

## On Homomorphisms of Groups of Integer-valued Functions

F. GONZÁLEZ AND V. V. USPENSKIJ\*

*Departamento de Matemáticas, Universidad Jaume I, Campus Riu Sec, Castellon 12071, Spain, e-mail: fgonzal@mat.uji.es, vvu@uspensky.ras.ru*

(Research paper presented by F. Montalvo)

AMS *Subject Class.* (1991): 54C30, 54D60, 20KXX

*Received November 18, 1998*

### 1. INTRODUCTION

Let  $X$  be a topological space, and let  $C(X, \mathbb{Z})$  be the group of all continuous (equivalently, locally constant) integer-valued functions on  $X$ . For every function  $f$  on  $X$  let  $\text{Ran } f = f(X)$  be the range of  $f$ . Denote by  $d(f)$  the diameter of  $\text{Ran } f$ .

Let  $\psi : C(X, \mathbb{Z}) \rightarrow C(X, \mathbb{Z})$  be a homomorphism of additive groups. We say that  $\psi$  does not increase range if  $\text{Ran } \psi(f) \subset \text{Ran } f$  for every  $f \in C(X, \mathbb{Z})$ . We say that  $\psi$  does not increase diameter if  $d(\psi(f)) \leq d(f)$  for every  $f \in C(X, \mathbb{Z})$ . By a *map* between topological spaces we always mean a continuous map. For every map  $h : X \rightarrow X$  the dual endomorphism  $h^* : C(X, \mathbb{Z}) \rightarrow C(X, \mathbb{Z})$  defined by  $h^*(f)(x) = f(h(x))$  ( $f \in C(X, \mathbb{Z})$ ,  $x \in X$ ) does not increase range. If  $t : C(X, \mathbb{Z}) \rightarrow \mathbb{Z}$  is any homomorphism and  $\tau = \pm 1$ , the endomorphism  $f \mapsto \tau h^*(f) + t(f) \cdot 1$  of  $C(X, \mathbb{Z})$  does not increase diameter. The aim of the paper is to prove that for  $N$ -compact spaces  $X$  the converse is true: every homomorphism  $\psi : C(X, \mathbb{Z}) \rightarrow C(X, \mathbb{Z})$  which does not increase range or diameter is of the form described above. Recall that a space is  $N$ -compact if it is homeomorphic to a closed subspace of a power of a countable discrete space.

Let  $A$  be a finite set of integers. Call the interval  $[\min A, \max A]$  the *convex hull* of  $A$  and denote it by  $\text{Conv } A$ . A homomorphism  $\psi : C(X, \mathbb{Z}) \rightarrow C(Y, \mathbb{Z})$  is *disjointness preserving* if  $f \cdot g \equiv 0$  implies  $\psi(f) \cdot \psi(g) \equiv 0$  (see [1] for

---

\*The second author was supported by Spanish DGES, grant number SAB95-0562

a discussion of disjointness preserving homomorphisms of groups of integer-valued functions). A Hausdorff topological space  $X$  is *0-dimensional* if closed-and-open sets form a base of  $X$ . H.Ohta posed the following question (we are grateful to M.Sanchis for communicating this question to us). Let  $X$  and  $Y$  be zero-dimensional spaces, and let  $\psi : C(X, \mathbb{Z}) \rightarrow C(Y, \mathbb{Z})$  be a homomorphism of additive groups such that  $\text{Ran } \psi(f)$  is contained in  $\text{Conv Ran } f$  for every bounded  $f \in C(X, \mathbb{Z})$ . Does it follow that  $\psi$  is disjointness preserving? It follows from Theorem 1.1 that the answer is positive (Corollary 1.2).

Let  $C_b(X, \mathbb{Z})$  be the subgroup of  $C(X, \mathbb{Z})$  consisting of all bounded functions.

**THEOREM 1.1.** *Let  $X$  and  $Y$  be topological spaces, and let  $\psi : C(X, \mathbb{Z}) \rightarrow C(Y, \mathbb{Z})$  be a homomorphism of additive groups. Suppose that  $X$  is  $N$ -compact.*

- (1) *If  $\text{Ran } \psi(f) \subset \text{Conv Ran } f$  for every  $f \in C_b(X, \mathbb{Z})$ , then there exists a map  $h : Y \rightarrow X$  such that  $\psi(f) = f \circ h$  for every  $f \in C(X, \mathbb{Z})$ .*
- (2) *If  $\text{diam Ran } \psi(f) \leq \text{diam Ran } f$  for every  $f \in C_b(X, \mathbb{Z})$ , then there exist a map  $h : Y \rightarrow X$ , a homomorphism  $t : C(X, \mathbb{Z}) \rightarrow \mathbb{Z}$  and  $\tau = \pm 1$  such that  $\psi(f)(y) = \tau f(h(y)) + t(f)$  for every  $f \in C(X, \mathbb{Z})$  and  $y \in Y$ .*

**COROLLARY 1.2.** *Let  $X$  and  $Y$  be zero-dimensional spaces, and let  $\psi : C(X, \mathbb{Z}) \rightarrow C(Y, \mathbb{Z})$  be a homomorphism of additive groups. If  $\text{Ran } \psi(f) \subset \text{Conv Ran } f$  for every  $f \in C_b(X, \mathbb{Z})$ , then  $\psi$  is disjointness-preserving.*

Theorem 1.1 follows from Theorem 1.3 which describes homomorphisms  $C(X, \mathbb{Z}) \rightarrow \mathbb{Z}$  satisfying some boundedness conditions.

