

## Orthonormal bases for spaces of continuous and continuously differentiable functions defined on a subset of $\mathbb{Z}_p$

Ann VERDOODT

### Abstract

Let  $K$  be a non-archimedean valued field which contains  $\mathbb{Q}_p$ , and suppose that  $K$  is complete for the valuation  $|\cdot|$ , which extends the  $p$ -adic valuation.  $V_q$  is the closure of the set  $\{aq^n | n = 0, 1, 2, \dots\}$  where  $a$  and  $q$  are two units of  $\mathbb{Z}_p$ ,  $q$  not a root of unity.  $C(V_q \rightarrow K)$  (resp.  $C^1(V_q \rightarrow K)$ ) is the Banach space of continuous functions (resp. continuously differentiable functions) from  $V_q$  to  $K$ . Our aim is to find orthonormal bases for  $C(V_q \rightarrow K)$  and  $C^1(V_q \rightarrow K)$ .

## 1 Introduction

The main aim of this paper is to find orthonormal bases for the spaces  $C(V_q \rightarrow K)$  of continuous and  $C^1(V_q \rightarrow K)$  of continuously differentiable functions. Therefore we start by recalling some definitions and some previous results. Let  $E$  be a non-archimedean Banach space over a non-archimedean valued field  $L$ ,  $E$  equipped with the norm  $\|\cdot\|$ . Let  $f_1, f_2, \dots$  be a finite or infinite sequence of elements of  $E$ . We say that this sequence is orthogonal if  $\|\alpha_1 f_1 + \dots + \alpha_k f_k\| = \max_{1 \leq i \leq k} \{\|\alpha_i f_i\|\}$  for all  $k$  in  $\mathbb{N}$  (or for all  $k$  that do not exceed the length of the sequence) and for all  $\alpha_1, \dots, \alpha_k$  in  $L$ . An orthogonal sequence  $f_1, f_2, \dots$  is called orthonormal if  $\|f_i\| = 1$  for all  $i$ . A sequence  $f_1, f_2, \dots$  of elements of  $E$  is an orthonormal base of  $E$  if the sequence is orthonormal and also a base. If  $M$  is a non-empty compact subset of  $L$  without isolated points,

then  $C(M \rightarrow L)$  is the Banach space of continuous functions from  $M$  to  $L$  equipped with the supremum norm  $\|\cdot\|_\infty$ . Let  $f$  be a function from  $M$  to  $L$ . The first difference quotient  $\phi_1 f$  of the function  $f$  is the function of two variables given by  $\phi_1 f(x, y) = \frac{f(x) - f(y)}{x - y}$  defined on  $M \times M \setminus \Delta$  where  $\Delta = \{(x, x) | x \in M\}$ . We say that  $f$  is continuously differentiable at a point  $b \in M$  ( $f$  is  $C^1$  at  $b$ ) if  $\lim_{(x,y) \rightarrow (b,b)} \phi_1 f(x, y)$  exists. The function  $f$  is called continuously differentiable ( $f$  is a  $C^1$  function) if  $f$  is continuously differentiable at  $b$  for all  $b$  in  $M$ . If  $f$  is a function from  $M$  to  $L$  then  $f$  is continuously differentiable if and only if the function  $\phi_1 f$  can (uniquely) be extended to a continuous function on  $M \times M$ . The set of all  $C_1$ -functions from  $M$  to  $L$  is denoted by  $C^1(M \rightarrow L)$ , and  $C^1(M \rightarrow L) \subset C(M \rightarrow L)$ . For  $f : M \rightarrow L$  we set  $\|f\|_1 = \sup\{\|f\|_\infty, \|\phi_1 f\|_\infty\}$ . The function  $\|\cdot\|_1$  is a norm on  $C^1(M \rightarrow L)$  making it into an  $L$ -Banach algebra. Since  $M$  is compact,  $\|f\|_1 < \infty$  if  $f$  is an element of  $C^1(M \rightarrow L)$  (these results concerning continuously differentiable functions can be found in [2] or [5], chapter 27).

Let  $\mathbb{Z}_p$  be the ring of  $p$ -adic integers,  $\mathbb{Q}_p$  the field of  $p$ -adic numbers, and  $K$  is a non-archimedean valued field,  $K$  containing  $\mathbb{Q}_p$ , and we suppose that  $K$  is complete for the valuation  $|\cdot|$ , which extends the  $p$ -adic valuation.  $\mathbb{N}$  denotes the set of natural numbers, and  $\mathbb{N}_0$  is the set of natural numbers without zero. Let  $a$  and  $q$  be two units of  $\mathbb{Z}_p$ ,  $q$  not a root of unity. We define  $V_q$  to be the closure of the set  $\{aq^n | n = 0, 1, 2, \dots\}$ . For a description of the set  $V_q$  we refer to [7], section 2 or to [8], section 3. In section 3 our aim is to find orthonormal bases for the Banach space  $C(V_q \rightarrow K)$ . The results in section 3 can be seen as a sequel to the results in [9] and [8], sections 4,5 and 6. In section 4 we give necessary and sufficient conditions for a function  $f$  in  $C(V_q \rightarrow K)$  to be continuously differentiable, and we find an orthonormal base for the Banach space  $C^1(V_q \rightarrow K)$ .

**Acknowledgement :** I want to thank professor Van Hamme for the advice he gave me during the preparation of this paper.

## 2 Preliminaries

Let us introduce the following :

$[n]! = [n][n-1] \dots [1]$  and  $[0]! = 1$ , where  $[n] = \frac{q^n - 1}{q - 1}$  if  $n \geq 1$ .

$$\begin{bmatrix} n \\ k \end{bmatrix} = \frac{[n]!}{[k]![n-k]!} \text{ if } n \geq k, \begin{bmatrix} n \\ k \end{bmatrix} = 0 \text{ if } n < k.$$

$$\left\{ \begin{matrix} x \\ k \end{matrix} \right\} = \frac{(x-a)(x-aq)\dots(x-aq^{k-1})}{(aq^k-a)(aq^k-aq)\dots(aq^k-aq^{k-1})} \text{ if } k \geq 1, \left\{ \begin{matrix} x \\ 0 \end{matrix} \right\} = 1.$$

The sequence  $(\left\{ \begin{matrix} x \\ k \end{matrix} \right\})$  forms an orthonormal base for  $C(V_q \rightarrow K)$  ([8], corollary to lemma 8), analogous to Mahler's base for  $C(\mathbb{Z}_p \rightarrow K)$  ([4]).

