

## The Polarisation Constant for $JB^*$ -triples

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### INTRODUCTION

In [2], Harris showed that for any  $J^*$ -algebra,  $Z$ , the polarisation constant  $c(n, Z)$  satisfies

$$c(n, Z) \leq \frac{n^{n/2}(n+1)^{n+1/2}}{2^n n!} \quad \text{for all } n \in \mathbb{N}.$$

A norm estimate for a derivative of the generalised Möbius transformation on  $JB^*$ -triples is presented in Corollary 3.6 of [4]. This estimate allows us to extend the result of Harris to the case of  $JB^*$ -triples, whose open unit balls have the same rich holomorphic structure as those of  $J^*$ -algebras. The  $JB^*$ -triples are, indeed, exactly those Banach spaces whose open unit balls have a transitive group of biholomorphic automorphisms.

### NOTATION

Let  $E$  be a complex Banach space. Throughout,  $\mathcal{L}(E)$  will denote the set of all continuous linear mappings on  $E$  and the spectrum of  $T \in \mathcal{L}(E)$  is denoted  $\sigma(T)$ .

Let  $\mathcal{L}_s^n(E)$  denote the space of all continuous symmetric  $n$ -linear mappings:  $\underbrace{E \times \cdots \times E}_n \rightarrow \mathbb{C}$  and to each  $p \in \mathcal{L}_s^n(E)$  denote by  $\hat{p}$ , the associated  $n$ -homogeneous polynomial  $\hat{p}(x) = p(\underbrace{x, \dots, x}_n)$ . Then

$$c(n, E) := \inf\{M : \|p\| \leq M\|\hat{p}\| \text{ for all } p \in \mathcal{L}_s^n(E)\}.$$

Note that, by the Hahn-Banach theorem, there is no loss of generality in considering complex-valued mappings in the above rather than Banach space-valued mappings.

The number  $c(n, E)$  is called a polarisation constant for  $E$  and much effort has been taken to estimate  $c(n, E)$  for various classes of spaces (*c.f.* [2], [5] and [6]). We refer to [1] for a general survey on this topic.

The class of  $JB^*$ -triples includes all  $C^*$ -algebras,  $J^*$ -algebras,  $JB^*$ -algebras and all complex Hilbert spaces. A  $JB^*$ -triple,  $Z$ , has a natural triple product  $\{\cdot, \cdot, \cdot\} : Z \times Z \times Z \rightarrow Z$ , which is symmetric and linear in the outer variables and antilinear in the inner variable and satisfies the following properties:

- (i) the mapping  $a \square a \in \mathcal{L}(Z)$  (where  $a \square a(z) = \{a, a, z\}$ , for all  $z \in Z$ ) is Hermitian, for all  $a \in Z$ ;
- (ii)  $\sigma(a \square a) \geq 0$  and  $\|a \square a\| = \|a\|^2$  for all  $a \in Z$ ;
- (iii)  $\{\alpha, \beta, \{x, y, z\}\} = \{\{\alpha, \beta, x\}, y, z\} - \{x, \{\beta, \alpha, y\}, z\} + \{x, y, \{\alpha, \beta, z\}\}$  for all  $\alpha, \beta, x, y$  and  $z$  in  $Z$ .

For  $a \in Z$ , the mapping  $Q(a) : Z \rightarrow Z$  given by  $Q(a)(z) = \{a, z, a\}$  is antilinear.

An important class of elements in  $\mathcal{L}(Z)$  are the Bergman operators

$$B(x, y) = I_Z - 2x \square y + Q(x)Q(y) \in \mathcal{L}(Z), \quad \text{for all } x, y \in Z.$$

Let  $B = \{z \in Z : \|z\| < 1\}$  and  $\mathbb{D} = \{x \in \mathbb{C} : |x| < 1\}$ . The structure of  $Aut(B)$  is examined in [3], to which we also refer the reader for any unexplained concepts in  $JB^*$ -triples.

## RESULTS

In [2] the author proves the above-mentioned estimates for the polarisation constants of a  $J^*$ -algebra. The key estimate in his proof is that

$$\|(g'_z(0))^{-1}\| \leq \frac{1}{1 - \|z\|^2}$$

where  $g_z$  is the Möbius transformation in  $Aut(B)$  satisfying  $g_z(0) = z$ . This estimate is obvious in the case of  $J^*$ -algebras but is not at all transparent for  $JB^*$ -triples, even though it actually holds as an equality there.

