let  $(\alpha, \beta) \in \text{Int } \Pi$ ,  $1 < q < \infty$ , and let  $\mathcal{I}$  be an operator ideal which satisfies the  $\Sigma_q$ -condition. Assume that  $\bar{A} = \{A_1, \dots, A_N\}$  and  $\bar{B} = \{B_1, \dots, B_N\}$  are Banach  $N$ -tuples and let  $T \in \mathcal{L}(\bar{A}, \bar{B})$ . Then for the interpolated operator we have*

$$\begin{aligned} \gamma_{\mathcal{I}} \left( \left[ J_{\bar{B}(\alpha, \beta), q; K} T \right]_{\bar{A}(\alpha, \beta), q; K, \ell_{\infty}(U_{\bar{B}^*(\alpha, \beta), q; K})} \right) \\ \leq D \max \{ \gamma_{\mathcal{I}}(T_{A_i, B_i})^{c_i} \gamma_{\mathcal{I}}(T_{A_k, B_k})^{c_k} \gamma_{\mathcal{I}}(T_{A_r, B_r})^{c_r} : \{i, k, r\} \in \mathcal{P} \} \end{aligned}$$

where  $D$  is a constant depending only on  $\Pi$  and  $(\alpha, \beta)$ .

**Proof.** Let  $F_{m,n} = (B_1 + \dots + B_N, K(2^m, 2^n; \cdot))$ ,  $(m, n) \in \mathbf{Z}^2$ , and form the vector-valued space  $\ell_q(2^{-\alpha m - \beta n} F_{m,n})$ . The map  $j : \bar{B}(\alpha, \beta), q; K \rightarrow \ell_q(2^{-\alpha m - \beta n} F_{m,n})$  defined by  $jb = (\dots, b, b, b, \dots)$  is an isometric embedding. By (1.1), it is then enough to show the inequality for  $jT$ .

Let  $\sigma_j > \gamma_{\mathcal{I}}(T_{A_j, B_j})$  and find Banach spaces  $E_j$  and operators  $R_j \in \mathcal{I}(E_j, B_j)$  so that

$$T(U_{A_j}) \subseteq \sigma_j U_{B_j} + R_j(U_{E_j}), \quad j = 1, \dots, N. \tag{7}$$

Put

$$W_{m,n} = (E_1 \oplus \dots \oplus E_N)_{\ell_{\infty}}, \quad (m, n) \in \mathbf{Z}^2$$

and, for  $\delta > 0$  and  $(r, s) \in \mathbf{Z}^2$ , consider the operator

$R : \ell_q(W_{m,n}) \rightarrow \ell_q(2^{-\alpha m - \beta n} F_{m,n})$  defined by

$$R(z_1^{m,n}, \dots, z_N^{m,n}) = \left( \sum_{j=1}^N (1 + \delta) 2^{(\alpha - x_j)(m+r)} 2^{(\beta - y_j)(n+s)} R_j z_j^{m,n} \right).$$

This operator is bounded because

$$\begin{aligned}
& \|R(z_1^{m,n}, \dots, z_N^{m,n})\|_{\ell_q(2^{-\alpha m - \beta n} F_{m,n})} \\
& \leq \left( \sum_{(m,n) \in \mathbf{Z}^2} \left( 2^{-\alpha m - \beta n} \sum_{j=1}^N (1+\delta) 2^{m x_j + n y_j} 2^{(\alpha - x_j)(m+r)} \right. \right. \\
& \quad \left. \left. \cdot 2^{(\beta - y_j)(n+s)} \|R_j\|_{E_j, B_j} \|z_j^{m,n}\|_{E_j} \right)^q \right)^{\frac{1}{q}} \\
& \leq (1+\delta) N \max_{1 \leq j \leq N} \left\{ 2^{(\alpha - x_j)r} 2^{(\beta - y_j)s} \|R_j\|_{E_j, B_j} \right\} \| (z_1^{m,n}, \dots, z_N^{m,n}) \|_{\ell_q(W_{m,n})}.
\end{aligned}$$

Moreover, since each entry

$$Q_{t,w} R P_{u,v}(z_1, \dots, z_N) =$$

$$\begin{cases} 0 & \text{if } (t, w) \neq (u, v) \\ \sum_{j=1}^N (1+\delta) 2^{(\alpha - x_j)(t+r)} 2^{(\beta - y_j)(w+s)} R_j z_j & \text{if } (t, w) = (u, v) \end{cases}$$

belongs to  $\mathcal{I}(W_{u,v}, 2^{-\alpha t - \beta w} F_{t,w})$ , the  $\Sigma_q$ -property implies that

$$R \in \mathcal{I} \left( \ell_q(W_{m,n}), \ell_q(2^{-\alpha m - \beta n} F_{m,n}) \right).$$

