

ON SOME TOPOLOGICAL ALGEBRAS OF HOLOMORPHIC FUNCTIONS

por

J. M. ISIDRO

INTRODUCTION.—Let E be a complex Banach space, U a balanced open subset of E and $\mathcal{H}(U)$ the algebra of all holomorphic functions

$$f: U \subset E \longrightarrow \mathbb{C}$$

endowed with the Nachbin topology τ_ω [6]. In [5] Mujica has proved that τ_ω is a multiplicative locally convex topology on $\mathcal{H}(U)$ and Aron in [1] has explicitly constructed a fundamental family of seminorms for τ_ω . A slight improvement is done here by selecting a subfamily which is fundamental for τ_ω and multiplicative, which might perhaps interest for studying the topological algebra $(\mathcal{H}(U), \tau_\omega)$.

When E has an unconditional Schauder basis, τ_ω is the same as τ_δ ([2] and [3]), hence the results also apply to $(\mathcal{H}(U), \tau_\delta)$.

We shall systematically use standard symbols in theory of Holomorphy [6].

For each non void subset S of U and each element $f \in \mathcal{H}(U)$ we shall write

$$\|f\|_S = \sup_{x \in S} |f(x)|$$

and for $S \subset U$ and $\rho \geq 0$, $B_\rho(S)$ is defined by

$$B_\rho(S) = \bigcup_{x \in S} B_\rho(x)$$

where $B_\rho(x)$, stands for the open ball of E with center at x and radius ρ .

DEFINITION 1.—We shall say that a seminorm ρ on $\mathcal{H}(U)$ is ported by a compact subset K of U if for each open set V , $K \subset V \subset U$, there is a constant $C_V \geq 0$ such that

$$\rho(f) \leq C_V \|f\|_V$$

holds for all $f \in \mathcal{H}(U)$.

The topology τ_w on $\mathcal{H}(U)$ is defined by the family of the seminorms which are ported by the compact subsets K of U .

Now, the following proposition is known [1]:

PROPOSITION 1.—For every non void compact subset K of U and every sequence $\alpha = (\alpha_n)_{n \in \mathbb{N}}$ of real numbers such that $\alpha_n \geq 0$ ($n \in \mathbb{N}$) and $\lim_{n \rightarrow \infty} \alpha_n = 0$, the application

$$p_{K, \alpha}: f \longrightarrow p_{K, \alpha}(f) = \sum_{n=0}^{\infty} \left\| \frac{1}{n!} \hat{d}^n f(\theta) \right\|_{B_{\alpha_n}(K)} \quad f \in \mathcal{H}(U)$$

is a seminorm ported by K and the family of the $P_{K, \alpha}$ when K and α are in the above conditions define the topology τ_w on $\mathcal{H}(U)$

LEMMA 1.—For each sequence $\alpha = (\alpha_n)_{n \in \mathbb{N}}$ of real numbers such that $\alpha_n \geq 0$ ($n \in \mathbb{N}$) and $\lim_{n \rightarrow \infty} \alpha_n = 0$ there is another sequence $\beta = (\beta_n)_{n \in \mathbb{N}}$ satisfying:

- a).— β dominante α , that is, $\beta_n \geq \alpha_n$ for $n \in \mathbb{N}$.
- b).— β is decreasing, that is, $\beta_{n+1} \leq \beta_n$ for $n \in \mathbb{N}$.
- c).— β is a null sequence, that is, $\lim_{n \rightarrow \infty} \beta_n = 0$.

Indeed, we assume that α has infinitely many non null terms because otherwise the statement would obviously be true.