We also have  $\begin{bmatrix} n \\ k \end{bmatrix} = \left\{ \begin{matrix} x \\ k \end{matrix} \right\}$  if  $x = aq^n$ . If  $x$  is an element of  $\mathcal{O}_p$  with Henselde-

velopment  $x = \sum_{j=-\infty}^{+\infty} a_j p^j$ , we then put  $x_n = \sum_{j=-\infty}^{n-1} a_j p^j$  ( $n \in \mathbb{N}$ ). We

write  $m \triangleleft x$ , if  $m$  is one of the numbers  $x_0, x_1, \dots$  and we say that " $m$  is an initial part of  $x$ " or " $x$  starts with  $m$ " (see [5], section 62). If  $n$

belongs to  $\mathbb{N}_0$ ,  $n = \sum_{j=0}^s a_j p^j$  where  $a_s \neq 0$ , then we put  $n_- = \sum_{j=0}^{s-1} a_j p^j$ .

We remark that  $n_- \triangleleft n$ . Let us now define the sequence of functions  $(e_k(x))$  in the following way : write  $k \in \mathbb{N}$  in the form  $k = i + mj$ ,  $0 \leq i < m$  ( $i, j \in \mathbb{N}$ ). Then  $e_k$  is defined by

$$e_k(x) = e_{i+mj}(x) = 1 \text{ if } x = aq^{ix}(q^m)^{\alpha x} \text{ where } i_x = i, j \triangleleft \alpha x, e_k(x) = 0 \text{ otherwise.}$$

The functions  $(e_k(x))$  form an orthonormal base for  $C(V_q \rightarrow K)$  ([9]), analogous to van der Put's base for  $C(\mathbb{Z}_p \rightarrow K)$  (see [3] or [5], section 62).

We remark that  $\left\{ \begin{matrix} aq^j \\ i \end{matrix} \right\} = e_i(aq^j) = 0$  if  $j < i$  and that  $\left\{ \begin{matrix} aq^i \\ i \end{matrix} \right\} = e_i(aq^i) = 1$ . We shall use this frequently in the sequel.

We shall construct new orthonormal bases for  $C(V_q \rightarrow K)$  using the bases  $(\left\{ \begin{matrix} x \\ k \end{matrix} \right\})$  and  $(e_k(x))$ . Therefore we introduce the following : For each  $n \in \mathbb{N}$ , let  $I_n$  be a subset of the set  $\{0, 1, \dots, n\}$  ( $I_n$  can also be empty or can be equal to  $\{0, 1, \dots, n\}$ ). Let  $p(x)$  be a continuous function of the following type  $p(x) = \sum_{i \in I_n} a_i \left\{ \begin{matrix} x \\ i \end{matrix} \right\} + \sum_{i \in \{0,1,\dots,n\} \setminus I_n} a_i e_i(x)$  where each

$a_i \in K$ . For example, if  $I_n = \{0, 1, \dots, n\}$ , then  $p(x)$  is a polynomial. If  $I_n$  is the subset of  $\{0, 1, \dots, n\}$  consisting of all the even numbers, and

$$\text{if } a_i = 1 \text{ for all } i, \text{ then } p(x) = \sum_{i \in \{0,1,\dots,n\}, i \text{ even}} \left\{ \begin{matrix} x \\ i \end{matrix} \right\} + \sum_{i \in \{0,1,\dots,n\}, i \text{ odd}} e_i(x)$$

and one can think of several other examples. For functions of this type we can prove the following lemmas

**Lemma 1.** *Let  $p(x)$  be a continuous function of the type  $p(x) = \sum_{i \in I_n} a_i \left\{ \begin{matrix} x \\ i \end{matrix} \right\} + \sum_{i \in \{0,1,\dots,n\} \setminus I_n} a_i e_i(x)$  ( $a_i \in K$ ). Then the following are equivalent :*

- 1)  $|p(aq^n)| = 1$  and  $|p(aq^k)| < 1$  if  $0 \leq k < n$ .  
 2)  $|a_n| = 1$  and  $|a_k| < 1$  if  $0 \leq k < n$ .

**Proof.**

- 1)  $\Rightarrow$  2) will be shown by induction. If  $|p(a)| < 1$  then  $|a_0| < 1$ . Now suppose that  $|a_k| < 1$  if  $0 \leq k < n - 1$ . Then  

$$\left| \sum_{i \in I_n \cap \{0, 1, \dots, k+1\}} a_i \{i^{aq^{k+1}}\} + \sum_{i \in \{0, 1, \dots, k+1\} \setminus I_n} a_i e_i(aq^{k+1}) \right| = |p(aq^{k+1})| < 1$$
 and by the induction hypothesis it follows that  $|a_{k+1}| < 1$  and we can conclude  $|a_i| < 1$  for all  $0 \leq i < n$ . Since  

$$\left| \sum_{i \in I_n} a_i \{i^{aq^n}\} + \sum_{i \in \{0, 1, \dots, n\} \setminus I_n} a_i e_i(aq^n) \right| = |p(aq^n)| = 1$$
 we have  $|a_n| = 1$ .  
 2)  $\Rightarrow$  1) is obvious.

**Lemma 2.** Let  $p(x)$  be a continuous function of the type  

$$p(x) = \sum_{i \in I_n} a_i \{i^x\} + \sum_{i \in \{0, 1, \dots, n\} \setminus I_n} a_i e_i(x) \quad (a_i \in K).$$
 Then the following are equivalent :

- 1)  $\|p\|_\infty \leq 1$ .  
 2)  $|a_k| \leq 1$  for all  $k$  with  $0 \leq k \leq n$ .

**Proof.**

- 1)  $\Rightarrow$  2) can be shown analogous as 1)  $\Rightarrow$  2) of the previous lemma.  
 2)  $\Rightarrow$  1) is obvious.