We claim that

$$jT \left( U_{\bar{A}(\alpha, \beta, q; K)} \right) \subseteq \left[ N(1+\delta) \max_{1 \leq j \leq N} \left\{ 2^{r(\alpha - x_j) + s(\beta - y_j)} \right\} \right] U_{\ell_q(2^{-\alpha m - \beta n} F_{m,n})} + R \left( U_{\ell_q(W_{m,n})} \right).$$

Indeed, given any  $a \in U_{\bar{A}(\alpha, \beta, q; K)}$  we can choose  $d_{m,n} = d_{m,n}(a) > 0$  with

$$2^{-\alpha m - \beta n} K(2^m, 2^n; a) < d_{m,n} \quad \text{and} \quad \sum_{(m,n) \in \mathbf{Z}^2} d_{m,n}^q \leq (1+\delta)^q.$$

Since

$$K(2^{m+r}, 2^{n+s}; a) < 2^{\alpha(m+r)} 2^{\beta(n+s)} d_{m+r, n+s}$$

we can find a decomposition  $a = \sum_{j=1}^N a_j^{m,n}$  with  $a_j^{m,n} \in A_j$  and

$$2^{(m+r)x_j} 2^{(n+s)y_j} \|a_j^{m,n}\|_{A_j} \leq 2^{\alpha(m+r)} 2^{\beta(n+s)} d_{m+r, n+s}.$$

Put

$$\rho_j^{m,n} = 2^{(m+r)x_j} 2^{(n+s)y_j}, \quad 1 \leq j \leq N; \quad \rho_0^{m,n} = 2^{\alpha(m+r)} 2^{\beta(n+s)} d_{m+r,n+s}.$$

By (7), we can choose  $z_j^{m,n} \in U_{E_j}$  such that

$$\|T(\frac{\rho_j^{m,n}}{\rho_0^{m,n}} a_j^{m,n}) - R_j z_j^{m,n}\|_{B_j} \leq \sigma_j.$$

In other words,

$$\|T a_j^{m,n} - \frac{\rho_0^{m,n}}{\rho_j^{m,n}} R_j z_j^{m,n}\|_{B_j} \leq \frac{\rho_0^{m,n}}{\rho_j^{m,n}} \sigma_j = 2^{(m+r)(\alpha-x_j)} 2^{(n+s)(\beta-y_j)} \sigma_j d_{m+r,n+s}.$$

Let

$$z = \left( (1 + \delta)^{-1} d_{m+r,n+s} z_1^{m,n}, \dots, (1 + \delta)^{-1} d_{m+r,n+s} z_N^{m,n} \right).$$

Then  $z \in U_{\ell_q}(W_{m,n})$  and

$$\begin{aligned} \|(jT)a - Rz\|_{\ell_q(2^{-\alpha m - \beta n} F_{m,n})}^q &\leq \sum_{(m,n) \in Z^2} \left[ 2^{-\alpha m - \beta n} \left( \sum_{j=1}^N 2^{mx_j + ny_j} \|T a_j^{m,n} - \frac{\rho_0^{m,n}}{\rho_j^{m,n}} R_j z_j^{m,n}\|_{B_j} \right) \right]^q \\ &\leq \sum_{(m,n) \in Z^2} \left[ 2^{-\alpha m - \beta n} \left( \sum_{j=1}^N 2^{mx_j + ny_j} 2^{(m+r)(\alpha-x_j) + (n+s)(\beta-y_j)} \sigma_j d_{m+r,n+s} \right) \right]^q \\ &\leq \left[ N \max_{1 \leq j \leq N} \left\{ 2^{r(\alpha-x_j) + s(\beta-y_j)} \sigma_j \right\} \right]^q \sum_{(m,n) \in Z^2} d_{m+r,n+s}^q \\ &\leq \left[ N(1 + \delta) \max_{1 \leq j \leq N} \left\{ 2^{r(\alpha-x_j) + s(\beta-y_j)} \sigma_j \right\} \right]^q. \end{aligned}$$

Whence

$$\gamma_Z(jT) \leq N(1 + \delta) \max_{1 \leq j \leq N} \left\{ 2^{r(\alpha-x_j) + s(\beta-y_j)} \sigma_j \right\}.$$