For  $JB^*$ -triples

$$(g'_z(0))^{-1} = B(z, z)^{-1/2},$$

where  $B(z, z)^{-1/2}$  is defined in the sense of the functional calculus.

It is interesting to note that while  $B(z, z)^{-1/2}$  has positive spectrum it is not necessarily a Hermitian operator (cf. Example 4.5 in [4]). Nonetheless, it has been shown, Corollary 3.6 (i) in [4], that

$$\|B(z, z)^{-1/2}\| = \frac{1}{1 - \|z\|^2}.$$

This will be the key to extending inequalities for  $J^*$ -algebras to the case of  $JB^*$ -triples.

Once this fact is noticed, Harris's proof extends directly to  $JB^*$ -triples. We consider it worthwhile to reproduce the proof again here, as its elegance is somewhat overshadowed by the many results presented in [2].

**THEOREM.** *Let  $Z$  be a  $JB^*$ -triple. Then*

$$c(n, Z) \leq \frac{n^{n/2}(n+1)^{n+1/2}}{2^n n!} \quad \text{for all } n \in \mathbb{N}.$$

*Proof.* Let  $h : B \rightarrow \overline{\mathbb{D}}$  be a holomorphic mapping. Fix  $z$  arbitrary in  $B$  and let  $f = h \circ g_z$ . By the Cauchy inequalities  $\|f'(0)\| \leq 1$  and since  $f'(0) = h'(z)B(z, z)^{1/2}$  it follows that

$$\|h'(z)\| \leq \|B(z, z)^{-1/2}\| = \frac{1}{1 - \|z\|^2}.$$

Take  $p \in \mathcal{L}_s^n(E)$  and let  $\hat{p}$  be its associated  $n$ -homogeneous polynomial. We assume, without loss of generality, that  $\|\hat{p}\| = 1$ .

For any  $z$  and  $a$  in  $Z$ ,

$$\hat{p}'(z)a = np(\underbrace{z, \dots, z}_{n-1}, a).$$

In particular, for  $z \in B$  and  $a \in \overline{B}$  it follows from the above that

$$\|p(\underbrace{z, \dots, z}_{n-1}, a)\| \leq \frac{1}{n(1 - \|z\|^2)}.$$

Fix  $b$  in  $Z$  with  $\|b\| = 1$ , arbitrary. Replacing  $z$  by  $tb$  with  $0 < t < 1$  we get

$$\|p(\underbrace{b, \dots, b}_{n-1}, a)\| \leq \frac{1}{nt^{n-1}(1 - t^2)}.$$

The right hand side is minimised by  $t = \sqrt{\frac{n-1}{n+1}}$  and therefore

$$\|p(\underbrace{b, \dots, b}_{n-1}, a)\| \leq \frac{(n+1)^{\frac{n+1}{2}}}{2n(n-1)^{\frac{n-1}{2}}}.$$

Applying this  $n-1$  times gives the result. ■

In exactly the same way it can be shown that further estimates obtained by Harris in [2] for  $J^*$ -algebras also hold for  $JB^*$ -triples.

Let  $h$  be a holomorphic mapping defined on an open subset  $U$  of  $Z$  and let  $x \in U$ . Let  $h^{(n)}(x)$  denote the symmetric continuous  $n$ -linear mapping on  $Z$  corresponding to the  $n$ -th order Fréchet derivative of  $h$  at  $x$ .

Using the same notation as in [2], let  $M_{n,m}(r)$  be the supremum of  $\|h^{(n)}(x)\|$  where the supremum is taken over all  $x$  in  $\{z \in Z : \|z\| = r\}$  and over all holomorphic mappings  $h : B \rightarrow \overline{\mathbb{D}}$  satisfying  $h(0) = 0, h'(0) = 0, \dots, h^{(m-1)}(0) = 0$  (where, for  $m = 0$ , no condition is imposed).

Mimicking [2], we get the following:

COROLLARY. For  $Z$  any  $JB^*$ -triple

$$M_{n,m}(r) \leq \left( \frac{m^m(m+1)^{m+1}}{2^{2n}(m-n+1)^{m-n+1}(m-n)^{m-n}} \right)^{1/2} r^{m-n}$$

for all  $r$  with  $0 \leq r \leq \sqrt{1-n/m} \sqrt{1-n/(m+1)}$  and  $1 \leq n < m$ .

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