Let  $m$  be the smallest integer such that  $q^m \equiv 1 \pmod{p}$  ( $1 \leq m \leq p-1$ ). There exists a  $k_0$  such that  $q^m \equiv 1 \pmod{p^{k_0}}$ ,  $q^m \not\equiv 1 \pmod{p^{k_0+1}}$ . If  $(p, k_0) = (2, 1)$ , i.e.  $q \equiv 3 \pmod{4}$ , then there exists a natural number  $N$  such that  $q = 1 + 2 + 2^{2^2}\varepsilon$ ,  $\varepsilon = \varepsilon_0 + \varepsilon_1 2 + \varepsilon_2 2^2 + \dots$ ,  $\varepsilon_0 = \varepsilon_1 = \dots = \varepsilon_{N-1} = 1$ ,  $\varepsilon_N = 0$ . Then we have

**Lemma 3.**

- 1) Let  $q^m \equiv 1 \pmod{p^{k_0}}$ ,  $q^m \not\equiv 1 \pmod{p^{k_0+1}}$  with  $(p, k_0) \neq (2, 1)$ . If  $x, y \in V_q$ ,  $|x - y| \leq p^{-(k_0+t)}$  then  $e_n(x) = e_n(y)$  if  $0 \leq n < mp^t$ .  
 2) Let  $q \equiv 3 \pmod{4}$ ,  $q = 1 + 2 + 2^{2^2}\varepsilon$ ,  $\varepsilon = \varepsilon_0 + \varepsilon_1 2 + \varepsilon_2 2^2 + \dots$ ,  $\varepsilon_0 = \varepsilon_1 = \dots = \varepsilon_{N-1} = 1$ ,  $\varepsilon_N = 0$ . If  $x, y \in V_q$ ,  $|x - y| \leq p^{-(N+2+t)}$  then  $e_n(x) = e_n(y)$  if  $0 \leq n < 2^t$  ( $t \geq 1$ ).

**Proof.** This follows immediately from [8], lemmas 2 and 3.

**Lemma 4.** Suppose  $p(x)$  is a continuous function with  $\|p\|_\infty \leq 1$  of the following type :  $p(x) = \sum_{i \in I_n} a_i \{i^x\} + \sum_{i \in \{0,1,\dots,n\} \setminus I_n} a_i e_i(x)$  ( $a_i \in K$ ).

1) Let  $q^m \equiv 1 \pmod{p^{k_0}}$ ,  $q^m \not\equiv 1 \pmod{p^{k_0+1}}$  with  $(p, k_0) \neq (2, 1)$ . If  $x, y \in V_q$ ,  $|x - y| \leq p^{-(k_0+t)}$  then if  $j \in \mathbb{N}$ ,  $0 \leq n < mp^t$  :  $|p(x)^j - p(y)^j| \leq 1/p$  and  $|x^j - y^j| \leq 1/p$ .

2) Let  $q \equiv 3 \pmod{4}$ ,  $q = 1 + 2 + 2^2\epsilon$ ,  $\epsilon = \epsilon_0 + \epsilon_1 2 + \epsilon_2 2^2 + \dots$ ,  $\epsilon_0 = \epsilon_1 = \dots = \epsilon_{N-1} = 1$ ,  $\epsilon_N = 0$ . If  $x, y \in V_q$ ,  $|x - y| \leq p^{-(N+2+t)}$  then if  $j \in \mathbb{N}$ ,  $0 \leq n < 2^t$  ( $t \geq 1$ ) :  $|p(x)^j - p(y)^j| \leq 1/2$  and  $|x^j - y^j| \leq 1/2$ .

**Proof.** It is clear that  $|a_s| \leq 1$  if  $0 \leq s \leq n$  (lemma 2). Suppose that  $x, y$  and  $n$  are as in 1) (resp. 2)). Then  $|p(x) - p(y)| \leq \max_{s \in I_n} \{|a_s| \{s^x\} - \{s^y\}\} \leq 1/p$  (resp.  $\leq 1/2$ ) by lemma 3 and [8], lemmas 11 and 12.

If  $j > 1$  then  $|p(x)^j - p(y)^j| = |p(x) - p(y)| \left| \sum_{s=0}^{j-1} p(x)^s p(y)^{j-1-s} \right| \leq 1/p$  (resp.  $\leq 1/2$ ). So the lemma holds for  $j \in \mathbb{N}$  (the case  $j = 0$  is trivial). Further, if  $j > 1$  then  $|x^j - y^j| \leq |x - y| \left| \sum_{s=0}^{j-1} x^s y^{j-1-s} \right| \leq 1/p$  (resp.  $\leq 1/2$ ) so  $|x^j - y^j| \leq 1/p$  (resp.  $\leq 1/2$ ) for all  $j \in \mathbb{N}$ .

Let for each  $n \in \mathbb{N}$   $J_n$  be a subset of the set  $\{0, 1, \dots, n\}$ . Then we can prove

**Lemma 5.** Let  $p(x)$  and  $q(x)$  be continuous functions with  $\|p\|_\infty \leq 1$  and  $\|q\|_\infty \leq 1$  of the form

$$p(x) = \sum_{i \in I_n} a_i \{i^x\} + \sum_{i \in \{0,1,\dots,n\} \setminus I_n} a_i e_i(x), \quad (a_i \in K)$$

$$q(x) = \sum_{i \in J_n} b_i \{i^x\} + \sum_{i \in \{0,1,\dots,n\} \setminus J_n} b_i e_i(x), \quad (b_i \in K).$$

1) Let  $q^m \equiv 1 \pmod{p^{k_0}}$ ,  $q^m \not\equiv 1 \pmod{p^{k_0+1}}$  with  $(p, k_0) \neq (2, 1)$ . If  $x, y \in V_q$ ,  $|x - y| \leq p^{-(k_0+t)}$  then if  $i, j \in \mathbb{N}$ ,  $0 \leq n < mp^t$  :  $|q(x)^i p(x)^j - q(y)^i p(y)^j| \leq 1/p$  and  $|x^i p(x)^j - y^i p(x)^j| \leq 1/p$ .