**THEOREM 1.3.** *Let  $X$  be an  $N$ -compact space, and let  $t : C(X, \mathbb{Z}) \rightarrow \mathbb{Z}$  be a non-zero homomorphism of additive groups.*

- (1) *If  $|t(f)| \leq \|f\| = \max\{|f(x)| : x \in X\}$  for every  $f \in C_b(X, \mathbb{Z})$ , then there exist  $x \in X$  and  $\tau = \pm 1$  such that  $t(f) = \tau f(x)$  for every  $f \in C(X, \mathbb{Z})$ .*
- (2) *If  $|t(f)| \leq \text{diam Ran } f$  for every  $f \in C_b(X, \mathbb{Z})$ , then there exist  $x, y \in X$  such that  $t(f) = f(x) - f(y)$  for every  $f \in C(X, \mathbb{Z})$ .*

For a compact space  $X$  denote by  $C(X)$  the complex Banach space of all complex continuous functions on  $X$ . The following theorem was proved in [3]: if  $X$  is a first-countable compact space and  $\psi : C(X) \rightarrow C(X)$  is a

linear bijection such that  $\text{diam Ran } \psi(f) = \text{diam Ran } f$  for every  $f \in C(X)$ , then there exist a self-homeomorphism  $h : X \rightarrow X$ , a complex number  $\tau$  with  $|\tau| = 1$  and a linear functional  $t : C(X) \rightarrow \mathbb{C}$  such that  $\psi(f)(x) = \tau f(h(x)) + t(f)$  for every  $f \in C(X)$  and  $x \in X$ . We prove that the assumption that  $X$  be first-countable is superfluous (Theorem 5.1). In Section 2 we discuss the notion of  $N$ -compactness and explain how to deduce Corollary 1.2 from Theorem 1.1. Theorems 1.1 and 1.3 are proved in Sections 4 and 3, respectively.

## 2. $N$ -COMPACT SPACES

There are several equivalent characterizations of  $N$ -compact spaces, (see [4]). For the reader's convenience we prove some of them in this section.

If  $X$  is a 0-dimensional space, we denote by  $\beta_D X$  the 0-dimensional compactification of  $X$  characterized by the property that every bounded continuous function  $f : X \rightarrow \mathbb{Z}$  extends uniquely over  $\beta_D X$ . For every 0-dimensional compactification  $bX$  of  $X$  there exist a map  $\beta_D X \rightarrow bX$  which is identical on  $X$ . We call  $\beta_D X$  the maximal 0-dimensional compactification of  $X$ . If  $Y$  is another 0-dimensional space, every map  $f : X \rightarrow Y$  extends uniquely to a map  $\beta_D f : \beta_D X \rightarrow \beta_D Y$ . If  $Y$  is zero-dimensional in the sense of the covering dimension  $\text{dim}$ , then  $\beta Y$  is zero-dimensional, whence  $\beta Y = \beta_D Y$ . In particular, if  $Y$  is discrete, then  $\beta_D Y = \beta Y$ .

If  $X \subset Y$  and  $x \in Y \setminus X$ , we say that  $x$  is  $G_\delta$ -separated from  $X$  if there is a  $G_\delta$  subset  $A$  of  $Y$  such that  $x \in A \subset Y \setminus X$ .

**THEOREM 2.1.** *Let  $X$  be a 0-dimensional space. The following conditions are equivalent:*

- (1)  $X$  is  $N$ -compact, that is homeomorphic to a closed subspace of a power of a countable discrete space;
- (2) there exists a 0-dimensional compactification  $bX$  of  $X$  such that every point  $x \in bX \setminus X$  is  $G_\delta$ -separated from  $X$ ;
- (3) every point  $x \in \beta_D X \setminus X$  is  $G_\delta$ -separated from  $X$  in the space  $\beta_D X$ ;
- (4) for every  $x \in \beta_D X \setminus X$  there exists a map  $f : X \rightarrow \mathbb{Z}$  such that  $\beta_D f(x) \in \beta \mathbb{Z} \setminus \mathbb{Z}$ .

*Proof.* (1)  $\Rightarrow$  (2). Let  $\mathcal{P}$  be the class of all spaces  $X$  for which the property (2) holds. It is clear that: (a) countable discrete spaces are in  $\mathcal{P}$ ; (b)  $\mathcal{P}$  is closed under arbitrary (infinite) products; (c) if  $X \in \mathcal{P}$  and  $Y$  is a closed subspace of  $X$ , then  $Y \in \mathcal{P}$ . It follows that all  $N$ -compact spaces are in  $\mathcal{P}$ .

(2)  $\Rightarrow$  (3). Note that the natural map  $\beta_D X \rightarrow bX$  sends the remainder  $\beta_D X \setminus X$  onto the remainder  $bX \setminus X$ .

(3)  $\Rightarrow$  (4). Let  $x \in \beta_D X \setminus X$ . There exists a decreasing sequence  $V_1, V_2, \dots$  of clopen neighbourhoods of  $x$  such that  $\bigcap V_i \subset \beta_D X \setminus X$ . Let  $\mathbb{Z} \cup \{\omega\}$  be a one-point compactification of  $\mathbb{Z}$ . Set  $V_0 = \beta_D X$  and define the map  $g : \beta_D X \rightarrow \mathbb{Z} \cup \{\omega\}$  by:  $g(y) = n$  if  $y \in V_n \setminus V_{n+1}$  and  $g(y) = \omega$  if  $y \in \bigcap V_i$ . We have  $g(X) \subset \mathbb{Z}$ , let  $f$  be the restriction of  $g$  onto  $X$ . Let  $h : \beta\mathbb{Z} \rightarrow \mathbb{Z} \cup \{\omega\}$  be the natural map. We have  $g = h \circ \beta_D f$ . Since  $g(x) = \omega$ , it follows that  $\beta_D f(x) \in \beta\mathbb{Z} \setminus \mathbb{Z}$ .

