

## *Multiplicative functionals on function algebras*

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**ABSTRACT.** Let  $X$  be a completely regular Hausdorff space and  $C(X)$  the algebra of all continuous  $\mathbb{K}$ -valued functions on  $X$  ( $\mathbb{K} = \mathbb{R}$  o  $\mathbb{C}$ ). If  $A \subseteq C(X)$  is a subalgebra, in [4] can be found conditions on  $A$  under which each character of  $A$ , i.e., each non-zero  $\mathbb{K}$ -linear multiplicative functional  $\phi: A \rightarrow \mathbb{K}$ , is given by a point evaluation at some point of  $X$ .

In this paper we present a «Michael» type theorem for the particular case in which  $X$  is a real Banach space. As consequence it is showed that if  $E$  is a separable Banach space or  $E$  is the topological dual space of a separable Banach space and  $A$  is the algebra of all real analytic or the algebra of all real  $C^m$ -functions,  $m = 0, 1, \dots, \infty$ , on  $E$ , then every character  $\phi$  of  $A$  is a point evaluation at some point of  $E$ .

Let  $E$  be a real Banach space with topological dual  $E'$  and let  $C(E)$  be the algebra of all continuous  $\mathbb{R}$ -valued functions on  $E$ . Let  $l^1(\mathbb{N}) = \{\alpha = (\alpha_n) \in \mathbb{R}^{\mathbb{N}} : \sum_{n=1}^{\infty} |\alpha_n| < \infty\}$ .

**Theorem 1.** *Assume that there exists  $(\phi_n)_{n=1}^{\infty} \subset E'$ ,  $\|\phi_n\| \leq 1$  for every  $n \in \mathbb{N}$ , such that  $(\phi_n)$  separates points of  $E$ . Let  $A \subseteq C(E)$  be a subalgebra with  $1 \in A$ . Assume:*

(i) *If  $f \in A$ ,  $f(x) \neq 0$  for all  $x \in E$ , then  $1/f \in A$ .*

(ii)  *$E' \subset A$  and for every  $\alpha = (\alpha_n) \in l^1(\mathbb{N})$ , the function  $\sum_{n=1}^{\infty} \alpha_n \cdot \phi_n^2$  belongs to  $A$ .*

*Then every character  $\phi: A \rightarrow \mathbb{R}$ , such that  $\phi(\phi_n) = \phi_n(a)$  for every  $n \in \mathbb{N}$  and some  $a \in E$ , is the point evaluation at  $a$ .*

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**Proof.** Let  $\alpha = (\alpha_n) \in l^1(\mathbb{N})$  with  $\alpha_n > 0$  for all  $n \in \mathbb{N}$ . Condition (ii) implies that the functions:

$$f(x) = \sum_{n=1}^{\infty} \alpha_n \phi_n^2(x-a) \text{ and } g(x) = \sum_{n=1}^{\infty} \frac{\alpha_n}{n} \phi_n^2(x-a) \text{ belong to } A$$

For each  $N \in \mathbb{N}$ , let  $x \in E$  such that  $\phi(f) = f(x)$ ;  $\phi(g) = g(x)$  and  $\phi(\phi_i) = \phi_i(x)$ ,  $i = 1, \dots, N$  (a such  $x$  exists after condition (i)). For this  $x \in E$ , we have

$$\phi(f) = \sum_{n=1}^{\infty} \alpha_n \phi_n^2(x-a) ; \quad \phi(g) = \sum_{n=1}^{\infty} \frac{\alpha_n}{n} \phi_n^2(x-a)$$

Therefore  $0 \leq N\phi(g) \leq \phi(f)$  and it follows that  $\phi(g) = 0$ .

If  $h \in A$  is given, let  $y \in E$  such that  $\phi(h) = h(y)$  and  $\phi(g) = g(y)$ . Since  $\phi(g) = g(y) = 0$ , it follows that  $\phi_n(y) = \phi_n(a)$  for all  $n \in \mathbb{N}$ , i.e.,  $y = a$  and  $\phi(h) = h(a)$ .

**Remark 1.** The hypothesis on the real Banach space  $E$  in Theorem 1 is equivalent to say that  $E'$  is  $\sigma(E'; E)$ -separable. Therefore it holds when  $E$  is a separable Banach space and when  $E$  is the topological dual space of a separable Banach space.

## Consequences

Let  $A(E)$  be, respectively  $C^m(E)$  ( $m = 0, 1, \dots, \infty$ ), the subalgebra of  $C(E)$  of all real analytic functions (see [2]), respectively of all  $C^m$ -functions in the Fréchet sense, on  $E$ .