2) Let  $q \equiv 3 \pmod{4}$ ,  $q = 1 + 2 + 2^2\epsilon$ ,  $\epsilon = \epsilon_0 + \epsilon_1 2 + \epsilon_2 2^2 + \dots$ ,  $\epsilon_0 = \epsilon_1 = \dots = \epsilon_{N-1} = 1$ ,  $\epsilon_N = 0$ . If  $x, y \in V_q$ ,  $|x - y| \leq p^{-(N+2+t)}$  then if  $i, j \in \mathbb{N}$ ,  $0 \leq n < 2^t$  ( $t \geq 1$ ) :  $|q(x)^i p(x)^j - q(y)^i p(y)^j| \leq 1/2$  and  $|x^i p(x)^j - y^i p(x)^j| \leq 1/2$ .

**Proof.** Let  $x, y, n, i$  and  $j$  be as in 1) (resp. 2)) then

$$\begin{aligned}
& |q(x)^i p(x)^j - q(y)^i p(y)^j| \leq \max\{|q(x)^i p(x)^j - q(x)^i p(y)^j|, |q(x)^i p(y)^j - q(y)^i p(y)^j|\} \\
& \leq \max\{|q(x)^i| |p(x)^j - p(y)^j|, |p(y)^j| |q(x)^i - q(y)^i|\} \\
& \leq 1/p \text{ (resp. } \leq 1/2) \text{ by lemma 5 and analogous} \\
& |x^i p(x)^j - y^i p(y)^j| \leq \max\{|x^i p(x)^j - x^i p(y)^j|, |x^i p(y)^j - y^i p(y)^j|\} \\
& \leq \max\{|x^i| |p(x)^j - p(y)^j|, |p(y)^j| |x^i - y^i|\} \\
& \leq 1/p \text{ (resp. } \leq 1/2) \text{ by lemma 5}
\end{aligned}$$

We shall need lemmas 6 and 7 for the construction of an orthonormal base for  $C^1(V_q \rightarrow K)$ :

**Lemma 6.**

$$\binom{i+j}{n} = \sum_{s=0}^n \binom{j}{n-s} \binom{i}{s} q^{-(n-s)(-i+s)}$$

**Proof.** This follows immediately from [8], lemma 10 by putting first  $s = n - k$  and then interchanging  $i$  and  $j$ .

**Definition.** We define the sequence  $(\rho_n)$  as follows :

$$\rho_n = (q^m)^{i-i} - 1 \text{ if } n = im + j, 0 \leq j < m \text{ and } i > 0, \rho_n = 1 \text{ if } n < m.$$

**Lemma 7.**

$$|\rho_n| = \min_{1 \leq s \leq n} \{|q^s - 1|\}. \quad (n \in \mathbb{N}_0).$$

**Proof.** This follows immediately from [8], lemmas 2 and 3.

### 3 Orthonormal bases for $C(V_q \rightarrow K)$

Using the lemmas 1-5 in section 2, we can make orthonormal bases for  $C(V_q \rightarrow K)$  with the aid of the following theorem :

**Theorem 1.** Let  $(p_n(x))$  and  $(q_n(x))$  be sequences of continuous functions of the following form :

$$\begin{aligned}
& \text{for each } n \text{ } p_n(x) \text{ is of the form } p_n(x) = \sum_{i \in I_n} a_{n,1} \{x_i\} + \\
& \sum_{i \in \{0,1,\dots,n\} \setminus I_n} a_{n,i} e_i(x) \text{ with } |a_{n,n}| = 1 \text{ and with } |a_{n,i}| < 1 \\
& \text{if } 0 \leq i < n \text{ (} a_{n,i} \in \mathbb{Q}_p \text{), and for each } n \text{ we have} \\
& q_n(x) = \sum_{i \in J_n} b_{n,i} \{x_i\} + \sum_{i \in \{0,1,\dots,n\} \setminus J_n} b_{n,i} e_i(x) \text{ with } |q_n(aq^n)| = 1 \text{ and}
\end{aligned}$$

$|b_{n,i}| \leq 1$  if  $0 \leq i \leq n$  ( $b_{n,i} \in \mathbb{Q}_p$ ). If  $(j_n)$  is a sequence in  $\mathbb{N}$  and if  $(k_n)$  is a sequence in  $\mathbb{N}_0$ , then the sequences  $(q_n(x)^{j_n} p_n(x)^{k_n})$  and  $(x^{j_n} p_n(x)^{k_n})$  form orthonormal bases for  $C(V_q \rightarrow K)$ .

**Proof.** This proof is analogous to the proof of [8], theorem 5. We remark that for all  $n$  we have  $\|p_n\|_\infty \leq 1$  and  $\|q_n\|_\infty \leq 1$  (lemma 2), and that  $p_n(x)$  and  $q_n(x)$  are elements of  $C(V_q \rightarrow \mathbb{Q}_p)$ . By [1], 3.4.1 or [6], p. 123-133 it suffices to prove that  $(q_n(x)^{j_n} p_n(x)^{k_n})$  and  $(x^{j_n} p_n(x)^{k_n})$  form orthonormal bases for  $C(V_q \rightarrow \mathbb{Q}_p)$  and by [1] proposition 3.1.5 p. 82 it suffices to prove that  $(\overline{q_n(x)^{j_n} p_n(x)^{k_n}})$  and  $(\overline{x^{j_n} p_n(x)^{k_n}})$  form vectorial bases for  $C(V_q \rightarrow \mathbb{F}_p)$  (where  $\overline{f(x)}$  stands for the canonical projection on  $C(V_q \rightarrow \mathbb{F}_p)$ , if  $f$  is in  $C(V_q \rightarrow \mathbb{Q}_p)$  with  $\|f\|_\infty \leq 1$ ). We distinguish two cases.