Now, let us define $\rho_1 = \sup_{n \geq 0} \alpha_n$, it is $\rho_1 > 0$ and, owing to the fact $\lim_{n \rightarrow \infty} \alpha_n = 0$, it is easy to see that the supremum is accessible and it is reached only for a finite number of indexes. Let n_1 be the last of those indexes and define $\rho_2 = \sup_{n > n_1} \alpha_n$. It is $\rho_2 > 0$ and the supremum is reached only for a finite number of indexes, the last of which is denoted by n_2 . By induction we get two sequences $(\rho_j)_{j \in \mathbb{N}}$ and $(n_j)_{j \in \mathbb{N}}$, and clearly

$$\beta = (\beta_n)_{n \in \mathbb{N}} = \{ \overset{(n_1)}{\rho_1}, \dots, \rho_1; \overset{(n_2)}{\rho_2}, \dots, \rho_2; \dots \}$$

satisfies the conditions of the lemma.

DEFINITION 2.—We shall say that a seminorm p on the algebra $\mathcal{H}(U)$ is submultiplicative if

$$p(f \cdot g) \leq p(f) p(g)$$

holds for all $f, g \in \mathcal{H}(U)$.

We shall say that a family of seminorms on $\mathcal{H}(U)$ is submultiplicative if each one of its elements satisfies the above condition.

PROPOSITION 2.—The family of seminorms

$$p_{\kappa, \beta}: f \longrightarrow p_{\kappa, \beta}(f) = \sum_{n=0}^{\infty} \left\| \frac{1}{n!} \hat{d}^n f(\theta) \right\|_{B_{\beta_n}(K)} \quad f \in \mathcal{H}(U) \quad (2)$$

where K and $\beta = (\beta_n)_{n \in \mathbb{N}}$ range respectively over the compact subsets of U and the decreasing null sequences, is submultiplicative and define τ_{ω} .

Indeed, if τ is the topology define on $\mathcal{H}(U)$ by the seminorms (2) on account of proposition 1 one gets $\tau \leq \tau_{\omega}$. On the other hand, let $p_{\kappa, \alpha}$ be one of the family (1) and assume that $\beta = (\beta_n)_{n \in \mathbb{N}}$ is a decreasing null sequence which dominates $\alpha = (\alpha_n)_{n \in \mathbb{N}}$. We have

$$\left\| \frac{1}{n!} \hat{d}^n f(\theta) \right\|_{B_{\alpha_n}(K)} \leq \left\| \frac{1}{n!} \hat{d}^n f(\theta) \right\|_{B_{\beta_n}(K)}$$

for all $f \in \mathcal{H}(U)$ and all $n \in \mathbb{N}$, so that

$$p_{\kappa, \alpha}(f) = \sum_0^{\infty} \left\| \frac{1}{n!} \hat{d}^n f(\theta) \right\|_{B_{\alpha_n}(K)} \leq \sum_0^{\infty} \left\| \frac{1}{n!} \hat{d}^n f(\theta) \right\|_{B_{\beta_n}(K)} = p_{\kappa, \beta}(f)$$

holds for all $f \in \mathcal{H}(U)$, that is $\tau_{\omega} \leq \tau$.

Now, let $p_{\kappa, \beta}$ be an arbitrary seminorm of the form (2). For all $f, g \in \mathcal{H}(U)$ and all $n \in \mathbb{N}$ we have,

$$\hat{d}^n (fg)(\theta) = \sum_{j=0}^n \binom{n}{j} \hat{d}^j f(\theta) \cdot \hat{d}^{n-j} g(\theta)$$

hence,

$$\begin{aligned} & \left\| \frac{1}{n!} \hat{d}^n (f \cdot g)(\theta) \right\|_{B_{\beta_n}(K)} \leq \\ & \leq \sum_{j=0}^n \left\| \frac{1}{j!} \hat{d}^j f(\theta) \right\|_{B_{\beta_n}(K)} \cdot \left\| \frac{1}{(n-j)!} \hat{d}^{n-j} g(\theta) \right\|_{B_{\beta_n}(K)} \end{aligned} \quad (3)$$

