

## THE FORMAL COMPLETION OF THE NÉRON MODEL OF $J_0(p)$

ENRIC NART\*

---

*Abstract*

For any prime number  $p > 3$  we compute the formal completion of the Néron model of  $J_0(p)$  in terms of the action of the Hecke algebra on the  $\mathbb{Z}$ -module of all cusp forms (of weight 2 with respect to  $\Gamma_0(p)$ ) with integral Fourier development at infinity.

---

Let  $p$  be a prime number greater than three. Let  $\mathcal{J}_{\mathbb{Z}}$  be the Néron model of the jacobian  $J_0(p)_{|\mathbb{Q}}$  of the modular curve  $X_0(p)_{|\mathbb{Q}}$ . In a joint work with Deninger we proved that the formal completion of  $\mathcal{J}$  along the zero section is determined by the relative  $L$ -series of  $J_0(p)$  with respect to  $\mathbb{T} \otimes \mathbb{Q}$ , where  $\mathbb{T}$  is the Hecke algebra [2]. In fact, we explained how to construct a formal group law for  $\mathcal{J}^{\wedge}$  from a formal Dirichlet series made up with the integral matrices reflecting the action of the Hecke operators on the Lie algebra of  $\mathcal{J}$ .

In this note we show that such a formal group law can also be constructed with the integral matrices reflecting the action of  $\mathbb{T}$  on the  $\mathbb{Z}$ -module  $S_2(\Gamma_0(p), \mathbb{Z})$  of all cusp forms (of weight 2, with respect to  $\Gamma_0(p)$ ) with integral Fourier development at infinity. We obtain in this way an effective result since, with the aid of a computer, it is possible to find explicit  $\mathbb{Z}$ -basis of  $S_2(\Gamma_0(p), \mathbb{Z})$  and to compute the action of the Hecke algebra.

**Acknowledgements.** This question was raised in a conversation with Christopher Deninger. I thank him for this and the IHES at Bures-sur-Yvette for hospitality.

Let  $g$  be the dimension of  $J_0(p)$ . Our aim is to prove the following theorem:

---

\*partially supported by grant PB89-0215-0 from CICYT

**Theorem.** Let  $U_p \in M_g(\mathbb{Z})$  and  $T_l \in M_g(\mathbb{Z})$ , for all primes  $l \neq p$ , be the matrices of the Atkin-Lehner operator and the Hecke operators, with respect to any  $\mathbb{Z}$ -basis of  $S_2(\Gamma_0(p), \mathbb{Z})$ . Since these matrices commute, the formal Dirichlet series:

$$\sum_{n=1}^{\infty} A_n \cdot n^{-s} = (I_g - U_p \cdot p^{-s})^{-1} \cdot \prod_l (I_g - T_l \cdot p^{-s} + I_g \cdot p^{1-2s})^{-1},$$

is well-defined and  $A_n \in M_g(\mathbb{Z})$  for all  $n$ . Let  $L(X, Y)$  be the  $g$ -dimensional formal group law with logarithm:

$$f(x) = \sum_{n=1}^{\infty} \frac{1}{n} A_n X^n \in \mathbb{Q}[X_1, \dots, X_g]^g,$$

where  $X^n$  is the notation for  $(X_1^n, \dots, X_g^n)^t$ . Then,  $L(X, Y)$  is defined over  $\mathbb{Z}$  and it is isomorphic to the formal completion of  $\mathcal{J}$  along the zero section.

Honda [4] proved an analogous result for Shimura curves, but a finite (fairly big) set of primes had to be left aside. In fact, our proof follows the same pattern, but we have at our disposal deep results of Deligne-Rapoport [1], Deligne [5, thm. A.1] (which was implicitly used in [2]) and Mazur [5, II, sections 3 and 6], which allow us to deal with the bad primes.

After [2], in order to prove the theorem it is sufficient to show that  $\text{Lie}(\mathcal{J})$  and  $S_2(\Gamma_0(p), \mathbb{Z})$  are isomorphic as  $\mathbb{T}$ -modules. To this aim is devoted the rest of the paper. The proof consists on adding some details (checking of some compatibilities, essentially) to certain results of Mazur.

