

## Specializations of Jordan superalgebras

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**Abstract.** We construct universal associative enveloping algebras for a large class of Jordan superalgebras.

### Especializaciones de superálgebras de Jordan

**Resumen.** Construimos álgebras envolventes universales asociativas para varias superálgebras de Jordan.

## 1. Introduction

Let  $F$  be a ground field of characteristic  $\neq 2$ . A (linear) Jordan algebra is a vector space  $J$  with a binary bilinear operation  $(x, y) \rightarrow xy$  satisfying the following identities:

$$(J1) \quad xy = yx$$

$$(J2) \quad (x^2y)x = x^2(yx)$$

For an element  $x \in J$  let  $R(x)$  denote the right multiplication  $R(x) : a \rightarrow ax$  in  $J$ . If  $x, y, z \in J$  then by  $\{x, y, z\}$  we denote their Jordan triple product  $\{x, y, z\} = (xy)z + x(yz) - y(xz)$ .

A Jordan algebra  $J$  is called *special* if it is embeddable into an algebra of type  $A^{(+)}$ , where  $A$  is an associative algebra. The algebra  $H_3(O)$  is exceptional. A homomorphism  $J \rightarrow A^{(+)}$  is called a *specialization* of a Jordan algebra  $J$ . N. Jacobson [3] introduced the notion of a universal associative enveloping algebra  $U = U(J)$  of a Jordan algebra  $J$  and showed that the category of specializations of  $J$  is equivalent to the category of homomorphisms of the associative algebra  $U(J)$ .

Let  $V$  be a Jordan bimodule over the algebra  $J$  (see [3]). We call  $V$  a one-sided bimodule if  $\{J, V, J\} = (0)$ . In this case, the mapping  $a \rightarrow 2R_V(a) \in \text{End}_F V$  is a specialization. The category of one-sided bimodules over  $J$  is equivalent to the category of right (left)  $U(J)$ -modules.

N. Jacobson [3] found universal associative enveloping algebras for all simple finite dimensional Jordan algebras.

In this paper we study specializations and one-sided bimodules of Jordan superalgebras. Let us introduce the definitions.

By a superalgebra we mean a  $\mathbb{Z}/2\mathbb{Z}$ -graded algebra  $A = A_{\bar{0}} + A_{\bar{1}}$ . We define  $|a| = 0$  if  $a \in A_{\bar{0}}$  and  $|a| = 1$  if  $a \in A_{\bar{1}}$ .

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For instance, if  $V$  is a vector space of countable dimension, and  $G(V) = G(V)_{\bar{0}} + G(V)_{\bar{1}}$  is the Grassmann algebra over  $V$ , that is, the quotient of the tensor algebra over the ideal generated by the symmetric tensors, then  $G(V)$  is a superalgebra. Its even part is the linear span of all products of even length and the odd part is the linear span of all products of odd length.

If  $A$  is a superalgebra, its *Grassmann enveloping algebra* is the subalgebra of  $A \otimes G(V)$  given by  $G(A) = A_{\bar{0}} \otimes G(V)_{\bar{0}} + A_{\bar{1}} \otimes G(V)_{\bar{1}}$ .

Let  $\mathcal{V}$  be a homogeneous variety of algebras, that is, a class of  $F$ -algebras satisfying a certain set of homogeneous identities and all their partial linearizations (see [20]).

**Definition 1** A superalgebra  $A = A_{\bar{0}} + A_{\bar{1}}$  is called a  $\mathcal{V}$  superalgebra if  $G(A) \in \mathcal{V}$ .