But β is a decreasing sequence, so that the relations $j \leq n$ and $n - j \leq n$ imply $\beta_n \leq \beta_j$ and $\beta_n \leq \beta_{n-j}$; therefore

$$\begin{aligned} \left\| \frac{1}{j!} \hat{d}^j f(\theta) \right\|_{B_{\beta_n}(\mathbb{K})} &\leq \left\| \frac{1}{j!} \hat{d}^j f(\theta) \right\|_{B_{\beta_j}(\mathbb{K})} \\ \left\| \frac{1}{(n-j)!} \hat{d}^{n-j} g(\theta) \right\|_{B_{\beta_n}(\mathbb{K})} &\leq \left\| \frac{1}{(n-j)!} \hat{d}^{n-j} g(\theta) \right\|_{B_{\beta_{n-j}}(\mathbb{K})} \end{aligned} \quad (4)$$

From (3), (4) and the definition of $p_{\kappa, \beta}$ one gets:

$$\begin{aligned} p_{\kappa, \beta}(f \cdot g) &= \sum_{n=0}^{\infty} \left\| \frac{1}{n!} \hat{d}^n (f \cdot g)(x) \right\|_{B_{\beta_n}(\mathbb{K})} \leq \\ &\leq \sum_{n=0}^{\infty} \sum_{j=0}^n \left\| \frac{1}{j!} \hat{d}^j f(\theta) \right\|_{B_{\beta_j}(\mathbb{K})} \left\| \frac{1}{(n-j)!} \hat{d}^{n-j} g(\theta) \right\|_{B_{\beta_{n-j}}(\mathbb{K})} = \\ &= \left(\sum_0^{\infty} \left\| \frac{1}{r!} \hat{d}^r f(\theta) \right\|_{B_{\beta_r}(\mathbb{K})} \right) \left(\sum_0^{\infty} \left\| \frac{1}{s!} \hat{d}^s g(\theta) \right\|_{B_{\beta_s}(\mathbb{K})} \right) = p_{\kappa, \beta}(f) p_{\kappa, \beta}(g) \end{aligned}$$

which completes the demonstration.

DEFINITION 3.—We define $R(U)$ to be the set consisting of the functions $f \in \mathcal{H}(U)$ such that $f(x) \neq 0$ for all $x \in U$.

It is known that for $f \in R(U)$, the function

$$\frac{1}{f} : x \longrightarrow \frac{1}{f}(x) = \frac{1}{f(x)} \quad x \in U$$

verifies $\frac{1}{f} \in \mathcal{H}(U)$, so that $R(U)$ is the set of the regular or invertible elements of the algebra $\mathcal{H}(U)$, hence a group relative to the natural multiplication.

COROLLARY 2.— $R(U)$ endowed with the topology induced by $(\mathcal{H}(U), \tau_w)$ is a topological group, and the application

$$f \longrightarrow \frac{1}{f} \quad f \in R(U)$$

is a topological automorphism of it.

Indeed, given a complex topological algebra with a unit element $e \neq \theta$, and assuming that its topology may be defined by a submultiplicative family of seminorms, it is known [4] that the set of the regular elements is a topological group relative to the induced topology and that the division operation is a topological automorphism.

B I B L I O G R A P H Y

- [1] ARON, R.: *Holomorphy types for open subsets of a Banach space*. «Studia Math.», 45 (1973), 273-289.
- [2] DINEEN, S.: *Holomorphic functions on locally convex topological spaces. I. Locally convex topologies on $\mathcal{H}(U)$* . «Ann. Inst. Fourier Grenoble», XXIII, fasc. 1 (1973), 19-54.
- [3] DINEEN, S.: *Holomorphic functions on (c_0, X_b) -modules*. «Math. Annalen», 196 (1972), 106-116.
- [4] ISIDRO, J. M.: *Topologías en cuerpos*, to appear in «Rev. Mat. Hispano-Americana».
- [5] MUJICA, J.: *Spaces of germs of holomorphic functions*. Doctoral Thesis, University of Rochester, 1974, to appear in «Advances in Math.».
- [6] NACHBIN, L.: *Topologies on Spaces of holomorphic mappings*. «Ergebnisse der Mathematik», 47, Springer-Verlag, 1969.

Departamento de Análisis Matemático
Facultad de Ciencias
Universidad de Santiago de Compostela
S p a i n