For any integer  $N \geq 5$ , let  $M_0(N)$  be the curve over  $\mathbb{Z}$  representing the fine moduli stack classifying generalized elliptic curves over  $\mathbb{Z}[1/N]$  with a cyclic subgroup of order  $N$ . Let  $X_0(N) \xrightarrow{i} M_0(N)$  be its minimal regular resolution. These two curves become isomorphic over  $\mathbb{Z}[1/N]$ .

The Atkin involution  $w = w_N$  extends to an involution of  $M_0(N)$  [1, IV, Prop. 3.19] and by minimality, to an involution of  $X_0(N)$  commuting with  $i$ . Hence,  $w$  acts on  $H^1(X_0(N), \mathcal{O})$  and on  $H^1(M_0(N), \mathcal{O})$  in a compatible way. That is, we have a commutative diagram:

$$(1) \quad \begin{array}{ccc} H^1(X_0(N), \mathcal{O}) & \xrightarrow{w^*} & H^1(X_0(N), \mathcal{O}) \\ i^* \uparrow & & \uparrow i^* \\ H^1(M_0(N), \mathcal{O}) & \xrightarrow{w^*} & H^1(M_0(N), \mathcal{O}). \end{array}$$

Now, let  $l$  be a prime different from  $p$  and consider the finite morphism [5, II, section 6]:

$$c: M_0(pl) \longrightarrow M_0(p), (E, (H_l, H_p)) \longrightarrow (E, H_p).$$

Here  $(H_l, H_p)$  denotes a cyclic subgroup of  $E$  of order  $pl$  (canonically) decomposed as a product of its  $p$ -primary and  $l$ -primary parts. By minimality  $c$  raises to a finite morphism between the regular resolutions fitting into a commutative diagram:

$$(2) \quad \begin{array}{ccc} X_0(pl) & \xrightarrow{c} & X_0(p) \\ \downarrow i & & \downarrow i \\ M_0(pl) & \xrightarrow{c} & M_0(p). \end{array}$$

Let us denote  $X = X_0(p)$ ,  $X' = X_0(pl)$ ,  $M = M_0(p)$ ,  $M' = M_0(pl)$ . The morphism  $c : X' \rightarrow X$  induces covariant and contravariant homomorphisms:

$$\text{Pic}_{X'/\mathbb{Z}}^0 \xrightleftharpoons[c_*]{c^*} \text{Pic}_{X/\mathbb{Z}}^0.$$

At the level of invertible sheafs,  $c^*$  is the usual homomorphism and  $c_*$  is the norm-homomorphism defined by Grothendieck [3, 6.5]. Via the canonical identification of  $H^1(X, \mathcal{O})$  with the tangent space of  $\text{Pic}_{X/\mathbb{Z}}^0$  at the zero-section,  $c_*$  and  $c^*$  induce homomorphisms:

$$H^1(X', \mathcal{O}) \xrightleftharpoons[c_*]{c^*} H^1(X, \mathcal{O}).$$

$c^*$  is the natural homomorphism induced by  $\mathcal{O}_X \rightarrow c_*\mathcal{O}_{X'}$  and the homomorphism  $H^1(X, c_*\mathcal{O}_{X'}) \rightarrow H^1(X', \mathcal{O}_{X'})$  given by the Leray spectral sequence; whereas  $c_*$  is the trace-homomorphism defined in terms of Čech cocycles by:

$$c_*(f_{\alpha\beta}) = \text{Tr}_{X'/X}(f_{\alpha\beta}),$$

for any affine open covering:  $X' = \cup_{\alpha} c^{-1}(U_{\alpha})$ , for  $U_{\alpha}$  an affine open covering of  $X$ . This trace is well-defined since  $\Gamma(c^{-1}U_{\alpha}, \mathcal{O}_{X'})$  is a finite  $\Gamma(U_{\alpha}, \mathcal{O}_X)$ -module.