(4)  $\Rightarrow$  (1). Let  $\mathcal{F} = \mathcal{C}(X, \mathbb{Z})$ . The diagonal product of the family  $\{\beta_D f : f \in \mathcal{F}\}$  is an imbedding  $g : \beta_D X \rightarrow (\beta\mathbb{Z})^{\mathcal{F}}$ . The condition (4) implies that  $g(X) = g(\beta_D X) \cap \mathbb{Z}^{\mathcal{F}}$ . Thus  $X$  is homeomorphic to a closed subspace of a power of  $\mathbb{Z}$ . ■

Given a zero-dimensional space  $X$ , let  $\nu X$  be the  $N$ -compactification of  $X$ . This space is characterized by the following properties:  $\nu X$  is  $N$ -compact,  $X$  is dense in  $\nu X$ , and the restriction homomorphism  $r_X : C(\nu X, \mathbb{Z}) \rightarrow C(X, \mathbb{Z})$  is bijective. We can take for  $\nu X$  the space of all  $y \in \beta_D X$  which are not  $G_\delta$ -separated from  $X$ . The notion of  $N$ -compactification can be used to deduce Corollary 1.2 from Theorem 1.1. Let  $\psi : C(X, \mathbb{Z}) \rightarrow C(Y, \mathbb{Z})$  be a homomorphism satisfying the condition of Corollary 1.2. In virtue of Theorem 1.1, the homomorphism  $\psi r_X : C(\nu X, \mathbb{Z}) \rightarrow C(Y, \mathbb{Z})$  has the form  $f \mapsto f \circ h$  for some  $h : Y \rightarrow \nu X$ . It follows that  $\psi r_X$  is disjointness preserving, and hence so is  $\psi$ .

### 3. PROOF OF THEOREM 1.3

We first prove Theorem 1.3 for compact spaces. Let  $X$  be compact and 0-dimensional, and let  $t : C(X, \mathbb{Z}) \rightarrow \mathbb{Z}$  be a homomorphism such that  $|t(f)| \leq \|f\|$  (respectively,  $|t(f)| \leq d(f)$ ) for every  $f \in C(X, \mathbb{Z})$ . Let  $E$  be the linear space over  $\mathbb{Q}$  of all locally constant functions on  $X$  with rational values. We have  $E = \mathbb{Q} \otimes C(X, \mathbb{Z})$ , hence the homomorphism  $t$  can be extended to a  $\mathbb{Q}$ -linear functional  $t_1 : E \rightarrow \mathbb{Q}$ . Clearly the inequality  $|t_1(f)| \leq \|f\|$  (respectively,  $|t_1(f)| \leq d(f) \leq 2\|f\|$ ) holds true for every  $f \in E$ . Since  $\dim X = 0$ ,  $E$  is dense in the Banach space  $C(X, \mathbb{R})$ , and the functional  $t_1$  extends to an  $\mathbb{R}$ -linear functional  $t_2$  on  $C(X, \mathbb{R})$ . The norm of  $t_2$  is  $\leq 1$  (respectively,  $\leq 2$ ), so we can identify  $t_2$  with a regular Borel measure on  $X$ . Denote by  $\langle \cdot, \cdot \rangle$  the canonical pairing between functions and measures on  $X$ . For every integer-valued continuous function  $f$  on  $X$  we have  $\langle f, t_2 \rangle = t(f) \in \mathbb{Z}$ .

According to Lemma 3.1 below, the measure  $t_2$  can be written as a linear combination  $\sum n_i x_i$  of points of  $X$  with integer coefficients. Here we identify each point  $x \in X$  with a measure of mass 1 concentrated at  $x$ . Since  $\sum |n_i| = \|\sum n_i x_i\| = \|t_2\| \leq 2$ , it follows that  $t_2 = \pm x$  or  $t_2 = \pm x \pm y$  for some  $x, y \in X$ . In the second case the signs of  $x$  and  $y$  must be different, since from the inequality  $|t(f)| \leq d(f)$  it follows that  $t(f) = 0$  whenever  $f$  is constant.

To complete the proof of Theorem 1.3 for compact spaces, it remains to establish the following lemma:

**LEMMA 3.1.** *Let  $X$  be a 0-dimensional compact space, and let  $\mu$  be a regular Borel measure on  $X$  such that  $\langle f, \mu \rangle \in \mathbb{Z}$  for every  $f \in C(X, \mathbb{Z})$ . Then  $\mu$  can be written as a finite linear combination of points of  $X$  with integer coefficients.*