C. T. C. Wall [19] showed that every simple finite-dimensional associative superalgebra over an algebraically closed field  $F$  is isomorphic to the superalgebra

$$M_{m,n}(F) = \left\{ \begin{pmatrix} A & 0 \\ 0 & D \end{pmatrix}, A \in M_m(F), D \in M_n(F) \right\} + \left\{ \begin{pmatrix} 0 & B \\ C & 0 \end{pmatrix}, B \in M_{m \times n}(F), C \in M_{n \times m}(F) \right\}$$

or to the superalgebra

$$P(n) = \left\{ \begin{pmatrix} A & 0 \\ 0 & A \end{pmatrix}, A \in M_n(F) \right\} + \left\{ \begin{pmatrix} 0 & B \\ B & 0 \end{pmatrix}, B \in M_n(F) \right\}.$$

Jordan superalgebras were first studied by V. Kac [5] and I. Kaplansky [10,11]. In [5] V. Kac (see also I. L. Kantor [8,9]) classified simple finite dimensional Jordan superalgebras over an algebraically closed field of zero characteristic. In [16] this classification was extended to simple finite dimensional Jordan superalgebras, with semisimple even part, over characteristic  $p > 2$ ; a few new exceptional superalgebras in characteristic 3 were added to the list. In [13] the remaining case of Jordan superalgebras with nonsemisimple even part was tackled.

Let's consider the examples that arise in these classifications.

If  $A = A_{\bar{0}} + A_{\bar{1}}$  is an associative superalgebra then the superalgebra  $A^{(+)}$ , with the new product  $a \cdot b = \frac{1}{2}(ab + (-1)^{|a||b|}ba)$  is Jordan. This leads to two superalgebras:

- 1)  $M_{m,n}^{(+)}(F)$ ,  $m \geq 1$ ,  $n \geq 1$ ;
- 2)  $P(n)^{(+)}$ ,  $n \geq 2$ ;

If  $A$  is an associative superalgebra and  $\star : A \rightarrow A$  is a superinvolution, that is,  $(a^{\star})^{\star} = a$ ,  $(ab)^{\star} = (-1)^{|a||b|}b^{\star}a^{\star}$ , then  $H(A, \star) = H(A_{\bar{0}}, \star) + H(A_{\bar{1}}, \star)$  is a subsuperalgebra of  $A^{(+)}$ . The following two subalgebras of  $M_{m,n}^{(+)}$  are of this type:

3)  $Osp_{m,n}(F)$  if  $n = 2k$  is even. The superalgebra consists of matrices  $\begin{pmatrix} A & B \\ C & D \end{pmatrix}$ , where  $A^t = A \in M_m(F)$ ,  $C = J^{-1}B^t \in M_{n \times m}(F)$ ,  $D = J^{-1}D^tJ \in M_n(F)$ ,  $J = \begin{pmatrix} 0 & I_k \\ -I_k & 0 \end{pmatrix}$ ;

4)  $Q(n) = \left\{ \begin{pmatrix} A & B \\ C & D \end{pmatrix}, D = A^t, B^t = B, C^t = -C \in M_n(F) \right\}$ .

5) Let  $V = V_{\bar{0}} + V_{\bar{1}}$  be a  $Z/2Z$ -graded vector space with a superform  $\langle, \rangle : V \times V \rightarrow F$  which is symmetric on  $V_{\bar{0}}$ , skewsymmetric in  $V_{\bar{1}}$  and  $\langle V_{\bar{0}}, V_{\bar{1}} \rangle = (0) = \langle V_{\bar{1}}, V_{\bar{0}} \rangle$ .

The superalgebra  $J = F1 + V = (F1 + V_{\bar{0}}) + V_{\bar{1}}$  is Jordan.

6) The 3-dimensional Kaplansky superalgebra,  $K_3 = Fe + (Fx + Fy)$ , with the multiplication  $e^2 = e$ ,  $ex = \frac{1}{2}x, ey = \frac{1}{2}y, [x, y] = e$ .

7) The 1-parametric family of 4-dimensional superalgebras  $D_t$  is defined as  $D_t = (Fe_1 + Fe_2) + (Fx + Fy)$  with the product:  $e_i^2 = e_i, e_1e_2 = 0, e_ix = \frac{1}{2}x, e_iy = \frac{1}{2}y, xy = e_1 + te_2, i = 1, 2$ .

The superalgebra  $D_t$  is simple if  $t \neq 0$ . In the case  $t = -1$ , the superalgebra  $D_{-1}$  is isomorphic to  $M_{1,1}(F)$ .