Here  $\delta > 0$  and  $(r, s) \in Z^2$  are arbitrary. Therefore we derive that

$$\gamma_Z(jT) \leq N \inf_{(r,s) \in Z^2} \left[ \max_{1 \leq j \leq N} \left\{ 2^{r(\alpha-x_j) + s(\beta-y_j)} \sigma_j \right\} \right]$$

$$\begin{aligned} &\leq D \inf_{t,s>0} \left[ \max_{1 \leq j \leq N} \{t^{\alpha-x_j} s^{\beta-y_j} \sigma_j\} \right] \\ &= D \max \{ \sigma_i^{c_i} \sigma_k^{c_k} \sigma_r^{c_r} : \{i, k, r\} \in \mathcal{P} \} \end{aligned}$$

where we have used [8], Thm. 1.9, in the last equality. This implies that

$$\gamma_{\mathcal{I}}(jT) \leq D \max \{ \gamma_{\mathcal{I}}(T_{A_i, B_i})^{c_i} \gamma_{\mathcal{I}}(T_{A_k, B_k})^{c_k} \gamma_{\mathcal{I}}(T_{A_r, B_r})^{c_r} : \{i, k, r\} \in \mathcal{P} \}$$

and completes the proof. ■

The operator  $J_{\bar{B}_{(\alpha, \beta), q; K}}$  is essential in Theorem 3.1 as we show next by means of an example. We adapt an idea of [9], Remark 3.4.

Let  $\mathcal{I} = \mathcal{W}$  the ideal of weakly compact operators. According to [2], Thm. 4, there is a Banach space  $E$  and a sequence of operators  $(R_n)_{n=1}^\infty \subseteq \mathcal{L}(E, c_0)$  such that

$$\gamma_{\mathcal{W}}(R_n^{**}) \leq \gamma_{\mathcal{W}}(R_n) \leq 1/n, \tag{8}$$

$$\gamma_{\mathcal{W}}(R_n^*) = 1. \tag{9}$$

Put

$$T_n = Q_E^* R_n^* \quad , \quad F = Q_E^*(E^*) \quad ,$$

choose  $\Pi$  as the simplex  $\{(0, 0), (1, 0), (0, 1)\}$  and consider the 3-tuples

$$\bar{A} = \{\ell_1, \ell_1, \ell_1\} \quad , \quad \bar{B} = \{F, F, \ell_\infty(U_E)\}.$$

Let  $\alpha > 0, \beta > 0$  with  $\alpha + \beta < 1$  (i.e.  $(\alpha, \beta) \in \text{Int } \Pi$ ) and  $1 < q < \infty$ . It is clear that  $\bar{A}_{(\alpha, \beta), q; K} = \ell_1$  with equivalence of norms. Moreover  $\bar{B}_{(\alpha, \beta), q; K} = F$  (equivalent norms) because  $F$  is a closed subspace of  $\ell_\infty(U_E)$ . Hence, if Theorem 3.1 would be true without  $J_{\bar{B}_{(\alpha, \beta), q; K}}$ , there would exist a constant  $D > 0$  such that for any  $n \in \mathbf{N}$

$$\gamma_{\mathcal{W}}([T_n]_{\ell_1, F}) \tag{10}$$

$$\leq D \gamma_{\mathcal{W}}([T_n]_{\ell_1, F})^{1-\alpha-\beta} \gamma_{\mathcal{W}}([T_n]_{\ell_1, F})^\alpha \gamma_{\mathcal{W}}([T_n]_{\ell_1, \ell_\infty(U_E)})^\beta.$$

But  $Q_E^* : E^* \rightarrow F$  is an isometry onto, so (9) yields

$$\gamma_{\mathcal{W}}([T_n]_{\ell_1, F}) = \gamma_{\mathcal{W}}([R_n^*]_{\ell_1, E^*}) = 1.$$

On the other hand, by (8) and [1], Cor. 5.3, we get

$$\gamma_{\mathcal{W}}([T_n]_{\ell_1, \ell_\infty(U_E)}) = \gamma_{\mathcal{W}}(T_n^*) = \gamma_{\mathcal{W}}(R_n^{**}) \leq 1/n.$$

Whence (10) reads

$$1 \leq Dn^{-\beta} \quad \text{for any } n \in \mathbf{N}$$

which is impossible.

Our last result describe the behaviour of  $\beta_{\mathcal{I}}$  with  $J$ -spaces.