1) Let  $q^m \equiv 1 \pmod{p^{k_0}}$ ,  $q^m \not\equiv 1 \pmod{p^{k_0+1}}$  with  $(p, k_0) \neq (2, 1)$ , define  $C_t$  the space of the functions from  $V_q$  to  $\mathbb{F}_p$  constant on balls of the type  $\{x \in \mathbb{Z}_p : |x - \alpha| \leq p^{-(k_0+t)}\}$ ,  $\alpha \in V_q$ . Since  $C(V_q \rightarrow \mathbb{F}_p) = \cup_{t \geq 0} C_t$  ([8], lemma 4 and its proof) it suffices to prove that  $(\overline{q_n(x)^{j_n} p_n(x)^{k_n}} |_{n < mp^t})$  and  $(\overline{x^{j_n} p_n(x)^{k_n}} |_{n < mp^t})$  form bases for  $C_t$ . By the proof of [8], lemma 4, we can write  $V_q$  as the union of  $mp^t$  disjoint balls with radius  $p^{-(k_0+t)}$  and with centers  $aq^r(q^m)^n$ ,  $0 \leq r \leq m - 1$ ,  $0 \leq n < p^t$ . Let  $\chi_i$  be the characteristic function of the ball with center  $aq^i$ . Using lemma 5, we have

$$\begin{aligned} \overline{q_n(x)^{j_n} p_n(x)^{k_n}} &= \sum_{i=0}^{mp^t-1} \chi_i(x) \overline{q_n(aq^i)^{j_n} p_n(aq^i)^{k_n}} \\ &= \sum_{i=n}^{mp^t-1} \chi_i(x) \overline{q_n(aq^i)^{j_n} p_n(aq^i)^{k_n}} \end{aligned}$$

since  $|q_n(aq^i)^{j_n} p_n(aq^i)^{k_n}| < 1$  if  $i < n$  (lemma 1) and hence the transition matrix from  $(\chi_n |_{n < mp^t})$  to  $(\overline{q_n(x)^{j_n} p_n(x)^{k_n}} |_{n < mp^t})$  is triangular since  $|q_n(aq^n)^{j_n} p_n(aq^n)^{k_n}| = 1$  (lemma 1), so  $(\overline{q_n(x)^{j_n} p_n(x)^{k_n}} |_{n < mp^t})$  forms a base for  $C_t$ . The proof for  $(\overline{x^{j_n} p_n(x)^{k_n}})$  is analogous.

2) Let  $q^m \equiv 3 \pmod{4}$ ,  $q = 1 + 2 + 2^2\epsilon$ ,  $\epsilon = \epsilon_0 + \epsilon_1 2 + \epsilon_2 2^2 + \dots$ ,  $\epsilon_0 = \epsilon_1 = \dots = \epsilon_{N-1} = 1$ ,  $\epsilon_N = 0$ , define  $C_t$  the space of the functions from  $V_q$  to  $\mathbb{F}_2$  constant on balls of the type  $\{x \in \mathbb{Z}_2 : |x - \alpha| \leq 2^{-(N+2+t)}\}$ ,  $\alpha \in V_q$ . Since  $C(V_q \rightarrow \mathbb{F}_2) = \cup_{t \geq 1} C_t$  ([8], lemma 5 and its proof) it

suffices to prove that  $(\overline{q_n(x)^{j_n} p_n(x)^{k_n}} | n < 2^t)$  and  $(\overline{x^{j_n} p_n(x)^{k_n}} | n < 2^t)$  form bases for  $C_t$ . By the proof of [8], lemma 5, we can write  $V_q$  as the union of  $2^t$  disjoint balls with radius  $2^{-(N+2+t)}$  and with centers  $aq^n$ ,  $0 \leq n < 2^t$ . From now on the proof is analogous to the proof of 1).

### Some examples.

1) If  $(p_n(x))$  is a sequence of polynomials with coefficients in  $\mathbb{Q}_p$  such that for all  $n$  we have that the degree of  $p_n$  is  $n$ ,  $|p_n(aq^n)| = 1$  and  $|p_n(aq^i)| < 1$  if  $0 \leq i < n$ , and if  $(k_n)$  is a sequence in  $\mathbb{N}_0$ , then  $(p_n(x)^{k_n})$  forms an orthonormal base for  $C(V_q \rightarrow K)$ . This follows immediately from lemma 1 and theorem 1, by putting  $j_n = 0$  and  $I_n = \{0, 1, \dots, n\}$  and this for all  $n$ . The case  $k_n = 1$  for all  $n$  can also be found in [8], theorem 4.

2) If  $(k_n)$  is a sequence in  $\mathbb{N}_0$ , then  $(\{x_n\}^{k_n})$  forms an orthonormal base for  $C(V_q \rightarrow K)$ . Put therefore  $p_n(x) = \{x_n\}$  in 1). If  $f$  is an element of  $C(V_q \rightarrow K)$ , and if  $s$  is a natural number different from zero, there exists a uniformly convergent expansion  $f(x) = \sum_{n=0}^{\infty} \beta_n^{(s)} \{x_n\}^s$  and we are able to give an expression for the coefficients  $\beta_n^{(s)}$ . This can be found in [8], proposition 1.

3) If  $(p_n(x))$  is a sequence in  $C(V_q \rightarrow \mathbb{Q}_p)$  such that for all  $n$  we have  $p_n(x) = \sum_{i=0}^n a_{n,i} e_i(x)$  with  $|p_n(aq^n)| = 1$  and  $|p_n(aq^i)| < 1$  if  $0 \leq i < n$ , and if  $(k_n)$  is a sequence in  $\mathbb{N}_0$ , then  $(p_n(x)^{k_n})$  forms an orthonormal base for  $C(V_q \rightarrow K)$ . This follows immediately from lemma 1 and theorem 1, by putting  $j_n = 0$  and by putting  $I_n$  equal to the empty set. The case  $k_n = 1$  for all  $n$  can also be found in [9], theorem 2.

