

## ***f*-POLYNOMIALS, *h*-POLYNOMIALS AND $l^2$ -EULER CHARACTERISTICS**

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*Abstract*

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We introduce a many-variable version of the  $f$ -polynomial and  $h$ -polynomial associated to a finite simplicial complex. In this context the  $h$ -polynomial is actually a rational function. We establish connections with the  $l^2$ -Euler characteristic of right-angled buildings. When  $L$  is a triangulation of a sphere we obtain a new formula for the  $l^2$ -Euler characteristic.

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### 1. $f$ -polynomials and $h$ -polynomials

Let us recall the definitions of the  $f$ -polynomial and  $h$ -polynomial. Suppose  $L$  is a finite simplicial complex of dimension  $m - 1$ , that  $f_i$  is the number of  $i$ -simplices in  $L$  and that  $f_{-1} = 1$ . The  $f$ -vector of  $L$  is the  $m$ -tuple  $(f_{-1}, f_0, \dots, f_{m-1})$  and the  $h$ -vector  $(h_0, \dots, h_m)$  is defined by the equation:

$$\sum_{i=0}^m f_{i-1} (t-1)^{m-i} = \sum_{i=0}^m h_i t^{m-i}.$$

The  $f$ -polynomial  $f(t) = f_L(t)$  and the  $h$ -polynomial  $h(t) = h_L(t)$  are defined by:

$$f(t) = \sum_{i=0}^m f_{i-1} t^i$$

$$h(t) = \sum_{i=0}^m h_i t^i.$$

Replacing  $t$  by  $t - 1$  in the equation defining the  $h$ -vector and multiplying each side by  $t^m$  we see that the relation between the  $f$ -polynomial

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and  $h$ -polynomial can be written as:

$$h(t) = (1-t)^m f\left(\frac{t}{1-t}\right)$$

or, by replacing  $t$  by  $-t$  as:

$$h(-t) = (1+t)^m f\left(\frac{-t}{1+t}\right).$$

It is  $h(-t)$  that is of interest in  $l^2$ -topology.

For example, the  $f$ -polynomial and  $h$ -polynomial for a triangulation of a 1-sphere as a 4-gon are:

$$f(t) = 1 + 4t + 4t^2$$

$$h(t) = t^2 + 2t + 1.$$

We now proceed to define the  $f$ -polynomial and  $h$ -polynomial in several variables. Given a finite simplicial complex  $L$  as above, denote by  $\mathcal{S}(L)$  the set of simplices in  $L$  together with the empty set  $\emptyset$ . Let  $v_1, v_2, \dots, v_n$  be the vertices of  $L$  and  $\mathbf{t} = (t_1, t_2, \dots, t_n)$ . If  $\sigma \in \mathcal{S}(L)$  let  $I(\sigma) = \{i | v_i \in \sigma\}$ .

We define the monomials:

$$\mathbf{t}_\sigma = \prod_{i \in I(\sigma)} t_i \text{ and } \mathbf{t}_\emptyset = 1.$$

Similarly, we define:

$$(\mathbf{1} + \mathbf{t})_\sigma = \prod_{i \in I(\sigma)} (1 + t_i)$$

$$\left(\frac{-\mathbf{t}}{\mathbf{1} + \mathbf{t}}\right)_\sigma = \prod_{i \in I(\sigma)} \frac{-t_i}{1 + t_i}.$$

In this several variables context the correct version of the  $f$ -polynomial  $f(\mathbf{t}) = f_L(\mathbf{t})$  is defined by:

$$f(\mathbf{t}) = \sum_{\sigma \in \mathcal{S}(L)} \mathbf{t}_\sigma$$

while the “ $h$ -polynomial”  $H(\mathbf{t}) = H_L(\mathbf{t})$  is defined by:

$$H(\mathbf{t}) = f\left(\frac{-\mathbf{t}}{\mathbf{1} + \mathbf{t}}\right).$$

For example, if  $L$  is a 4-gon then  $\mathbf{t} = (t_1, t_2, t_3, t_4)$  and

$$f(\mathbf{t}) = 1 + t_1 + t_2 + t_3 + t_4 + t_1t_2 + t_2t_3 + t_3t_4 + t_4t_1$$

while

$$H(t_1, t_2, t_3, t_4) = f\left(\frac{-t_1}{1+t_1}, \frac{-t_2}{1+t_2}, \frac{-t_3}{1+t_3}, \frac{-t_4}{1+t_4}\right).$$

When  $t_1 = t_2 = \dots = t_m = t$  we obtain the one variable version of the  $f$ -polynomial but

$$H(t) = \frac{h(-t)}{(1+t)^m}.$$

This version of the “ $h$ -polynomial” is useful for applications in  $l^2$ -topology. In combinatorics,  $H(-\mathbf{t})$  could be of interest.