8) The 10-dimensional Kac superalgebra (see [5]) has been proved to be exceptional in [15]. In characteristic 3 this superalgebra is not simple, but it has a subalgebra of dimension 9 that is simple (the degenerated Kac superalgebra. There are two other examples of simple Jordan superalgebras in  $\text{ch } F = 3$ , both of them exceptional (see [16]).

9) We will consider now Jordan superalgebras defined by a bracket.

If  $A = A_{\bar{0}} + A_{\bar{1}}$  is an associative commutative superalgebra with a bracket on  $A, \{, \} : A \times A \rightarrow A$ , the Kantor Double of  $(A, \{, \})$  is a the superalgebra  $J = A + Ax$  with the  $Z/2Z$  gradation  $J_{\bar{0}} = A_{\bar{0}} + A_{\bar{1}}x, J_{\bar{1}} = A_{\bar{1}} + A_{\bar{0}}x$  and the multiplication in  $J$  given by:  $a(bx) = (ab)x, (bx)a = (-1)^{|a|}(ba)x, (ax)(bx) = (-1)^{|b|}\{a, b\}$ , and the product (in  $J$ ) of two elements of  $A$  is just the product of them in  $A$ .

A bracket on  $A$  is called a *Jordan bracket* if the Kantor Double  $J(A, \{, \})$  is a Jordan superalgebra. Every Poisson bracket is a Jordan bracket.

10) Let  $Z$  be a unital associative commutative algebra with a derivation  $d : Z \rightarrow Z$ . Consider the superalgebra  $CK(Z, d) = A + M$ , where  $A = J_{\bar{0}} = Z + \sum_{i=1}^3 w_i Z, M = J_{\bar{1}} = xZ + \sum_{i=1}^3 x_i Z$  are free  $Z$ -modules of rank 4. The multiplication on  $A$  is  $Z$ -linear and  $w_i w_j = 0, i \neq j, w_1^2 = w_2^2 = 1, w_3^2 = -1$ .

Denote  $x_{i \times i} = 0, x_{1 \times 2} = -x_{2 \times 1} = x_3, x_{1 \times 3} = -x_{3 \times 1} = x_2, -x_{2 \times 3} = x_{3 \times 2} = x_1$ .

The bimodule structure and the bracket on  $M$  are defined via the following tables:

	$g$	$w_j g$
$xf$	$x(fg)$	$x_j(fg^d)$
$x_i f$	$x_i(fg)$	$x_{i \times j}(fg)$

	$xg$	$x_j g$
$xf$	$f^d g - fg^d$	$-w_j(fg)$
$x_i f$	$w_i(fg)$	$0$

The superalgebra  $CK(Z, d)$  is simple if and only if  $Z$  does not contain proper  $d$ -invariant ideals.

In [5], [8] it was shown that simple finite dimensional Jordan superalgebras over an algebraically closed field  $F$  of zero characteristic are those of examples 1) - 8) and the Kantor Double (example 9) of the Grassmann algebra with the bracket  $\{f, g\} = \sum (-1)^{|f|} \frac{\partial f}{\partial \xi_i} \frac{\partial g}{\partial \xi_i}$ .

The examples 9), 10) are related to infinite dimensional *superconformal* Lie superalgebras (see [6], [7]). In particular, the superalgebras  $CK(Z, D)$  correspond to an important superconformal algebra discovered in [1] and [2].

In [13] it was shown that the only simple finite dimensional Jordan superalgebras over an algebraically closed field of characteristic  $p > 2$  with nonsemisimple even part are superalgebras 9), 10) built on truncated polynomials.

## 2. Universal enveloping algebras

In what follows the ground field  $F$  is assumed to be algebraically closed.

**1.** Let  $U$  be a universal associative enveloping algebra of a special Jordan superalgebra  $J$ ,  $u : J \rightarrow U$  a universal specialization. The algebra  $U$  is equipped with a natural superinvolution  $\star$  leaving all elements from  $u(J)$  fixed. Then  $u(J) \subseteq H(U, \star)$ . We call a superalgebra  $J$  *reflexive* if  $u(J) = H(U, \star)$ .