**Remark.** We can make an analogous result for the space  $C(\mathbb{Z}_p \rightarrow K)$ : if we replace the polynomials  $(\{x_i\})$  by  $(\binom{x}{i})$  (Mahler's base) and the functions  $(e_i(x))$  by van der Put's base, then we can prove the following (we shall denote van der Put's base by  $(g_i(x))$ ):

Let  $(p_n(x))$  and  $(q_n(x))$  be sequences of continuous functions on  $\mathbb{Z}_p$  of the following form: for each  $n$   $p_n(x)$  is of the form  $p_n(x) = \sum_{i \in I_n} a_{n,i} \binom{x}{i} + \sum_{i \in \{0,1,\dots,n\} \setminus I_n} a_{n,i} g_i(x)$  with  $|a_{n,n}| = 1$  and with  $|a_{n,i}| < 1$  if  $0 \leq i < n$  ( $a_{n,i} \in \mathbb{Q}_p$ ), and for each  $n$  we have



$q_n(x) = \sum_{i \in J_n} b_{n,i} \binom{x}{i} + \sum_{i \in \{0,1,\dots,n\} \setminus J_n} b_{n,i} g_i(x)$  with  $|q_n(n)| = 1$  and  $|b_{n,i}| \leq 1$  if  $0 \leq i \leq n$  ( $b_{n,i} \in \mathcal{Q}_p$ ). If  $(j_n)$  is a sequence in  $\mathbf{N}$  and if  $(k_n)$  is a sequence in  $\mathbf{N}_0$ , then the sequence  $(q_n(x)^{j_n} p_n(x)^{k_n})$  forms an orthonormal base for  $C(\mathcal{Z}_p \rightarrow K)$ .

### 4 Continuously differentiable functions on $V_q$

In this section we give necessary and sufficient conditions for a continuous function defined on  $V_q$  to be continuously differentiable, and we find an orthonormal base for the space  $C^1(V_q \rightarrow K)$ . The result we'll find is analogous to the result for continuously differentiable functions on  $\mathcal{Z}_p$  ([5], theorem 53.5) where we replace Mahler's base by the base  $(\binom{x}{n})$ . We remark that there is a one-to-one correspondence between  $(u, v) \in V_q \times V_q$  and  $(\frac{yx}{a}, x)$  with  $(x, y) \in V_q \times V_q$  (see [7], section 2). We shall use this several times in this section. Let  $\rho_n$  be as defined in section 2, then we can prove the following :

**Proposition 1.** *Let  $f$  be an element of  $C(V_q \rightarrow K)$  with uniformly convergent expansion  $f(x) = \sum_{n=0}^{\infty} a_n \binom{x}{n}$ . If  $\lim_{n \rightarrow \infty} |a_n (\rho_n)^{-1}| = 0$ , then  $f$  is an element of  $C^1(V_q \rightarrow K)$ .*

**Proof.** Let  $f$  be in  $C(V_q \rightarrow K)$  with uniformly convergent expansion  $f(x) = \sum_{n=0}^{\infty} a_n \binom{x}{n}$ . Analogous to [5], theorems 53.4 and 53.5, we want to find an expression for  $\phi_1 f(u, v)$  for special values for  $u$  and  $v$ . Therefore, let  $x, y$  be in  $\{aq^n | n = 0, 1, 2, \dots\}$ ,  $x = aq^i$ ,  $y = aq^j$  and suppose  $y \neq a$  (i.e.  $j \neq 0$ ). Then  $\phi_1 f(\frac{yx}{a}, x) = \phi_1 f(x, \frac{yx}{a}) = \frac{f(\frac{yx}{a}) - f(x)}{\frac{yx}{a} - x} = \sum_{n=1}^{\infty} \frac{a_n}{aq^i(q^j - 1)} (\binom{i+j}{n} - \binom{i}{n})$   
 $= \sum_{n=1}^{\infty} \frac{a_n}{aq^i(q^j - 1)} (\sum_{s=0}^n \binom{j}{n-s} \binom{i}{s} q^{-(n-s)(-i+s)} - \binom{i}{n})$  (by lemma 6)  
 $= \sum_{n=1}^{\infty} \frac{a_n}{aq^i(q^j - 1)} \sum_{s=0}^{n-1} \binom{j}{n-s} \binom{i}{s} q^{-(n-s)(-i+s)}$   
 since  $\frac{1}{q^{j-1} \binom{j}{n-s}} = \frac{1}{q^{n-s-1} \binom{j-1}{n-s-1}}$ , we find, by putting  $n = s + k + 1$ , that

$$\phi_1 f\left(\frac{yx}{a}, x\right) = \sum_{k=0}^{\infty} \sum_{s=0}^{\infty} \frac{a_{k+s+1} q^{-s(k+1)}}{a^{k+1} (q^{k+1} - 1)} x^k \{x\} \{y/q\}$$

and replacing  $y$  by  $yq$  this gives us, for all  $x, y$  in  $\{aq^n | n = 0, 1, 2, \dots\}$

$$\phi_1 f\left(\frac{qyx}{a}, x\right) = \sum_{k=0}^{\infty} \sum_{s=0}^{\infty} \frac{a_{k+s+1} q^{-s(k+1)}}{a^{k+1} (q^{k+1} - 1)} x^k \{x\} \{y\} \quad (*)$$

Now  $\sup_{k+s+1=n} \left| \frac{a_{k+s+1}}{q^{k+1}-1} \right| = |a_n| \max_{1 \leq k \leq n} \left| \frac{1}{q^k - 1} \right| = |a_n (\rho_n)^{-1}|$  (lemma 7), so if  $\lim_{n \rightarrow \infty} |a_n (r_n)^{-1}| = 0$ , then  $\lim_{k+s \rightarrow \infty} \left| \frac{a_{k+s+1}}{q^{k+1}-1} \right| = 0$  and it is clear that (\*) can be extended to a continuous function ([5], exercise 23.B). So we conclude: if  $\lim_{n \rightarrow \infty} |a_n (r_n)^{-1}| = 0$ , then  $f \in C^1(V_q \rightarrow K)$ . This finishes the proof.

**Remark.** It is easy to prove that the functions  $(x^k \{x\} \{y\})$  are orthonormal in  $C(V_q \times V_q \rightarrow K)$ .

Let  $A$  be the subset of  $C(V_q \rightarrow K)$  defined as follows: if  $f$  is an element of  $C(V_q \rightarrow K)$  with uniformly convergent expansion  $f(x) = \sum_{n=0}^{\infty} a_n \{x\}$ , then  $f$  is an element of  $A$  if and only if  $\lim_{n \rightarrow \infty} |a_n (\rho_n)^{-1}| = 0$ .