## 2. $h$ -polynomials and $l^2$ -Euler characteristics

Associated to a finite simplicial complex  $L$  we have a right-angled Coxeter system  $(W_L, S)$  and two other simplicial complexes,  $K_L$  and the Davis complex  $\Sigma_L$ . The right-angled Coxeter group associated to  $L$ , denoted  $W_L$ , is defined as follows. The set of generators  $S$  is the vertex set of  $L$  and the edges of  $L$  give relations:  $s^2 = 1$  and  $(st)^2 = 1$ , whenever  $\{s, t\}$  spans an edge in  $L$ . Note that  $W_L$  depends only on the 1-skeleton of  $L$ .  $K_L$  is the cone on the barycentric subdivision of  $L$ . Equivalently,  $K_L$  can be viewed as the geometric realization of  $\mathcal{S}(L)$ , where  $\mathcal{S}(L)$  denotes the poset of vertex sets of simplices of  $L$  (including the empty simplex). For each  $s \in S$ ,  $K_s$  is the closed star of the vertex corresponding to  $s$  in the barycentric subdivision of  $L$ . Equivalently,  $K_s$  can be viewed as the geometric realization of the poset  $\mathcal{S}(L)_{\geq s}$ .  $\Sigma_L$  is constructed by pasting together copies of  $K_L$  along the mirrors  $K_s$ , one copy of  $K_L$  for each element of  $W_L$ . For more details on this construction we refer the reader to Chapter 5 of [3]. Given  $\mathbf{t} = (t_i)_{i \in S}$ , where  $t_i$  are positive integers, one can define a group  $G_L$  and the Davis realization  $\Sigma(\mathbf{t}, L)$  of a right-angled building of thickness  $\mathbf{t}$  (whose apartments are copies of  $\Sigma_L$ ). The group  $G_L$  is defined as follows: Suppose we are given a family of groups  $(P_i)_{i \in S}$  such that each  $P_i$  is a cyclic group of order  $t_i + 1$ .  $G_L$  is defined as the graph product of the  $(P_i)_{i \in S}$  with respect to  $L$ . Note that  $G_L$  depends only on the 1-skeleton of  $L$  and the thickness  $\mathbf{t}$ .  $\Sigma(\mathbf{t}, L)$  is obtained by pasting together copies of  $K_L$ , one for each element of  $G_L$ , using the same construction used for defining  $\Sigma_L$ . Explicitly, given  $x \in K_L$ , let  $S(x)$  be the set of  $s \in S$  such that  $x$  belongs to  $K_s$ . Then  $\Sigma(\mathbf{t}, L)$  is the quotient of  $G_L \times K_L$  by the equivalence relation  $\sim$ , where  $(g, x) \sim (g', x')$  if and only if  $x = x'$  and  $g, g'$  belong to the same coset of  $G_{S(x)}$ . In this definition,  $\Sigma(\mathbf{t}, L)$  is a simplicial complex. There is one orbit of simplices for each simplex  $\sigma$  in  $K_L$ .

Let  $L$  be a finite simplicial complex and let  $G$  the group associated to  $\Sigma(\mathbf{t}, L)$ . One can define the  $l^2$ -Euler characteristic by

$$\chi_{\mathbf{t}}(L) = \sum_{\sigma} \frac{(-1)^{\dim(\sigma)}}{|G_{\sigma}|}$$

where the sum runs over all orbits of simplices in  $\Sigma(\mathbf{t}, L)$  and  $G_{\sigma}$  denotes the stabilizer of the simplex  $\sigma$ . Equivalently, the sum runs over all simplices  $\sigma$  of  $K_L$ .

