

Lower Bounds for Parallel Arithmetic Computations¹

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Arithmetic networks over a field K (see [5]) are *arithmetic circuits*, using inputs, constants from the ground field K , gates from $\{+, -, *, /\}$, boolean gates $\{\vee, \wedge\}$ with inputs in $\{\mathbf{T}, \mathbf{F}\}$, selections gates and *sing* gates, of the $\text{sing}(a, \underline{a})$, that outputs a boolean value according to whether the sing of a agrees with \underline{a} , where:

- i) If our field K is an algebraically closed field, the sing condition $\underline{a} \in \{=, \neq\}$.
- ii) If our field K is a real closed field or $K = \mathbb{Q}$, the sing condition $\underline{a} \in \{>, =, <\}$.

When dealing with arithmetic circuits –that use only arithmetic gates–, it was shown in [6] that the degree of the rational function they compute is a lower bound for its depth. This is not the case for arithmetic networks, as it was shown in [5], where a nice counterexample is exhibited. For general infinite fields, one can use the “thick-degree” –the maximum of the degrees in the Zariski dense pieces– as lower bound. For algebraically closed fields, a “ $\log_2 \log_2(\text{degree})$ ” lower bound can be given (see [5]).

Now, for K algebraically closed, let us consider the set

$$V = \bigcup_{n \in \mathbb{N}} \{x \in K : x^{2^n} = 1\} .$$

Let f be the characteristic function of the above set. Applying [5], the best lower bound one can get for the depth of any arithmetic network that computes f is $\log_2 n$, since the thick degree is constant. Nevertheless, it seems quite reasonable that this function cannot be solved by any “*polylog*” depth parallel algorithm. Our following analysis of lower bounds shows, in particular, that this problem can not be solved in less than \sqrt{n} parallel steps.

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For any field K and any constructible set W over K denote by $\beta_K(W)$ the number of (semialgebraic) connected components of W if K is a real closed field or the number of connected components with non-empty interior of the euclidean closure of W in \mathbb{R}^n if K is the field of the rational numbers. If K is an algebraically closed field containing the rationals then $\beta_K(W)$ denotes the number of connected components of $W \subset \mathbb{R}^{2n}$.

Assume N is an arithmetic network that evaluates a function

$$\phi : K^n \rightarrow K^m \times \{\mathbf{F}, \mathbf{T}\}^\nu.$$

Write $\phi = (\phi^A, \phi^B)$ where ϕ^A denotes its arithmetic part and ϕ^B denotes the boolean one. For $(x, y) \in K^2$ say $\Delta(x, y) = \mathbf{T}$ if $x - y = 0$ and $\Delta(x, y) = \mathbf{F}$ otherwise. For $(\epsilon, \delta) \in \{\mathbf{F}, \mathbf{T}\}^m \times \{\mathbf{F}, \mathbf{T}\}^\nu$ define the set

$$W(\epsilon, \delta) = \{(x, y) \in K^n \times K^m : (\Delta(y_i - \phi_i^A(x)), \phi_i^B(x)) = (\epsilon_i, \delta_i)\}.$$

THEOREM. *The depth of N is in the class*

$$\Omega \left[\left\lfloor \frac{\log_2(\sup_{\epsilon, \delta} \beta_K(W(\epsilon, \delta)))}{n + m} - \log_2(m + \nu) \right\rfloor \right].$$

The length of an input $x \in K^*$ is the index of the last non-zero coordinate, i.e. $\text{length}(x) = \text{length}(x_1, \dots, x_n, \dots) = n$, where n is the last non-zero coordinate of x . The elements of K^* of length n can be identified with the subset of K^n with $x_n \neq 0$.

Assume K is either an algebraically closed field or a real closed field or $K = \mathbb{Q}$, NC_K is the class of subsets of K^* recognized by sequences of arithmetic networks with polylogarithmic depth and a polynomial number of processors (in the input length).

Assume K is an algebraically closed field or a real closed field. The class P_K is the class of all those arithmetic problems over K that can be accepted by a BSS-machine over K within time polynomial on the input length (see [2]).

COROLLARY. *For a field K either algebraically closed of characteristic zero or real closed, there is an arithmetic problem in P_K , which is not in NC_K . Moreover, this arithmetic problem can be chosen universal (i.e. its description does not depend on the ground field).*

DEFINITION. i) The class $P_{\mathbb{Q}}$ is the class of all arithmetic problems over \mathbb{Q} accepted by a BSS-machine within cost polynomial in the input size (see [2]).

ii) The class $GP_{\mathbb{Q}}$ (*genuinely polynomial time over \mathbb{Q}*) is the class of all arithmetic problems over \mathbb{Q} accepted by a rational *RAM* within time (uniform cost) polynomial in the input length.

COROLLARY. *There is an arithmetic problem over \mathbb{Q} in $P_{\mathbb{Q}} \cap GP_{\mathbb{Q}}$ which is not in $NC_{\mathbb{Q}}$.*

COROLLARY. *There is a language $\mathcal{L} \subset \mathbb{Q}^*$, such that $\mathcal{L} \in P$ and $\mathcal{L} \notin NC_{\mathbb{Q}}$.*

Assume K a real closed or algebraically closed field of characteristic zero. When speaking about K we consider the first order language L together with the non-logic symbols $\{a : a \in \mathbb{Q}\}, +, -, \times, =$. In the real closed case one also includes the relational symbol " $>$ " (see [3] and [4] for the complete details).

COROLLARY. *The quantifier elimination in the elementary theory of K is simply exponential in parallel time.*

Recall that the "Knapsack problem" is: Given (x_1, \dots, x_n) decide whether there is $S \subset \{1, \dots, n\}$ satisfying $\sum_{i \in S} x_i = 1$. Lower bounds for the sequential arithmetical complexity of this last problem can be found in [1].

COROLLARY. *Any parallel machine needs time at least $\Omega(\sqrt{n})$ to solve the "Knapsack problem". In particular the "Knapsack problem" is in $NP_{\mathbb{R}} \setminus NC_{\mathbb{R}}$.*

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