**Theorem 1** *All superalgebras of examples 1) - 4) are reflexive except the following ones:  $M_{1,1}^{(+)}(F)$ ,  $Osp(1, 2) \simeq D(-2)$ ,  $Q(2)$ . Hence,*

$$\begin{cases} U(M_{m,n}^{(+)}(F)) \simeq M_{m,n}(F) \oplus M_{m,n}(F) & \text{for } (m, n) \neq (1, 1); \\ U(P^{(+)}(n)) = P(n) \oplus P(n), & n \geq 2; \\ U(osp(m, n)) \simeq M_{m,n}(F), & (m, n) \neq (1, 2); \\ U(Q(n)) \simeq M_{n,n}(F), & n \geq 3. \end{cases}$$

**2.** Let  $Z$  be an associative commutative algebra with a derivation  $D : Z \rightarrow Z$ . Let  $W = \langle Z, D \rangle$  and let  $u : CK(Z, D) \rightarrow M_{2,2}(W)$  be the embedding found in [12]

The embedding  $u$  extends the embedding of Kantor doubles of brackets of vector type found in [14]

**Theorem 2**  $U(CK(Z, D)) = M_{2,2}(W)$ , the embedding  $u$  is universal.

**3.** The superalgebra of  $CK(Z, D)$  spanned over  $F$  by the elements  $1, w_1, w_2, w_3, x, x_1, x_2, x_3$  is isomorphic to  $Q(2)$ .

**Theorem 3** *The restriction of the embedding  $u$  (see above) to  $Q(2)$  is a universal specialization;*

$$U(Q(2)) \simeq M_{2,2}(F[t]),$$

where  $F[t]$  is a polynomial algebra in one variable.

**4.** Let us describe the universal associative enveloping superalgebra of  $M_{1,1}(F)$ . Consider the ring of polynomials and the field of rational functions in two variables,  $F[z_1, z_2] \subseteq F(z_1, z_2)$ . Let  $K$  be the quadratic extension of  $F(z_1, z_2)$  generated by a root of the equation  $a^2 + a - z_1 z_2 = 0$ . Consider the subring  $A = F[z_1, z_2] + F[z_1, z_2]a$  and the subspaces  $M_{12} = F[z_1, z_2] + F[z_1, z_2]a^{-1}z_2$ ,  $M_{21} = F[z_1, z_2]z_1 + F[z_1, z_2]a$  of  $K$ . Then  $U = \begin{pmatrix} A & M_{12} \\ M_{21} & A \end{pmatrix}$  is a subring of  $M_2(K)$ .

**Theorem 4**  $U(M_{1,1}(F)) \simeq \begin{pmatrix} A & M_{12} \\ M_{21} & A \end{pmatrix}$ . The mapping

$$u : \begin{pmatrix} \alpha_{11} & \alpha_{12} \\ \alpha_{21} & \alpha_{22} \end{pmatrix} \rightarrow \begin{pmatrix} \alpha_{11} & \alpha_{12} + \alpha_{21}a^{-1}z_2 \\ \alpha_{12}z_1 + \alpha_{21}a & \alpha_{22} \end{pmatrix}$$

is a universal specialization.

**5.** Let  $V = V_0 + V_1$  be a  $Z/2Z$ -graded vector space,  $\dim V_0 = m$ ,  $\dim V_1 = 2m$ ; let  $\langle, \rangle : V \times V \rightarrow F$  be a supersymmetric bilinear form on  $V$ . The universal associative enveloping algebra of the Jordan algebra  $F1 + V_0$  is the Clifford algebra  $Cl(m) = \langle 1, e_1, \dots, e_m | e_i e_j + e_j e_i = 0, i \neq j, e_i^2 = 1 \rangle$  (see [3]). Assuming the generators  $e_1, \dots, e_m$  to be odd, we get a  $Z/2Z$ -gradation on  $Cl(m)$ .