**Corollary 1.** *If  $E$  is finite dimensional and  $A = A(E)$  or  $A = C^m(E)$ , then every character  $\phi: A \rightarrow \mathbb{R}$  is a point evaluation at some point of  $E$ .*

**Proof.** This follows from Theorem 1 if we consider  $(\phi_n)$  as the canonical projections.

**Proposition 1.** *For every character  $\phi: A(E) \rightarrow \mathbb{R}$ , the restriction  $\phi|_{E'}$  is  $\sigma(E'; E)$ -sequentially continuous.*

**Proof.** Assume that  $(x'_n) \subset E'$  converges to zero for the  $\sigma(E'; E)$ -topology. If  $\phi(x'_n) \not\rightarrow 0$ , there are  $\alpha > 0$  and  $(x'_{np})$ , subsequence of  $(x'_n)$ , such that

$$\left[ \phi \left[ \frac{x'_{np}}{\sqrt{\alpha}} \right] \right]^2 > 1$$

for every  $p \in \mathbb{N}$ . Since  $(x'_{np}) \rightarrow 0$  ( $p \rightarrow \infty$ ) for the  $\sigma(E'; E)$ -topology,

the function

$$f(x) = \sum_{p=1}^{\infty} \left[ \frac{x'_{np}(x)}{\sqrt{\alpha}} \right]^{2p}$$

is well defined and  $f \in A(E)$ . (See ([2], Th. 6)). For each  $N \in \mathbb{N}$ ,

$$\phi(f) \geq \phi \left[ \sum_{p=1}^N \left[ \frac{x'_{np}}{\sqrt{\alpha}} \right]^{2p} \right] = \sum_{p=1}^N \left[ \phi \left[ \frac{x'_{np}}{\sqrt{\alpha}} \right] \right]^{2p}$$

Therefore  $\sum_{p=1}^{\infty} \left[ \phi \left[ \frac{x'_{np}}{\sqrt{\alpha}} \right] \right]^{2p} < \infty$  and then  $\left[ \phi \left[ \frac{x'_{np}}{\sqrt{\alpha}} \right] \right]^{2p} \rightarrow 0$  ( $p \rightarrow \infty$ ), which is a contradiction because  $\left[ \phi \left[ \frac{x'_{np}}{\sqrt{\alpha}} \right] \right]^2 > 1$  for all  $p \in \mathbb{N}$ .

**Corollary 2.** *Let  $E$  be a separable Banach space and  $\phi: A(E) \rightarrow \mathbb{R}$  a character. Then  $\phi|_E$  is a point evaluation at some point of  $E$ .*

**Proof.** This is immediate from Prop. 1, since by ([5], Ch. IV; Th. 6.2 and Corollary 3) for  $\phi|_E$  to be  $\sigma(E'; E)$ -continuous it suffices to show that  $\phi|_E$  is  $\sigma(E'; E)$ -sequentially continuous.

**Corollary 3.** *Let  $E$  be a separable Banach space and  $\phi: A(E) \rightarrow \mathbb{R}$  a character. Then  $\phi$  is a point evaluation at some point of  $E$ .*

**Proof.** This is immediate from Theorem 1, Remark 1 and Corollary 2.

Let  $F$  be a separable Banach space and  $(y_n)_{n=1}^{\infty}$  a dense subset in  $\{y \in F : \|y\| \leq 1\}$ . Let  $E = F'$ . Let  $\phi_n: E \rightarrow \mathbb{R}$  be defined as  $\phi_n(x) = x(y_n)$ . Then  $\phi_n \in E'$ ,  $\|\phi_n\| \leq 1$  and  $(\phi_n)_{n=1}^{\infty}$  separates points of  $E$ . The mapping  $y \rightarrow \phi_y$ , defined as  $\phi_y(x) = x(y)$ , allow us identify  $F$  with a subspace of  $E' = F''$ . Thus, if  $\phi: A(E) \rightarrow \mathbb{R}$  is a character, Prop. 1 implies that  $\phi|_F$  is  $\|\cdot\|$ -continuous, therefore  $\phi|_F \in F' = E$ . Then, it follows that there exists  $a \in E$  such that  $\phi(\phi_n) = \phi_n(a)$  for all  $n \in \mathbb{N}$ . Now the following Corollary is clear after Theorem 1.

**Corollary 4.** *Let  $E$  be a topological dual space of a separable Banach space and  $\phi: A(E) \rightarrow \mathbb{R}$  a character. Then  $\phi$  is a point evaluation at some point of  $E$ .*

**Corollary 5.** *Assume that  $E$  is a separable Banach space or  $E$  is the topological dual space of a separable Banach space. Then every character  $\phi: C^m(E) \rightarrow \mathbb{R}$ ,  $m = 0, 1, \dots, \infty$ , is a point evaluation at some point of  $E$ .*

**Proof.**  $\phi|_{A(E)}$  is a point evaluation by Corollary 3 and Corollary 4. Thus,  $\phi$  satisfies conditions of Theorem 1 with  $A = C^m(E)$ .

**Remark 2.** The Corollary 5, for the particular case  $E$  a separable Banach space and  $m = \infty$ , can be found in [1]. Also, for  $E$  with  $C^m$ -partitions of unity and  $m < \infty$ , see [3].

## References

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