*Proof.* If  $V$  is clopen in  $X$ , then  $\mu(V) = \langle \chi_V, \mu \rangle \in \mathbb{Z}$ , where  $\chi_V$  is the characteristic function of  $V$ . It follows that for every  $x \in X$  we have  $\mu(\{x\}) \in \mathbb{Z}$ , since  $\mu(\{x\}) = \lim \mu(V)$ , where  $V$  runs over the collection of clopen neighbourhoods of  $x$ . Call a point  $x \in X$  an atom if  $\mu(\{x\}) \neq 0$ . There are finitely many atoms, and if we subtract from  $\mu$  a linear combination of atoms with integer coefficients, we obtain an atomless measure satisfying the condition of the lemma. Thus we may assume that  $\mu$  is atomless. Then for every  $x \in X$  there exists an open neighbourhood  $U$  of  $x$  such that for every clopen neighbourhood  $V$  of  $x$  contained in  $U$  we have  $|\mu(V)| < 1$  and hence  $\mu(V) = 0$ . It follows that the restriction of  $\mu$  onto  $U$  is zero. Thus  $\mu$  is locally zero and hence zero. ■

For every abelian group  $A$  let  $A^*$  denote the group  $\text{Hom}(A, \mathbb{Z})$ . If  $f : A \rightarrow B$  is a homomorphism, the dual homomorphism  $f^* : B^* \rightarrow A^*$  is defined by  $f^*(g) = g \circ f$ . Let  $A_{\mathbb{Z}}(X)$  be the subgroup of  $C(X, \mathbb{Z})^*$  generated by the image of the natural embedding  $X \rightarrow C(X, \mathbb{Z})^*$ . It is easy to see that  $A_{\mathbb{Z}}(X)$  can be identified with the free abelian group on  $X$ .

**PROPOSITION 3.2.** *Let  $X$  be a 0-dimensional space, and let  $i : C(\beta_D X, \mathbb{Z}) \rightarrow C(X, \mathbb{Z})$  be the restriction homomorphism. Then the homomorphism  $i^* : C(X, \mathbb{Z})^* \rightarrow C(\beta_D X, \mathbb{Z})^*$  is injective. If  $X$  is  $N$ -compact, then  $(i^*)^{-1}(A_{\mathbb{Z}}(\beta_D X)) = A_{\mathbb{Z}}(X)$ .*

*Proof.* Consider first the case when  $X$  is a discrete countable space. Then  $C(X, \mathbb{Z})$  is a countable power of the group  $\mathbb{Z}$ , and it is known that in this case  $C(X, \mathbb{Z})^* = A_{\mathbb{Z}}(X)$  [2, Corollary 94.6]. It follows that the proposition holds

true in this case. Let us reduce the general case to the case of a countable discrete space. Let  $f : X \rightarrow Y$  be a map of  $X$  to a countable discrete space  $Y$ . Consider the commutative diagram

$$\begin{array}{ccc} C(X, \mathbb{Z})^* & \xrightarrow{i^*} & C(\beta_D X, \mathbb{Z})^* \\ f^{**} \downarrow & & \downarrow (\beta_D f)^{**} \\ C(Y, \mathbb{Z})^* & \xrightarrow{i_Y} & C(\beta Y, \mathbb{Z})^*, \end{array}$$

where  $i_Y : C(\beta Y, \mathbb{Z}) \rightarrow C(Y, \mathbb{Z})$  is the restriction homomorphism. We saw that the lower horizontal arrow is injective. A routine verification shows that in order to deduce that the upper horizontal arrow is also injective, it suffices to prove that for every non-zero element  $t \in C(X, \mathbb{Z})^*$  there exists a map  $f$  of  $X$  to a countable discrete space  $Y$  such that  $f^{**}(t) \neq 0$ . Put  $Y = \mathbb{Z}$ , and pick  $f \in C(X, \mathbb{Z})$  so that  $t(f) \neq 0$ . Then  $f^{**}(t)$  is a non-zero element of  $C(\mathbb{Z}, \mathbb{Z})^*$ , since  $f^{**}(t)(\text{id}_{\mathbb{Z}}) = t(f^*(\text{id}_{\mathbb{Z}})) = t(f) \neq 0$ . Assume that  $X$  is  $N$ -compact. Since  $i^*(A_{\mathbb{Z}}(X)) \subset A_{\mathbb{Z}}(\beta_D X)$ , we have  $A_{\mathbb{Z}}(X) \subset (i^*)^{-1}(A_{\mathbb{Z}}(\beta_D X))$ . Suppose that the last inclusion is proper. Then there exists  $s \in C(X, \mathbb{Z})^*$  such that  $s \notin A_{\mathbb{Z}}(X)$  and  $i^*(s) \in A_{\mathbb{Z}}(\beta_D X)$ . We can write  $i^*(s)$  as a sum  $t+t'$ , where  $t$  is a linear combination of points of  $\beta_D X \setminus X$  and  $t'$  is a linear combination of points of  $X$ . Since  $i^*$  is injective, as we have proved in the preceding paragraph, and  $s \notin A_{\mathbb{Z}}(X)$ , it follows that  $t \neq 0$ . Since  $t'$  is in the range of  $i^*$ , so is  $t = i^*(s) - t'$ . Thus in order to prove that  $(i^*)^{-1}(A_{\mathbb{Z}}(\beta_D X)) = A_{\mathbb{Z}}(X)$ , it suffices to show that the range of  $i^*$  contains no non-zero linear combination  $t = \sum_{i=1}^n k_i p_i$  ( $k_i \in \mathbb{Z}$ ,  $p_i \in \beta_D X \setminus X$ ) of points of the remainder  $\beta_D X \setminus X$ . According to Theorem 2.1, there exist maps  $f_i : X \rightarrow \mathbb{Z}$  such that  $\beta_D f_i(p_i) \in \beta \mathbb{Z} \setminus \mathbb{Z}$ ,  $1 \leq i \leq n$ . For every pair of distinct indices  $i$  and  $j$  pick a function  $g_{ij} : X \rightarrow \mathbb{Z}$  such that  $\beta_D g_{ij}(p_i) \neq \beta_D g_{ij}(p_j)$ . Let  $\mathcal{F}$  be the finite set of all the functions  $f_i$  and  $g_{ij}$ . The diagonal product  $f$  of  $\mathcal{F}$  is a map of  $X$  to a countable discrete space  $Y$  such that the points  $q_i = \beta_D f(p_i)$ ,  $1 \leq i \leq n$ , are pairwise distinct and belong to  $\beta Y \setminus Y$ . It follows that  $(\beta_D f)^{**}(t) = \sum_{i=1}^n k_i q_i$  is a non-zero linear combination of points of  $\beta Y \setminus Y$ . We have observed that the proposition is true for  $Y$ . Considering the same commutative diagram as above, we see that  $(\beta_D f)^{**}(t)$  does not belong to the range of the lower arrow. It follows that  $t$  does not belong to the range of the upper arrow. ■