In  $V_1$  we can find a basis  $v_1, w_1, \dots, v_n, w_n$  such that  $\langle v_i, w_j \rangle = \delta_{ij}$ ,  $\langle v_i, v_j \rangle = \langle w_i, w_j \rangle = 0$ . Consider the Weyl algebra  $W_n = \langle 1, x_i, y_i, 1 \leq i \leq n | [x_i, y_j] = \delta_{ij}, [x_i, x_j] = [y_i, y_j] = 0 \rangle$ . Assuming

$x_i, y_i, 1 \leq i \leq n$  to be odd, we make  $W_n$  a superalgebra. The universal associative enveloping algebra of  $F1 + V$  is isomorphic to the (super)tensor product  $Cl(m) \otimes_F W_n$ .

**6.** Let  $osp(1, 2)$  denote the Lie subsuperalgebra of  $M_{1,2}(F)$  which consists of skewsymmetric elements with respect to the orthosymplectic superinvolution. Let  $x, y$  be the standard basis of the odd part of  $osp(1, 2)$ .

**Theorem 5** (I. Shestakov) *The universal enveloping algebra of  $K_3$  is isomorphic to  $U(osp(1, 2)/id([x, y]^2 - [x, y]))$ , where  $U(osp(1, 2))$  is the universal associative enveloping algebra of  $osp(1, 2)$  and  $id([x, y]^2 - [x, y])$  is the ideal of  $U(osp(1, 2))$  generated by  $[x, y]^2 - [x, y]$ .*

Clearly, if  $chF = 0$  then  $K_3$  does not have nonzero specializations that are finite dimensional algebras. If  $chF = p > 0$  then  $K_3$  has such specializations.

**7.** Let us consider the superalgebras  $D(t)$ . We will assume that  $t \neq -1, 0, 1$ , because  $D(-1) \simeq M_{1,1}(F)$ ;  $D(0) \simeq K_3 + F$ ;  $D(1)$  is a Jordan superalgebra of a superform.

**Theorem 6** (I. Shestakov) *The universal enveloping algebra of  $D(t)$  is isomorphic to*

$$U(osp(1, 2)/id([x, y]^2 - (1 + t)[x, y] + t)).$$

**Corollary 1** *If  $chF = 0$  then all finite dimensional one-sided bimodules over  $D(t)$  are completely reducible.*

Indeed, it is known (see [4]) that finite dimensional representations of the Lie superalgebra  $osp(1, 2)$  are completely reducible.

Now we will assume that  $chF = 0$  and will classify irreducible finite dimensional one-sided bimodules over  $D(t)$ . Let us first consider four infinite dimensional Verma type right modules over  $U(D(t))$ . Each of these bimodules is generated by an even highest weight element  $v$ .

$V_1(t) = vU(d(t))$ . Defining relations:  $v(xy + yx) = (2t + 1)v, vy^2 = 0, ve_1 = v, ve_2 = 0$ . Basis:  $v, vy, vx^i, i \geq 1$ .

$V_2(t) = vU(d(t))$ . Defining relations:  $v(xy + yx) = (2t + 1)v, vy = 0, ve_1 = v, ve_2 = 0$ . Basis:  $v, vx^i, i \geq 1$ .

Changing parity we get two new bimodules  $V_1(t)^{op}$  and  $V_2(t)^{op}$ .

Each of these bimodules has the unique irreducible homomorphism image  $W_1(t)$  or  $W_2(t)$  or  $W_1(t)^{op}$  or  $W_2(t)^{op}$  respectively.

**Theorem 7** *If  $t = \frac{-(m+1)}{m}, m \geq 1$ , then  $D(t)$  has two irreducible finite dimensional one sided bimodules  $W_1(t)$  and  $W_1(t)^{op}$ .*

*If  $t = \frac{-m}{m+1}, m \geq 1$ , then  $D(t)$  has two irreducible finite dimensional one sided bimodules  $W_2(t)$  and  $W_2(t)^{op}$ .*

*If  $t$  can not be represented as  $-\frac{m+1}{m}$  or  $-\frac{m}{m+1}$ , where  $m$  is a positive integer, then  $D(t)$  does not have nonzero finite dimensional specializations.*

If  $chF = p > 2$  then for an arbitrary  $t$  the superalgebra  $D(t)$  can be embedded into a finite dimensional associative superalgebra. It suffices to notice that  $D(t) \subseteq CK(F[t]t^p = 0, d/dt)$ .

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