In fact, the identification of  $H^1(X, \mathcal{O})$  with the tangent space of  $\text{Pic}_{X/\mathbb{Z}}^0$  can be realized through the exact sequence:

$$0 \rightarrow H^1(X, \mathcal{O}) \xrightarrow{\text{exp}} H^1(X \otimes \mathbb{Z}[\varepsilon], \mathcal{O}^*) \rightarrow H^1(X, \mathcal{O}^*),$$

where  $\mathbb{Z}[\varepsilon]$  is the ring of dual numbers and  $\text{exp}(f) = 1 + f\varepsilon$ . The above description of the action of  $c^*$  and  $c_*$  can be easily deduced from this sequence, working with Čech cocycles and having in mind that  $1 + \text{Tr}_{X'/X}(f)\varepsilon$  is the norm of  $1 + f\varepsilon$ .

By Grothendieck duality we obtain homomorphisms:

$$H^0(X', \Omega_{X'}) \xrightleftharpoons[c_*]{c^*} H^0(X, \Omega_X),$$

where  $\Omega_X$  is the dualizing sheaf, that is, the sheaf of regular differentials, which is defined as the only non-vanishing homology group (in degree  $-1$ ) of the complex  $R\pi^! \mathcal{O}_{\text{Spec } \mathbb{Z}}$ , where  $\pi$  is the structural morphism of  $X$ .

We need to check the compatibility of these homomorphisms  $c_*$ ,  $c^*$  with the analogous homomorphisms defined by Mazur at the level of the curves  $M_0(N)$  [5, page 88], which we denote by  $(c^*)_M$ ,  $(c_*)_M$ . More precisely, we need the following diagrams to commute:

$$(3) \quad \begin{array}{ccc} H^1(X', \mathcal{O}) & \xleftarrow{c^*} & H^1(X, \mathcal{O}) \\ i^* \uparrow & & \uparrow i^* \\ H^1(M', \mathcal{O}) & \xleftarrow{(c^*)_M} & H^1(M, \mathcal{O}) \end{array}$$

$$(4) \quad \begin{array}{ccc} H^0(X', \Omega) & \xleftarrow{c^*} & H^0(X, \Omega) \\ i_* \downarrow & & \downarrow i_* \\ H^0(M', \Omega) & \xleftarrow{(c^*)_M} & H^0(M, \Omega), \end{array}$$

where  $i_*$  is defined from  $i^*$  by duality. Now, diagram (3) commutes since it is obtained from (2) by taking everywhere the natural homomorphisms induced by  $i$  and  $c$ . Since the  $\mathbb{Z}$ -modules involved are free [5, II, Lemma 3.3 and (3.2)] it is sufficient to check the commutativity of diagram (4) after tensoring with  $\mathbb{Q}$ . Then, the commutativity amounts to the fact that the natural homomorphism:  $H^0(X'_\mathbb{Q}, \Omega^1) \xleftarrow{c^*} H^0(X_\mathbb{Q}, \Omega^1)$  is dual to the trace-homomorphism,  $Tr_{X'/X} : H^1(X'_\mathbb{Q}, \mathcal{O}) \rightarrow H^1(X_\mathbb{Q}, \mathcal{O})$ , under Serre duality, and this is a consequence of the classical trace-formula [7, page 32].

We are ready to analyze the action of the Hecke algebra. The Hecke algebra  $\mathbb{T}$  is the subalgebra of  $\text{End}_\mathbb{Q}(J_0(p))$  generated by all the operators  $T_l$  and the Atkin involution  $w$ . The Hecke operator  $T_l$  is, by definition, the endomorphism of  $J_0(p)$  induced by correspondence on  $X_0(p)_l$  determined by the morphisms:

$$\begin{array}{ccc} X'_\mathbb{Q} & \xrightarrow{c_\mathbb{Q}} & X_\mathbb{Q} \\ (cw_l)_\mathbb{Q} \downarrow & & \\ X_\mathbb{Q} & & \end{array}$$