We now prove Theorem 1.3 in full generality, reducing the general case to the compact case considered above. Let  $X$  be an  $N$ -compact space, and let  $t : C(X, \mathbb{Z}) \rightarrow \mathbb{Z}$  be a homomorphism satisfying one of the conditions

of Theorem 1.3. Let  $i : C(\beta_D X, \mathbb{Z}) \rightarrow C(X, \mathbb{Z})$  be, as above, the restriction homomorphism, and let  $t' = t \circ i \in C(\beta_D X, \mathbb{Z})^*$ . Applying the compact case of Theorem 1.3 to  $t'$ , we see that the functional  $t'$  on  $C(\beta_D X, \mathbb{Z})$  is represented, up to a sign, by a point  $x \in \beta_D X$  or, respectively, by a formal difference  $x - y$ , where  $x, y \in \beta_D X$ . In any case we have  $i^*(t) = t' \in A_{\mathbb{Z}}(\beta_D X)$ , and Proposition 3.2 implies that  $t \in A_{\mathbb{Z}}(X)$ . It follows that  $x \in X$  or, respectively, that  $x, y \in X$ . This completes the proof of Theorem 1.3.

#### 4. PROOF OF THEOREM 1.1

Let  $X$  and  $Y$  be topological spaces such that  $X$  is  $N$ -compact, and let  $\psi : C(X, \mathbb{Z}) \rightarrow C(Y, \mathbb{Z})$  be a homomorphism such that  $\text{Ran } \psi(f) \subset \text{Conv Ran } f$  for every  $f \in C(X, \mathbb{Z})$ . We must show that there exists a map  $h : Y \rightarrow X$  such that  $\psi(f) = f \circ h$  for every  $f \in C(X, \mathbb{Z})$ . Fix  $y \in Y$ , and consider the functional  $t : C(X, \mathbb{Z}) \rightarrow \mathbb{Z}$  defined by  $t(f) = \psi(f)(y)$ . Since  $\psi(f)(y) \in \text{Ran } \psi(f) \subset \text{Conv Ran } f$ , we have  $|t(f)| \leq \|f\|$  for every  $f \in C(X, \mathbb{Z})$ . Theorem 1.3 implies that there exist  $x \in X$  and  $\tau = \pm 1$  such that  $t(f) = \tau f(x)$  for all  $f \in C(X, \mathbb{Z})$ . Since  $\psi$  maps the constant 1 to the constant 1, it follows that  $\tau = 1$ . Put  $h(y) = x$ . We have  $\psi(f)(y) = f(h(y))$  for every  $f \in C(X, \mathbb{Z})$  and  $y \in Y$ . Since for every  $f \in C(X, \mathbb{Z})$  the function  $y \rightarrow f(h(y))$ , being equal to  $\psi(f)$ , is continuous on  $Y$ , it follows that  $h : Y \rightarrow X$  is continuous. This proves the first part of Theorem 1.1.

To prove the second part of Theorem 1.1, we use the following lemma:

**LEMMA 4.1.** *Let  $G_1$  and  $G_2$  be abelian groups,  $X_i$  be a free subset of  $G_i$ , and  $T_i = \{x - y : x, y \in X_i\} \subset G_i$ ,  $i = 1, 2$ . Let  $f : G_1 \rightarrow G_2$  be a homomorphism such that  $f(T_1) \subset T_2$ . Then there exists a map  $h : X_1 \rightarrow X_2$  and  $\tau = \pm 1$  such that  $f(x - y) = \tau(h(x) - h(y))$  for all  $x, y \in X_1$ .*

We say that a subset  $X$  of an abelian group  $G$  is free if no non-trivial linear combination of elements of  $X$  with integer coefficients is zero.