**Lemma 2.1.** *Let  $X$  be an index set with  $n$  elements. Then:*

$$\sum_{A \subset X} (-1)^{n-|A|} \frac{1}{(\mathbf{1} + \mathbf{t})_{X-A}} = \left( \frac{-\mathbf{t}}{\mathbf{1} + \mathbf{t}} \right)_X.$$

*Proof:* Dividing each side by

$$(\mathbf{1} + \mathbf{t})_X$$

in the formula from Lemma 3.1 we get

$$\sum_{A \subset X} (-1)^{n-|A|} \frac{1}{(\mathbf{1} + \mathbf{t})_{X-A}} = \left( \frac{-\mathbf{t}}{\mathbf{1} + \mathbf{t}} \right)_X. \quad \square$$

**Theorem 2.2.** *Let  $L$  be a finite simplicial complex and  $\Sigma(\mathbf{t}, L)$  the Davis realization of a right-angled building of thickness  $\mathbf{t}$ . Then:*

$$\chi_{\mathbf{t}}(L) = H(\mathbf{t}).$$

*Proof:* To prove this formula we divide  $K_L$  into subcomplexes (not disjoint), namely the geometric realization of  $\mathcal{S}(L)_{\geq T}$ , where  $T \in \mathcal{S}(L)$  and denoted  $K_T$ . Each such subcomplex groups together simplices in  $K_L$  that have the same isotropy group. Let  $B_T$  be the subset consisting of the union of open simplices in one such subcomplex. The  $B_T$  are disjoint. Let  $C_T$  be the orbit of  $B_T$  under  $G_T$ . Then the orbits of  $C_T$  partition  $\Sigma(\mathbf{t}, L)$ . If we take the signed sum of the simplices in  $C_T$ , divided by the order of their isotropy subgroup, we get the following alternative formula for the  $l^2$ -Euler characteristic (compare [2]).

$$\chi_{\mathbf{t}}(L) = \sum_{T \in \mathcal{S}(L)} \frac{1 - \chi(\partial K_T)}{|G_T|}$$

where  $\partial K_T$  denotes the boundary complex of  $K_T$ ,  $\chi(\partial K_T)$  denotes the ordinary Euler characteristic of  $\partial K_T$  and  $|G_T|$  denotes the order of the finite isotropy group  $G_T$ . Note that there is a one-to-one correspondence where each  $T$  corresponds to a simplex  $\sigma$  in  $L$ .

On the other hand, in the formula that defines  $H(\mathbf{t})$ , the sum runs over all simplices of  $L$ . Each term

$$\left(\frac{-\mathbf{t}}{\mathbf{1} + \mathbf{t}}\right)_\sigma = \prod_{i \in I(\sigma)} \frac{-t_i}{1 + t_i}$$

can be written as

$$\sum_{A \subset I(\sigma)} (-1)^{|I(\sigma) - A|} \frac{1}{(\mathbf{1} + \mathbf{t})_{I(\sigma) - A}}$$

according to the previous lemma. The sum runs over all subsets of  $I(\sigma)$  including the empty set.

We sum now over all simplices  $\sigma$  in  $L$  and grouping terms with the same denominator it is easily seen that  $H(\mathbf{t})$  coincides with the alternative formula for  $\chi_{\mathbf{t}}(L)$  written above.  $\square$

### 3. Convex polytopes and $l^2$ -Euler characteristics

We now restrict our attention to triangulations of spheres which are dual to simple polytopes. We prove another formula for the  $l^2$ -Euler characteristic but here we take a dual approach. Let  $P^n$  be an  $n$ -dimensional simple convex polytope (an  $n$ -dimensional convex polytope is simple if the number of codimension-one faces meeting at each vertex is  $n$ ; equivalently,  $P^n$  is simple if the dual of its boundary complex is an  $(n - 1)$ -dimensional simplicial complex). For more on convex polytopes we refer the reader to [1]. The  $f$ - and  $h$ -polynomials associated to a finite simplicial complex, as well as their many-variable analogues, were defined in Section 1. Similarly, for an  $n$ -dimensional simple convex polytope the associated  $f$ - and  $h$ -polynomial are defined as those associated to the dual of its boundary complex.