To be more precise,  $T_l$  is the composition of the two homomorphisms:

$$T_l : J_0(p) \xrightarrow{(cwl)_{\mathbb{Q}}^*} J_0(pl) \xrightarrow{(c_{\mathbb{Q}})^*} J_0(p),$$

induced by  $c_{\mathbb{Q}}$  and  $(cwl)_{\mathbb{Q}}$  on  $\text{Pic}_{X_0(N)/\mathbb{Q}}^0 = J_0(N)$ , for  $N = p, pl$ . By the universal property of the Néron model,  $T_l$  operates on  $\mathcal{J}$  and on its connected component as:

$$T_l : \mathcal{J}^0 \xrightarrow{(cwl)_{\mathbb{Z}}^*} (\mathcal{J}')^0 \xrightarrow{(c_*)_{\mathbb{Z}}} J^0,$$

where  $(\mathcal{J}')^0$  is the connected component of the Néron model of  $J_0(pl)$ . By a theorem of Raynaud [6, 8.1.4], the connected component of the Néron model of  $J_0(N)$  represents the functor  $\text{Pic}_{X_0(N)/\mathbb{Z}}^0$ . Hence the homomorphisms:

$$\text{Pic}_{X'/\mathbb{Z}}^0 \xrightleftharpoons[c_*]{(cwl)^*} \text{Pic}_{X/\mathbb{Z}}^0,$$

induced by the finite morphisms  $X' \xrightarrow{c, cwl} X$ , coincide with  $(cwl)_{\mathbb{Z}}^*$ ,  $(c_*)_{\mathbb{Z}}$ , since they induce the same homomorphism on the generic fiber. Thus,  $T_l$  acts on  $H^1(X, \mathcal{O})$  and (by duality) on  $H^0(X, \Omega)$ . We have a commutative diagram:

$$(5) \quad \begin{array}{ccccc} H^1(X, \mathcal{O}) & \xrightarrow{(cwl)^*} & H^1(X', \mathcal{O}) & \xrightarrow{c_*} & H^1(X, \mathcal{O}) \\ i^* \uparrow & & i^* \uparrow & & \uparrow i^* \\ H^1(M, \mathcal{O}) & \xrightarrow{(cwl)_M^*} & H^1(M', \mathcal{O}) & \xrightarrow{(c_*)_M} & H^1(M, \mathcal{O}). \end{array}$$

The left-hand square is diagram (3) for  $cw_l$  and the right-hand square is the dual of diagram (4). Mazur shows that  $i^* : H^1(M, \mathcal{O}) \rightarrow H^1(X, \mathcal{O})$  is an isomorphism [5, II, Prop. 3.4]; hence, through this isomorphism we obtain (by (1) and (5)) the same structure of  $\mathbb{T}$ -module on  $H^1(M, \mathcal{O})$  as the one taken by definition by Mazur. That is, we have isomorphisms as  $\mathbb{T}$ -modules:

$$H^1(X, \mathcal{O}) \cong H^1(M, \mathcal{O}), \quad H^0(X, \Omega) \cong H^0(M, \Omega).$$

Therefore we have  $\mathbb{T}$ -isomorphisms:

$$\text{Lie}(J^0) \cong T_0(J^0)^\wedge \cong H^1(X, \mathcal{O})^\wedge \cong H^0(X, \Omega) \cong H^0(M, \Omega),$$

and this last group is isomorphic to  $S_2(\Gamma_0(p), \mathbb{Z})$  as a  $\mathbb{T}$ -module, as shown by Mazur [5, II, (4.6) and (6.2)].

## References

1. P. DELIGNE - M. RAPOPORT, "Schémas de modules des courbes elliptiques," Vol. II of the Proceedings of the International Summer School on Modular Functions, Antwerp (1972), Lecture Notes in Mathematics 349, Berlin-Heidelberg-New York, Springer 1973.
2. C. DENINGER - E. NART, Formal groups and  $L$ -series, *Comm. Math. Helv.* **65** (1990), 318-333.
3. A. GROTHENDIECK, Étude globale élémentaire de quelques classes de morphismes (EGA II), *Publ. Math. IHES* **8** (1961).
4. T. HONDA, On the theory of commutative formal groups, *J. Math. Soc. Japan* **22** (1970), 213-246.
5. B. MAZUR, Modular curves and the Eisenstein ideal, *Publ. Math. IHES* **47** (1977), 33-186.
6. M. RAYNAUD, Spécialisation du foncteur de Picard, *Publ. Math. IHES* **38** (1970), 27-76.
7. J.P. SERRE, "Groupes algébriques et corps de classes," Hermann, Paris, 1959.

Departament de Matemàtiques  
Universitat Autònoma de Barcelona  
08193 Bellaterra (Barcelona)  
SPAIN

Rebut el 22 d'Abril de 1991