*Proof.* Fix  $a \in X_1$  and put  $g(x) = f(x - a)$  for every  $x \in X_1$ . Then  $g$  is a map from  $X_1$  to  $G_2$  such that  $f(x - y) = g(x) - g(y)$  for all  $x, y \in X_1$ . Put  $Y = g(X_1)$ . We have  $0 \in Y \subset Y - Y = f(T_1) \subset T_2$ . For every  $c \in X_2$  let  $S_c = \{x - c : x \in X_2\} \subset T_2$ . It is easy to see that every subset  $A$  of  $T_2$  such that  $A - A \subset T_2$  is contained in  $S_c$  or  $-S_c$  for some  $c \in X_2$ . In particular, there exists  $c \in X_2$  such that  $Y \subset S_c$  or  $Y \subset -S_c$ . If  $Y \subset S_c$ , put  $\tau = 1$  and  $h(x) = g(x) + c$ . If  $Y \subset -S_c$ , put  $\tau = -1$  and  $h(x) = c - g(x)$ . In any case

we have  $h(X_1) \subset c + S_c \subset X_2$  and  $f(x - y) = g(x) - g(y) = \tau(h(x) - h(y))$  for all  $x, y \in X$ . ■

We now prove the second part of Theorem 1.1. Let  $X$  and  $Y$  be topological spaces such that  $X$  is  $N$ -compact, and let  $\psi : C(X, \mathbb{Z}) \rightarrow C(Y, \mathbb{Z})$  be a homomorphism of additive groups such that  $\text{diam Ran } \psi(f) \leq \text{diam Ran } f$  for every  $f \in C(X, \mathbb{Z})$ . We must show that there exist a map  $h : Y \rightarrow X$ , a homomorphism  $t : C(X, \mathbb{Z}) \rightarrow \mathbb{Z}$  and  $\tau = \pm 1$  such that  $\psi(f)(y) = \tau f(h(y)) + t(f)$  for every  $f \in C(X, \mathbb{Z})$  and  $y \in Y$ . Let  $G_1 = C(Y, \mathbb{Z})^*$  and  $G_2 = C(X, \mathbb{Z})^*$ . Identify  $X$  with its image under the natural embedding  $i : X \rightarrow G_2$ , and similarly for  $Y$  and  $G_1$ . As above, let  $\psi^* : G_1 \rightarrow G_2$  be the homomorphism defined by  $\psi^*(t) = t \circ \psi$ . Let  $T_1 = \{x - y : x, y \in Y\} \subset G_1$  and  $T_2 = \{x - y : x, y \in X\} \subset G_2$ . We claim that  $\psi^*(T_1) \subset T_2$ . To see this, fix  $x, y \in Y$ , and let  $t_{x,y} = \psi^*(x - y)$ . The homomorphism  $t_{x,y} : C(X, \mathbb{Z}) \rightarrow \mathbb{Z}$  is defined by  $t_{x,y}(f) = \psi(f)(x) - \psi(f)(y)$ . We have  $|t_{x,y}(f)| \leq \text{diam Ran } \psi(f) \leq \text{diam Ran } f$  for every  $f \in C(X, \mathbb{Z})$ . In virtue of Theorem 1.3 there exist  $a, b \in X$  such that  $t_{x,y}(f) = f(a) - f(b)$  for every  $f$ . This means that  $t_{x,y} = a - b \in T_2$ . We have verified that  $\psi^*(T_1) \subset T_2$ .

Lemma 4.1 implies that there exist a map  $h : Y \rightarrow X$  and  $\tau = \pm 1$  such that  $t_{x,y} = \tau(h(x) - h(y))$  for every  $x, y \in Y$ . This means that  $\psi(f)(x) - \psi(f)(y) = \tau(f(h(x)) - f(h(y)))$  for every  $f \in C(X, \mathbb{Z})$  and  $x, y \in Y$ . It follows that the number  $\psi(f)(x) - \tau f(h(x))$  does not depend on  $x \in Y$ . Denote this number by  $t(f)$ . It is clear that the map  $t : C(X, \mathbb{Z}) \rightarrow \mathbb{Z}$  is a homomorphism. We have  $\psi(f)(x) = \tau f(h(x)) + t(f)$  ( $f \in C(X, \mathbb{Z})$ ,  $x \in Y$ ), as required.

## 5. DIAMETER-PRESERVING LINEAR BIJECTIONS OF $C(X)$

For a compact space  $X$  we denote by  $M(X)$  the Banach dual of  $C(X)$ . Elements of  $M(X)$  can be identified with regular complex Borel measures on  $X$ . Let  $X$  and  $Y$  be compact, and let  $\psi : C(X) \rightarrow C(Y)$  be a norm-preserving linear bijection. Then there exists a homeomorphism  $h : Y \rightarrow X$  and a function  $\alpha : Y \rightarrow \mathbb{C}$  such that  $|\alpha(x)| = 1$  for every  $x \in X$  and  $\psi(f)(y) = \alpha(y)f(h(y))$ . This easily follows from the following two facts: (1) the dual map  $\psi^*$  establishes a linear bijection between the unit balls in the spaces  $M(X)$  and  $M(Y)$ ; (2) the extreme points of the unit ball in  $M(X)$  are of the form  $\alpha x$ , where  $\alpha \in \mathbb{C}$ ,  $|\alpha| = 1$  and  $x \in X$ . We prove a similar result for linear bijections which preserve the diameter of the range.



**THEOREM 5.1.** *Let  $X$  and  $Y$  be compact spaces, and let  $\psi : C(X) \rightarrow C(Y)$  be a linear bijection (not necessarily continuous) such that  $\text{diam Ran } \psi(f) = \text{diam Ran } f$  for every  $f \in C(X)$ . Then there exist a homeomorphism  $h : Y \rightarrow X$ , a complex number  $\alpha$  with  $|\alpha| = 1$  and a linear functional  $t : C(X) \rightarrow \mathbb{C}$  such that  $\psi(f)(y) = \alpha f(h(y)) + t(f)$  for every  $f \in C(X)$  and  $y \in Y$ . If  $\psi$  is continuous, then so is  $t$ , hence there exist a complex measure  $\mu$  on  $X$  such that  $t(f) = \int_X f \mu$  for every  $f \in C(X)$ .*

If  $E$  is complex locally convex space and  $A \subset E$ , the *polar* of  $A$  is the set  $A^\circ = \{y \in E^* : \text{Re } \langle x, y \rangle \leq 1 \text{ for all } x \in A\}$ , where  $\langle \cdot, \cdot \rangle$  is the canonical pairing between  $E$  and  $E^*$ . The polar  $A^\circ \subset E$  of a subset  $A \subset E^*$  is defined similarly. If  $A \subset E^*$  and  $0 \in A$ , the closed convex hull of  $A$  with respect to the weak\* topology on  $E^*$  is equal to  $A^{\circ\circ}$  [5, Ch. 4, Theorem 1.5].