**Theorem 3.2.** *Let  $\Pi = \overline{P_1 \dots P_N}$  be a convex polygon with vertices  $P_j = (x_j, y_j)$ , let  $(\alpha, \beta) \in \text{Int } \Pi$ ,  $1 < q < \infty$ , and let  $\mathcal{I}$  be an operator ideal which satisfies the  $\Sigma_q$ -condition. Assume that  $\vec{A} = \{A_1, \dots, A_N\}$  and  $\vec{B} = \{B_1, \dots, B_N\}$  are Banach  $N$ -tuples and let  $T \in \mathcal{L}(\vec{A}, \vec{B})$ . Then for the interpolated operator we have*

$$\begin{aligned} \beta_{\mathcal{I}} \left( \left[ TQ_{\vec{A}(\alpha, \beta), q; J} \right]_{\ell_1(U_{\vec{A}(\alpha, \beta), q; J}, \vec{B}(\alpha, \beta), q; J)} \right) \\ \leq D \max \{ \beta_{\mathcal{I}}(T_{A_i, B_i})^{c_i} \beta_{\mathcal{I}}(T_{A_k, B_k})^{c_k} \beta_{\mathcal{I}}(T_{A_r, B_r})^{c_r} : \{i, k, r\} \in \mathcal{P} \} \end{aligned}$$

where  $D$  is a constant depending only on  $\Pi$  and  $(\alpha, \beta)$ .

**Proof.** Put  $G_{m,n} = (A_1 \cap \dots \cap A_N, J(2^m, 2^n; \cdot))$ ,  $(m, n) \in \mathbf{Z}^2$ , and let

$$\pi : \ell_q(2^{-\alpha m - \beta n} G_{m,n}) \longrightarrow \vec{A}_{(\alpha, \beta), q; J}$$

be the metric surjection  $\pi(u_{m,n}) = \sum_{m,n \in \mathbf{Z}^2} u_{m,n}$ . Taking into account (2), it suffices to establish the inequality for  $T\pi$ .

Let  $\sigma_j > \beta_{\mathcal{I}}(T_{A_j, B_j})$ . There exist Banach spaces  $Z_j$  and operators  $S_j \in \mathcal{I}(A_j, Z_j)$  such that

$$\|Tx\|_{B_j} \leq \sigma_j \|x\|_{A_j} + \|S_j x\|_{Z_j}, \quad x \in A_j, \quad 1 \leq j \leq N. \quad (11)$$

For each  $(m, n) \in \mathbf{Z}^2$ , let  $V_{m,n} = (E_1 \oplus \dots \oplus E_N)_{\ell_1}$ . Take any  $(r, s) \in \mathbf{Z}^2$  and let  $S : \ell_q(2^{-\alpha m - \beta n} G_{m,n}) \longrightarrow \ell_q(V_{m,n})$  be the operator defined by  $S(u_{m,n}) =$

$$(2^{(x_1 - \alpha)(m-r)} 2^{(y_1 - \beta)(n-s)} S_1 u_{m,n}, \dots, 2^{(x_N - \alpha)(m-r)} 2^{(y_N - \beta)(n-s)} S_N u_{m,n}).$$

Since

$$\|S(u_{m,n})\|_{\ell_q(V_{m,n})} =$$

$$\left( \sum_{(m,n) \in \mathbf{Z}^2} \left( \sum_{j=1}^N 2^{(x_j - \alpha)(m-r)} 2^{(y_j - \beta)(n-s)} \|S_j u_{m,n}\|_{Z_j} \right)^q \right)^{\frac{1}{q}}$$



$$\leq \left( \sum_{j=1}^N 2^{(\alpha-x_j)r} 2^{(\beta-y_j)s} \|S_j\|_{A_j, Z_j} \right) \| (u_{m,n}) \|_{\ell_q(2^{-\alpha m - \beta n} G_{m,n})},$$

the operator  $S$  is bounded. Now, by the  $\Sigma_q$ -property, it is easy to check that  $S \in \mathcal{I}(\ell_q(2^{-\alpha m - \beta n} G_{m,n}), \ell_q(V_{m,n}))$ . A direct computation using (11) shows that

$$\|T\pi(u_{m,n})\|_{B_{(\alpha,\beta),q,J}} \leq \max_{1 \leq j \leq N} \left\{ \sigma_j 2^{(\alpha-x_j)r} 2^{(\beta-y_j)s} \right\} \| (u_{m,n}) \|_{\ell_q(2^{-\alpha m - \beta n} G_{m,n})} + \|S(u_{m,n})\|_{\ell_q(V_{m,n})}.$$

This implies that

$$\beta_{\mathcal{I}}(T\pi) \leq \max_{1 \leq j \leq N} \left\{ \sigma_j 2^{(\alpha-x_j)r} 2^{(\beta-y_j)s} \right\}.$$

Since  $(r, s) \in \mathbb{Z}^2$  is arbitrary, taking infimum and using [8], Thm. 1.9, the result follows.

■

Theorems 3.1 and 3.2 comprise Thm. 2.6 and Remark 2.9 of [5]. In particular, they give quantitative estimates for the weak compactness results mentioned in the Introduction.

Note that Theorems 3.1 and 3.2 do not apply to compact operators because this ideal fails the  $\Sigma_q$ -condition. This problem has been studied in [6] and [7].

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