Let  $P^n$  be an  $n$ -dimensional simple convex polytope. Let  $F_1, F_2, \dots, F_m$  be the codimension-one faces of  $P^n$  (also called facets). Let  $\mathcal{F}$  denote the set of all faces of  $P^n$  and  $\mathbf{t} = (t_1, t_2, \dots, t_m)$ . If  $F \in \mathcal{F}$ , then  $I(F) = \{i | F \subset F_i\}$ . We define:

$$\mathbf{t}_F = \prod_{i \in I(F)} t_i \text{ and } \mathbf{t}_P = 1.$$

Similarly, we define:

$$\left(\frac{-\mathbf{t}}{\mathbf{1} + \mathbf{t}}\right)_F = \prod_{i \in I(F)} \frac{-t_i}{1 + t_i}.$$

We now introduce a different formula for the  $h$ -polynomial in several variables. The vertices and edges of a polytope form in an obvious way a

nonoriented graph. Following [1, pp. 93–96] we introduce an orientation on the edges of  $P^n$  using an admissible vector. A vector  $w \in \mathbb{R}^n$  is called admissible for  $P^n$  if  $\langle x, w \rangle \neq \langle y, w \rangle$  for any two vertices  $x$  and  $y$  of  $P^n$ . Geometrically, this means that no hyperplane in  $\mathbb{R}^n$  with  $w$  as a normal contains more than one vertex of  $P^n$ . It is shown in [1, Theorem 15.1, p. 93] that the set of admissible vectors is dense in  $\mathbb{R}^n$ . Any vector  $w$  which is admissible for  $P^n$  induces an orientation of the edges of  $P^n$  according to the following rule: An edge determined by vertices  $x$  and  $y$  is oriented towards  $x$  (and away from  $y$ ) if

$$\langle x, w \rangle \leq \langle y, w \rangle.$$

For each vertex  $v \in P^n$ , denote by  $F_v^{\text{in}} \in \mathcal{F}$  the face determined by the inward-pointing edges at  $v$ , and by  $F_v^{\text{out}} \in \mathcal{F}$  the face determined by the outward-pointing edges at  $v$ . We have  $I(v) = \{i | v \in F_i\}$ . Moreover:

$$I(F_v^{\text{in}}) = \{i | F_v^{\text{in}} \subset F_i\} \text{ and } I(F_v^{\text{out}}) = I(v) - I(F_v^{\text{in}}).$$

Using an admissible vector  $w$  we now define:

$$H_w(\mathbf{t}) = \sum_v \frac{(-\mathbf{t})_{F_v^{\text{out}}}}{(\mathbf{1} + \mathbf{t})_v} = \sum_v \frac{\prod_{i \in I(F_v^{\text{out}})} (-t_i)}{\prod_{i \in I(v)} (1 + t_i)}.$$

For example, if  $P^2$  is a 4-gon, then

$$\begin{aligned} H_w(\mathbf{t}) &= \frac{(-t_1)(-t_2)}{(1+t_1)(1+t_2)} + \frac{(-t_2)}{(1+t_2)(1+t_3)} \\ &\quad + \frac{(-t_1)}{(1+t_1)(1+t_4)} + \frac{1}{(1+t_3)(1+t_4)} \end{aligned}$$

which can be simplified to

$$H_w(t_1, t_2, t_3, t_4) = \frac{(1-t_1t_3)(1-t_2t_4)}{(1+t_1)(1+t_2)(1+t_3)(1+t_4)}.$$

To prove the next theorem we need the following combinatorial lemma.

**Lemma 3.1.** *Let  $X$  be an index set with  $n$  elements. Then:*

$$\sum_{A \subset X} (-1)^{n-|A|} (\mathbf{1} + \mathbf{t})_A = (-\mathbf{t})_X.$$

*Proof:* The following identity is well-known:

$$(\mathbf{x} - \mathbf{u})_X = \sum_{A \subset X} x^{n-|A|} (-\mathbf{u})_A$$

where  $\mathbf{x} = (x, \dots, x)$ . Let  $\mathbf{u} = \mathbf{1} + \mathbf{t}$  where  $\mathbf{1} = (1, \dots, 1)$  and evaluate the above identity when  $x = 1$ .  $\square$