For a compact space  $X$  let  $M_0(X) = \{\mu \in M(X) : \mu(X) = 0\}$ . We consider  $X$  as a subspace of  $M(X)$ , identifying each point  $x \in X$  with the atomic measure of mass 1 concentrated at  $x$ .

**LEMMA 5.2.** *Let  $X$  be a compact space containing more than one point, and let  $T = \{\alpha(x - y) : x, y \in X, \alpha \in \mathbb{C}, |\alpha| = 1\} \subset M_0(X)$ . Let  $T^{\circ\circ}$  be the closed convex hull of  $T$  with respect to the weak\* topology on  $M_0(X)$ . Then the set of extreme points of  $T^{\circ\circ}$  is equal to  $T \setminus \{0\}$ .*

*Proof.* The sets  $T$  and  $T^{\circ\circ}$  are compact. According to the Milman theorem [5, Ch. 2, Theorem 10.5], all extreme points of  $T^{\circ\circ}$  belong to  $T$ . Plainly 0 is not an extreme point of  $T^{\circ\circ}$ . We must prove that every non-zero element  $\alpha(x - y)$  of  $T$  is an extreme point of  $T^{\circ\circ}$ . Since  $T$  and  $T^{\circ\circ}$  are invariant under multiplication by complex numbers of absolute value 1, we may assume that  $\alpha = 1$ . Let  $B = \{\mu \in M_0(X) : \|\mu\| = |\mu|(X) \leq 2\}$  be the ball of radius 2 in  $M_0(X)$ ; here  $|\mu|$  stands for the variation of  $\mu$ . Since  $T^{\circ\circ} \subset B$ , it suffices to prove that  $x - y$  is an extreme point of  $B$ . Suppose that  $\lambda \in M_0(X)$  and  $x - y \pm \lambda \in B$ . We must show that  $\lambda = 0$ . Write  $\lambda$  in the form  $\lambda = \beta x + \gamma y + \nu$ , where  $\nu \in M(X)$  is such that  $\nu(\{x\}) = \nu(\{y\}) = 0$ . We have

$$(1) \quad \begin{aligned} 2 \geq \|x - y + \lambda\| &= \|(1 + \beta)x - (1 - \gamma)y + \nu\| \\ &= |1 + \beta| + |1 - \gamma| + \|\nu\| \end{aligned}$$

and

$$(2) \quad \begin{aligned} 2 \geq \|x - y - \lambda\| &= \|(1 - \beta)x - (1 + \gamma)y - \nu\| \\ &= |1 - \beta| + |1 + \gamma| + \|\nu\|. \end{aligned}$$

Adding these inequalities, we get

$$4 \geq |1 + \beta| + |1 - \beta| + |1 - \gamma| + |1 + \gamma| + 2\|\nu\|.$$

Since  $|1 + \beta| + |1 - \beta| \geq 2$  and  $|1 + \gamma| + |1 - \gamma| \geq 2$ , it follows that  $\|\nu\| = 0$ . Hence  $\nu = 0$  and  $\lambda = \beta x + \gamma y$ . Since  $\lambda \in M_0(X)$ , we must have  $\beta = -\gamma$ . From the inequalities (1) and (2) it follows that  $|1 + \beta| \leq 1$  and  $|1 - \beta| \leq 1$ . Hence  $\beta = 0$ ,  $\gamma = 0$  and  $\lambda = 0$ . ■

*Proof of Theorem 5.1.* Let  $E$  (respectively  $F$ ) be the Banach quotient of  $C(X)$  (respectively  $C(Y)$ ) by the one-dimensional subspace of constants. Since  $\psi$  maps constants to constants, there exist a map  $\Lambda : E \rightarrow F$  such that  $\Lambda(\tilde{f}) = \widetilde{\psi(f)}$  for every  $f \in C(X)$ , where the wave denotes the class of a function modulo constants. We claim that  $\Lambda$  is continuous. Let  $u \in E$  be such that  $\|u\| < 1$ . Pick  $f \in C(X)$  so that  $\tilde{f} = u$  and  $\|f\| < 1$ . Then  $\text{Ran } f$  is contained in the unit disc, hence  $\text{diam Ran } \psi(f) = \text{diam Ran } f \leq 2$ . Pick  $c \in \widetilde{\text{Ran } \psi(f)}$  and put  $g = \psi(f) - c \in C(Y)$ . Then  $\|g\| \leq 2$  and  $\tilde{g} = \widetilde{\psi(f)} = \Lambda(\tilde{f}) = \Lambda(u)$ , hence  $\|\Lambda(u)\| \leq \|g\| \leq 2$ . Thus  $\|\Lambda\| \leq 2$ . The Banach dual  $E^*$  of  $E$  can be identified with the hyperplane  $M_0(X)$  in  $M(X)$  of measures of full mass 0. Let  $T_1 = \{\alpha(x - y) : x, y \in X, \alpha \in \mathbb{C}, |\alpha| = 1\} \subset E^* = M_0(X)$ , and define  $T_2 \subset F^* = M_0(Y)$  similarly, replacing  $X$  by  $Y$ . The polar  $T_1^\circ \subset E$  of  $T_1$  is equal to  $\{\tilde{f} \in E : f \in C(X), d(f) \leq 1\}$ . Similarly,  $T_2^\circ = \{\tilde{f} \in F : f \in C(Y), d(f) \leq 1\}$ . Since  $\psi$  is diameter-preserving,  $\Lambda$  establishes a bijection between  $T_1^\circ$  and  $T_2^\circ$ . It follows that the map  $\Lambda^* : M_0(Y) \rightarrow M_0(X)$  dual to  $\Lambda$  establishes a bijection between  $T_2^{\circ\circ}$  and  $T_1^{\circ\circ}$ . Lemma 5.2 implies that  $\Lambda^*(T_2) = T_1$ .