**Proposition 2.** *The set  $A$  satisfies the following properties:*

- 1)  $A$  is a subset of  $C^1(V_q \rightarrow K)$  containing the polynomials
- 2)  $A$  is closed for  $\|\cdot\|_1$
- 3)  $A$  is a subalgebra of  $C^1(V_q \rightarrow K)$

**Proof.**

1) From proposition 1 it follows that  $A$  is a subset of  $C^1(V_q \rightarrow K)$ . It is clear that  $A$  contains the polynomials.

2) Suppose  $f = \lim_{n \rightarrow \infty} f_n$  for the norm  $\|\cdot\|_1$  where  $f_n \in A$  for all  $n$ . Then  $f$  is clearly continuous. So there exists the following uniformly

convergent expansions:  $f(x) = \sum_{k=0}^{\infty} a_k \{x\}$ ,  $f_n(x) = \sum_{k=0}^{\infty} a_{n,k} \{x\}$ , with

$\lim_{k \rightarrow \infty} |a_k| = 0$ ,  $\lim_{k \rightarrow \infty} |a_{n,k}| = 0$  for all  $n$ ,  $\lim_{k \rightarrow \infty} |a_{n,k} (\rho_k)^{-1}| = 0$  for all  $n$ . Suppose that  $\lim_{k \rightarrow \infty} |a_k (\rho_k)^{-1}| \neq 0$ . This will lead to a contradiction. Since  $\lim_{k \rightarrow \infty} |a_k (\rho_k)^{-1}| \neq 0$  there exists an  $\epsilon > 0$  such that for all  $\eta \in \mathbb{N}$ , there exists an  $n > \eta$  such that  $|a_n (\rho_n)^{-1}| > \epsilon$ . Let  $I$  be the set defined as follows:  $I = \{k \in \mathbb{N}_0 : |a_k (\rho_k)^{-1}| > \epsilon\}$ . Then  $I$  is infinite. Let  $\epsilon$  be as above. Then there exists a  $J \in \mathbb{N}$ , such that for all  $n \geq J$  we have  $\|f - f_n\|_1 < \epsilon$ . In particular,  $\sup_{x \neq y} \left| \frac{(f-f_n)(x) - (f-f_n)(y)}{x-y} \right| < \epsilon$ , and from the calculations in proposition 1 it follows that

$|\phi_1(f - f_J)(\frac{qyx}{a}, x)| = |\sum_{k=0}^{\infty} \sum_{s=0}^{\infty} \frac{(a_{k+s+1} - a_{J,k+s+1})q^{-s(k+1)}}{a^{k+1}(q^{k+1} - 1)} x^k \{x\}_s \{y\}_k| \leq \epsilon$  for all  $x, y$  in  $\{aq^n | n = 0, 1, 2, \dots\}$ . From this it is easy to see that  $|\frac{a_{k+s+1} - a_{J,k+s+1}}{q^{k+1} - 1}| \leq \epsilon$  for all  $k$  and  $s$ , so  $sup_{k,s} \{|\frac{a_{k+s+1} - a_{J,k+s+1}}{q^{k+1} - 1}|\} \leq \epsilon$  and thus  $sup_n \{|(a_n - a_{J,n})(\rho_n)^{-1}|\} \leq \epsilon$ . Then, if  $n \in I$  we have  $|a_{J,n}(\rho_n)^{-1}| = |(a_{J,n} - a_n)(\rho_n)^{-1} + a_n(\rho_n)^{-1}| > \epsilon$ , and from this it follows that  $lim_{k \rightarrow \infty} |a_{J,k}(\rho_k)^{-1}| \neq 0$  since  $I$  is infinite. This is impossible and we conclude that  $A$  is closed.

3) If  $f, g \in A, k, j \in K$ , then we immediately have that  $kf + jg \in A$ , and if  $r$  and  $u$  are polynomials ( $\in A$ ) then  $ru$  is a polynomial and also an element of  $A$ . From the Weierstrass-theorem for  $C^1$ -functions ([2], theorem 1.4) it follows that for each  $f, g \in A$  we have  $fg \in A$  since  $A$  is closed.

**Theorem 2.** *Let  $f$  be an element of  $C(V_q \rightarrow K)$  with uniformly convergent expansion  $f(x) = \sum_{n=0}^{\infty} a_n \{x\}_n$ . Then  $f$  is an element of  $C^1(V_q \rightarrow K)$  if and only if  $lim_{n \rightarrow \infty} |a_n(\rho_n)^{-1}| = 0$ .*

*If  $f$  is an element of  $C^1(V_q \rightarrow K)$  then  $\|f\|_1 = max_{n \geq 0} \{|a_n(\rho_n)^{-1}|\}$  and the functions  $(\rho_n \{x\}_n)$  form an orthonormal base for  $C^1(V_q \rightarrow K)$ .*

**Proof.** From proposition 2 and the Weierstrass-Stone theorem for  $C^1$ -functions ([2], theorem 2.10) it follows that  $A = C^1(V_q \rightarrow K)$ . So  $f$  is an element of  $A = C^1(V_q \rightarrow K)$  if and only if

$lim_{n \rightarrow \infty} |a_n(\rho_n)^{-1}| = 0$ . Let us first remark the following : since  $lim_{n \rightarrow \infty} |a_n(\rho_n)^{-1}| = 0$ , we have  $sup_{n \geq 1} \{|a_n(\rho_n)^{-1}|\} = max_{n \geq 1} \{|a_n(\rho_n)^{-1}|\}$  and since  $sup_{k,s \geq 0} \{|\frac{a_{k+s+1}}{q^{k+1} - 1}|\} = sup_{n \geq 1} \{|a_n(\rho_n)^{-1}|\}$  with  $k + s + 1 = n$ , we have