**Theorem 3.2.** *Let  $P^n$  be an  $n$ -dimensional simple convex polytope and denote by  $L$  the dual of its boundary complex. Suppose  $L$  is a finite simplicial complex and  $w$  is an admissible vector for  $P^n$ . Then*

$$\chi_{\mathbf{t}}(L) = H_w(\mathbf{t}).$$

*Proof:* To prove this formula we use a different “cell” structure on  $\Sigma(t, L)$  obtained with the help of an admissible vector. We refer the reader to [4] for more details concerning this construction. There is one orbit of (open) “cells” for each vertex  $v \in P^n$ . The dimension of  $C_v$  is  $\dim(F_v^{\text{in}})$ .  $C_v$  is constructed as follows. Let  $\widehat{F}_v^{\text{in}}$  denote the union of the relative interiors of those faces  $F' \in \mathcal{F}$  which are contained in  $F_v^{\text{in}}$  and contain  $v$ . The “cell”  $C_v$  consists of  $\widehat{F}_v^{\text{in}}$  and all its translates under  $G_{F_v^{\text{in}}}$ . To prove our formula we have to show that the contribution  $c_v$  of  $C_v$  to the  $l^2$ -Euler characteristic is exactly

$$\frac{(-\mathbf{t})_{F_v^{\text{out}}}}{(\mathbf{1} + \mathbf{t})_v}.$$

For a face  $F$  we denote by  $G_F$  its stabilizer.  $|G_F|$  denotes the order of the finite group  $G_F$ . We have:

$$\begin{aligned} c_v &= \sum_{F' \subset \widehat{F}_v^{\text{in}}} \frac{(-1)^{\dim(F')}}{|G_{F'}|} = \sum_{F' \subset \widehat{F}_v^{\text{in}}} \frac{(-1)^{\dim(F')} \frac{|G_v|}{|G_{F'}|}}{|G_v|} \\ &= \sum_{F' \subset \widehat{F}_v^{\text{in}}} \frac{(-1)^{\dim(F')} |G_{I(v)-I(F')}|}{|G_v|} \end{aligned}$$

where  $|G_{I(v)-I(F')}|$  denotes  $\prod_{i \in I(v)-I(F')} (t_i + 1)$ . Since  $|G_v| = (\mathbf{1} + \mathbf{t})_v$  the proof is complete if

$$\sum_{F' \subset \widehat{F}_v^{\text{in}}} (-1)^{\dim(F')} |G_{I(v)-I(F')}| = (-\mathbf{t})_{F_v^{\text{out}}}.$$

Written explicitly, the above formula coincides with the identity proved in the previous lemma. Summing over vertices of  $P^n$ , the proof is completed. □

*Remark 3.3.* It follows from the previous theorem that  $H_w(\mathbf{t})$  does not depend on the choice of the admissible vector  $w$ .

The next corollary is a reciprocity statement.

**Corollary 3.4.** *Let  $P^n$  be an  $n$ -dimensional simple convex polytope and  $H(\mathbf{t})$  be the “ $h$ -polynomial” associated to  $P^n$ . Then:*

$$H(\mathbf{t}) = (-1)^{n+1} H(\mathbf{t}^{-1})$$

where  $\mathbf{t}^{-1} = (t_1^{-1}, t_2^{-1}, \dots, t_m^{-1})$ .

*Proof:* If  $w \in \mathbb{R}^n$  is an admissible vector for  $P^n$  then  $-w \in \mathbb{R}^n$  is also an admissible vector for  $P^n$ . A simple calculation shows that:

$$H_{-w}(\mathbf{t}) = (-1)^{n+1} H_w(\mathbf{t}^{-1}).$$

The result follows since by the previous theorem we have:

$$H_w(\mathbf{t}) = H_{-w}(\mathbf{t}). \quad \square$$

*Remark 3.5.* Let  $L$  be a finite simplicial complex,  $P^n$  its dual simple polytope and  $w$  an admissible vector for  $P^n$ . Then the  $l^2$ -Euler characteristic, the growth series of the associated Coxeter group  $W$ , the associated  $f$ -polynomial and “ $h$ -polynomial” in several variables are related as follows:

$$\chi_{\mathbf{t}}(L) = \frac{1}{W(\mathbf{t})} = f\left(\frac{-\mathbf{t}}{\mathbf{1} + \mathbf{t}}\right) = H_w(\mathbf{t}) = H(\mathbf{t}).$$

The first equality is proven in [6] and the rest follow from the previous theorems.

*Remark 3.6.* Writing the corollary above in one variable we get:

$$\chi_t(L) = \frac{1}{W(t)} = f\left(\frac{-t}{1+t}\right) = \frac{h_w(-t)}{(1+t)^n} = \frac{h(-t)}{(1+t)^n}$$

where  $h_w(t) = \sum_v t^{\text{ind}(v)}$  and  $\text{ind}(v)$  denotes the index of the vertex  $v$  with respect to  $w$  in  $P$ .

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