Fix  $a \in Y$  and put  $g(y) = \Lambda^*(y - a)$  for every  $y \in Y$ . Then  $g$  is a map from  $Y$  to  $T_1$  such that  $\Lambda^*(x - y) = g(x) - g(y)$  for all  $x, y \in Y$ . Put  $P = g(Y)$ . We have  $P \subset T_1$  and  $P - P \subset \Lambda^*(T_2) = T_1$ . For every  $c \in X$  and  $\alpha \in \mathbb{C}$  with  $|\alpha| = 1$  let  $\alpha S_c = \{\alpha(x - c) : x \in X\} \subset T_1$ . It is easy to see that every subset  $A$  of  $T_1$  such that  $A - A \subset T_1$  is contained in  $\alpha S_c$  for some  $c \in X$  and  $\alpha \in \mathbb{C}$ ,  $|\alpha| = 1$ . Indeed, pick a point  $p_1 = \alpha x - \alpha y \in A$ . Every other point  $p_2 \in A$  must be of the form  $\alpha x - \alpha z$  or  $\alpha z - \alpha y$ , since  $p_1 - p_2 \in T_1$ . Suppose, for example, that  $p_2 = \alpha x - \alpha z$ . Then any point  $p_3 \in A$  must be of the form  $\alpha x - \alpha u$ , since both  $p_1 - p_3$  and  $p_2 - p_3$  are in  $T_1$ . It follows that  $A \subset -\alpha S_x$ .

Thus there exist  $c \in X$  and  $\alpha \in \mathbb{C}$ ,  $|\alpha| = 1$ , such that  $P \subset \alpha S_c$ . This means that for every  $x \in Y$  there exists  $h(x) \in X$  such that  $g(x) = \alpha h(x) - \alpha c$ . The function  $h : Y \rightarrow X$  is continuous, since  $h(x) = \alpha^{-1}g(x) + c$ . We have  $\Lambda^*(x - y) = g(x) - g(y) = \alpha(h(x) - h(y))$ . Since  $\Lambda^*$  is a bijection between  $T_2$  and

$T_1$ , it follows that  $h : Y \rightarrow X$  also is bijective. Hence  $h$  is a homeomorphism between  $Y$  and  $X$ .

Let  $h^* : E \rightarrow F$  be the isometry induced by  $h$ . Consider the map  $h^{**} : F^* \rightarrow E^*$ . The maps  $\Lambda^*$  and  $\alpha h^{**}$  coincide on the set  $T_2$ , since  $\Lambda^*(\beta(x-y)) = \beta\alpha(h(x) - h(y))$  for all  $x, y \in Y$ ,  $\beta \in \mathbb{C}$ ,  $|\beta| = 1$ . Since the polar  $T_2^\circ$  of  $T_2$  is bounded in  $F$  (it is easy to see that  $T_2^\circ$  is contained in the ball of radius two in  $F$ ), the linear subspace spanned by  $T_2$  is weakly\* dense in  $F^* = M_0(Y)$ . It follows that  $\Lambda^* = \alpha h^{**}$  and  $\Lambda = \alpha h^*$ . This means that for every  $f \in C(X)$  the functions  $\psi(f)$  and  $\alpha f \circ h$  represent the same element of  $F$ . In other words, the difference  $\psi(f) - \alpha f \circ h$  is constant. Denote this constant by  $t(f)$ . We have  $\psi(f)(y) = \alpha f(h(y)) + t(f)$  for every  $f \in C(X)$  and  $y \in Y$ . It is clear that  $t : C(X) \rightarrow \mathbb{C}$  is linear and that it is continuous whenever  $\psi$  is so. ■

#### REFERENCES

- [1] FONT, J., SANCHIS, M., An algebraic characterization of  $N$ -compactness (to appear in *Math. Japonica*).
- [2] FUCHS, L., "Infinite Abelian Groups. Vol. II", Academic Press, New York – London, 1973.
- [3] GYÖRY, M., MOLNER, L., Diameter preserving linear bijections of  $C(X)$ , *Arch. Math.*, **71** (4) (1998), 301–310.
- [4] EDA, K., KIYOSAWA, T., OHTA, H.,  $N$ -compactness and its applications, in "Topics in General Topology" (K.Morita, J.Nagata, eds.), Elsevier Science Publishers, 1989, 449–521.
- [5] SCHAEFER, H.H., "Topological Vector Spaces", Springer-Verlag, New York, 1971.