

BALANCED BIG COHEN-MACAULAY MODULES AND FLAT EXTENSIONS OF RINGS

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(1980) AMS subject classification

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Let  $(R, m)$  be a (commutative, Noetherian) local ring. A (not necessarily finitely generated)  $R$ -module is said to be a balanced big Cohen-Macaulay  $R$ -module (bbCM  $R$ -module) if any system of parameters for  $R$  is an  $M$ -sequence. A such  $R$ -module ever exists provided the ring  $R$  contains a field as a subring (see [Ho] Th.5.7 and [Ba-St]). Recently some questions concerning bbCM modules and flat extensions of rings have been settled. Let  $M$  be a bbCM  $R$ -module and  $p \in \text{Supp}_R(M)$  such that  $p \in \text{Ass}_R(M/(a_1, \dots, a_r)M)$  for some  $M$ -sequence  $a_1, \dots, a_r$ ; then  $M$  is a bbCM  $R_p$ -module provided  $R$  is catenary (Foxby, private communication, Takeuchi [Ta]). On the other hand Ogoma has constructed a counterexample for the above question in general ([Og] §5 II). It has also been proved that given a flat, integral extension of local rings  $R \rightarrow S$  and  $M$  a bbCM  $R$ -module then  $M \otimes_R S$  is a bbCM  $S$ -module and that the analogous result is not true when  $S = \hat{R}$ .

This paper deals with the following more general question: let  $R \rightarrow S$  be a flat morphism of local rings  $(R, m), (S, n)$ ; let  $M$  be a bbCM  $R$ -module. When is  $M \otimes_R S$  a bbCM  $S$ -module? We obtain necessary and sufficient conditions for the above question have an affirmative answer. In fact, let  $M$  be a bbCM  $R$ -module; we say that a prime ideal of  $\text{Spec}(R)$  belongs to the little support of  $M$  ( $\text{supp}_R(M)$ ) if there exists some integer  $i \geq 0$  such that  $\mu_R^i(p, M) \neq 0$ . By [Sh] Th.3.2  $p \in \text{supp}_R(M)$  if and only if  $p \in \text{Ass}_R(M/(a_1, \dots, a_r)M)$  for some  $M$ -sequence  $a_1, \dots, a_r$ .

THEOREM 1.- The following are equivalent:

- (i)  $M \otimes_R S$  is a bbCM  $S$ -module
- (ii)  $n(M \otimes_R S) \neq n(M \otimes_R S)$  and  $\forall q \in \text{supp}_S(M \otimes_R S)$ 
  - 1)  $h(q/pS) = \text{depth}(C_q)$
  - 2)  $h(q) + \dim(S/q) = \dim(S)$  , where  $p = q^C, C = S/pS$  and  $\bar{q} = qC$ .

COROLLARY 2 (O'Carroll [O'Ca]).- Let  $R \rightarrow S$  be a flat, integral extension of local rings and  $M$  a bbCM  $R$ -module. Then  $M \otimes_R S$  is a bbCM  $S$ -mod.

COROLLARY 3 (Foxby, private communication, Takeuchi [Ta]).- Let  $R$  be a catenary local ring and  $M$  a bbCM  $R$ -module. Then  $\forall p \in \text{supp}_R(M)$   $M_p$  is a bbCM  $R_p$ -module.

COROLLARY 4.- Let  $R$  be a local ring. Suppose that  $R$  is an homomorphic image of a Cohen-Macaulay ring and that  $M$  is a bbCM  $R$ -module. Then  $M \otimes_R \hat{R}$  is a bbCM  $\hat{R}$ -module.

COROLLARY 5.- Let  $R$  be a local ring. Suppose that  $R$  satisfies the second chain condition and that  $M$  is a bbCM  $R$ -module. Then  $M \otimes_R R^h$  is a bbCM  $R^h$ -module.

We also obtain the following counterexamples:

PROPOSITION 6.- Let  $R$  be a non catenary local domain containing a field. Then there exists a bbCM  $R$ -module such that  $M \otimes_R \hat{R}$  is not a bbCM  $\hat{R}$ -module.

PROPOSITION 7.- Any counterexample for the localization is a counterexample for the completion.

PROPOSITION 8.- There exists a local catenary domain  $R$  satisfying the first chain condition such that for any bbCM  $R$ -module  $M$   $M \otimes_R R^h$  is not a bbCM  $R^h$ -module.

Finally we remark that the family of rings whose bbCM modules localize is larger than catenary rings.

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