$max_{k,s \geq 0} \{|\frac{a_{k+s+1}}{q^{k+1} - 1}|\} = sup_{k,s \geq 0} \{|\frac{a_{k+s+1}}{q^{k+1} - 1}|\} = max_{n \geq 1} \{|a_n(\rho_n)^{-1}|\}$ . From (\*) it follows that for all  $x, y$  in  $\{aq^n | n = 0, 1, 2, \dots\}$

$\phi_1 f(\frac{qyx}{a}, x) = \sum_{k=0}^{\infty} \sum_{s=0}^{\infty} \frac{a_{k+s+1} q^{-s(k+1)}}{a^{k+1}(q^{k+1} - 1)} x^k \{x\}_s \{y\}_k$  and by continuity it then

follows that for all  $x, y$  in  $V_q$  with  $y$  different from  $aq^{-1}$  we have

$$\phi_1 f(\frac{qyx}{a}, x) = \sum_{k=0}^{\infty} \sum_{s=0}^{\infty} \frac{a_{k+s+1} q^{-s(k+1)}}{a^{k+1}(q^{k+1} - 1)} x^k \{x\}_s \{y\}_k$$

Then we immediately have  $|\phi_1 f(\frac{qyx}{a}, x)| \leq max_{k,s \geq 0} \{|\frac{a_{k+s+1}}{q^{k+1} - 1}|\}$  for all

$x, y$  in  $V_q$  with  $y \neq aq^{-1}$  and so we have  $\|\phi_1 f\|_\infty \leq \max_{k,s \geq 0} \{|\frac{a_{k+s+1}}{q^{k+1-1}}|\}$ . If  $\max_{k,s \geq 0} \{|\frac{a_{k+s+1}}{q^{k+1-1}}|\} = 0$  it is clear that  $\|\phi_1 f\|_\infty = \max_{k,s \geq 0} \{|\frac{a_{k+s+1}}{q^{k+1-1}}|\}$ . If  $\max_{k,s \geq 0} \{|\frac{a_{k+s+1}}{q^{k+1-1}}|\} > 0$ , then put  $I = \{(i, j) \in \mathbb{N} \times \mathbb{N} : |\frac{a_{j+i+1}}{q^{j+1-1}}| = \max_{k,s \geq 0} \{|\frac{a_{k+s+1}}{q^{k+1-1}}|\}\}$ . Now let  $S = \min\{i \in \mathbb{N} : \text{there exists a } j \in \mathbb{N} \text{ such that } (i, j) \in I\}$  and  $T = \min\{t \in \mathbb{N} : (S, t) \in I\}$  then it is easy to see that  $|\phi_1 f(\frac{a}{q} aq^S aq^T, aq^S)| = |\frac{a_{T+S+1}}{q^{T+1-1}}| = \max_{k,s \geq 0} \{|\frac{a_{k+s+1}}{q^{k+1-1}}|\}$  and so we conclude  $\|\phi_1 f\|_\infty = \max_{k,s \geq 0} \{|\frac{a_{k+s+1}}{q^{k+1-1}}|\} = \max_{n \geq 1} \{|a_n(\rho_n)^{-1}|\}$ . Since  $\|f\|_1 = \max\{\|f\|_\infty, \|\phi_1 f\|_\infty\} = \max\{\max_{n \geq 0} \{|a_n|\}, \max_{n \geq 1} \{|a_n(\rho_n)^{-1}|\}\}$  and since  $|(\rho_n)^{-1}| \geq 1$  for all  $n$  we conclude that  $\|f\|_1 = \max_{n \geq 0} \{|a_n(\rho_n)^{-1}|\}$ . From this it follows that  $\|\{x_n\}\|_1 = |(\rho_n)^{-1}|$  so  $\|\rho_n \{x_n\}\|_1 = 1$ . Furthermore,  $f(x) = \sum_{n=0}^{\infty} a_n \{x_n\} = \sum_{n=0}^{\infty} \frac{a_n}{\rho_n} \rho_n \{x_n\}$  with  $\|f\|_1 = \max_{n \geq 0} \{|a_n(\rho_n)^{-1}|\} = \max_{n \geq 0} \{\|\frac{a_n}{\rho_n} \rho_n \{x_n\}\|_1\}$  so the functions  $(\rho_n \{x_n\})$  form an orthonormal base for  $C^1(V_q \rightarrow K)$ . This finishes the proof.

## References

- [1] Y. Amice, *Les Nombres p-adiques*. Presses Universitaires de France, Paris, 1975 (Collection SUP, Le Mathématicien, 14).
- [2] J. Araujo and W. H. Schikhof, *The Weierstrass-Stone Approximation Theorem for p-adic  $C^n$ -functions*, Annales Mathématiques Blaise Pascal, Volume 1, No 1, Janvier 1994, p. 61-74.
- [3] L. Gruson and M. van der Put, *Banach Spaces*, Table Ronde d'Analyse non-archimédienne (1972 Paris), Bulletin de la Société Mathématique de France, Memoire 39-40, 1974, p. 55 - 100.
- [4] K. Mahler, *An Interpolation Series for Continuous Functions of a p-adic Variable*, Journal für reine und angewandte Mathematik, vol. 199, 1958, p. 23-34.
- [5] W. H. Schikhof, *Ultrametric Calculus : An Introduction to p-adic Analysis*, Cambridge University Press, 1984.

- [6] A.C.M. van Rooij, *Non-Archimedean Functional Analysis*, Marcel Dekker, 1978 (Pure and Applied Mathematics, 51).
- [7] A. Verdoodt, *Jackson's Formula with Remainder in  $p$ -adic Analysis*, *Indagationes Mathematicae, N.S.*, 4 (3), p. 375-384, september 1993.
- [8] A. Verdoodt, *Normal Bases for Non-Archimedean Spaces of Continuous Functions*, *Publicacions Matemàtiques*, vol. 37, 1993, p. 403-427.
- [9] A. Verdoodt, *Normal Bases for the Space of Continuous Functions defined on a Subset of  $\mathbb{Z}_p$* , *Publicacions Matemàtiques*, vol 38, nr 2, 1994, p. 371-380.

Vrije Universiteit Brussel,  
Faculty of Applied Sciences,  
Pleinlaan 2,  
B-1050 Brussels, Belgium.

Recibido: 6 de Febrero de 1995