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## On the genus of $\mathbb{R}P^3 \times S^1$ \*

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### ABSTRACT

We continue the topological classification of closed connected orientable 4-manifolds according to the (regular) genus, as developed in a series of papers (see [3], [4], [5]). In particular, we prove that any closed prime orientable PL 4-manifold of genus six is topologically homeomorphic to a lens-fiber bundle over the 1-sphere. There are good reasons to conjecture that the genus six characterizes the topological product  $\mathbb{R}P^3 \times S^1$  of the real projective 3-space by the 1-sphere among closed connected prime orientable 4-manifolds.

### 1. Introduction

Through the paper we shall work in the piecewise linear (PL) category [16] and represent (PL) manifolds by means of edge-colored graphs, as shown for example in [1] and [8]. We recall now the main concepts and definitions used in the paper. For more details on graph theory and on the combinatorics of colored triangulations of manifolds see for example [1], [8] and [12]. An  $(n+1)$ -colored graph is a pair  $(\Gamma, \gamma)$ , where  $\Gamma = (V, E)$  is a finite multigraph, regular of degree  $n+1$ , and  $\gamma : E \rightarrow \Delta_n =$

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$\{i \in \mathbb{Z} : 0 \leq i \leq n\}$  is a coloring of the edges of  $\Gamma$ , i.e. any two adjacent edges of  $\Gamma$  have different colors. An  $n$ -pseudocomplex  $K(\Gamma)$  can be associated to  $(\Gamma, \gamma)$  by the following rules. We consider an  $n$ -simplex  $\sigma^n(v)$  for each vertex  $v$  of  $\Gamma$  and label its vertices by  $\Delta_n$ . If two vertices  $v$  and  $w$  are joined in  $\Gamma$  by a  $c$ -colored edge, then we identify the  $(n-1)$ -faces of the simplexes  $\sigma^n(v)$  and  $\sigma^n(w)$  opposite to the vertex labeled by  $c$ , so that equally labeled vertices are identified. Let  $\hat{c}$  denote the set  $\Delta_n \setminus \{c\}$ . For any subset  $B \subset \Delta_n$ , we set  $\Gamma_B = (V, \gamma^{-1}(B))$ . An  $(n+1)$ -colored graph  $(\Gamma, \gamma)$  is said to be a *crystallization* of a closed connected PL  $n$ -manifold  $M$  if  $\Gamma_{\hat{c}}$  is connected for any color  $c \in \Delta_n$  and the polyhedron  $|K(\Gamma)|$ , underlying  $K(\Gamma)$ , is (PL) homeomorphic to  $M$ , i.e.  $|K(\Gamma)| \cong M$ . We say that  $K(\Gamma)$  is a *contracted triangulation* of  $M$  and that  $\Gamma$  *represents*  $M$  and every homeomorphic space. It is well-known that any closed connected PL  $n$ -manifold admits a crystallization (see for example [8]). A 2-cell embedding  $f : |\Gamma| \rightarrow F$  of an  $(n+1)$ -colored graph  $(\Gamma, \gamma)$  into a closed connected surface  $F$  is called *regular* if there exists a cyclic permutation  $\epsilon = (\epsilon_0, \epsilon_1, \dots, \epsilon_n)$  of  $\Delta_n$  such that each region of  $f$  is bounded by a cycle with edges alternatively colored by  $\epsilon_i, \epsilon_{i+1}$  (indices mod  $n+1$ ). The *genus* of  $\Gamma$ , written  $g(\Gamma)$ , is the minimum genus of a closed connected surface into which  $\Gamma$  regularly embeds. The *regular genus*  $g(M)$  of a closed connected PL  $n$ -manifold  $M$  is the smallest  $g(\Gamma)$  over all crystallizations  $\Gamma$  of  $M$ . In this paper, we construct a 5-colored graph which represents the topological product  $\mathbb{RP}^3 \times \mathbb{S}^1$ . Then eliminating dipoles (see [8]) from this graph yields a crystallization of  $\mathbb{RP}^3 \times \mathbb{S}^1$ , which has order 40 and genus 6. This implies that  $g(\mathbb{RP}^3 \times \mathbb{S}^1) \leq 6$ . Then we show that the regular genus of this manifold equals 6 by using some results proved in [5]. Moreover, we classify the topological structure of closed connected prime orientable 4-manifolds of genus six. Indeed, these manifolds are proved to be homeomorphic to lens-fiber bundles over the 1-sphere. If further the manifold is spin, i.e. the second Stiefel-Whitney class vanishes, then the bundle is trivial so it is homeomorphic to either  $L(p, q) \times \mathbb{S}^1$ ,  $q \neq 0$ , or  $\mathbb{S}^1 \times \mathbb{S}^2 \times \mathbb{S}^1$ . Finally we conjecture that  $\mathbb{RP}^3 \times \mathbb{S}^1$  is the unique, up to homeomorphism, closed connected prime orientable 4-manifold of genus six.

## 2. A crystallization of $L(p, q) \times \mathbb{S}^1$

Let  $L(p, q)$  be the lens space of type  $(p, q)$ , where  $p, q$  are coprime integers such that  $p > q \geq 1$ . In this section we shall construct a simple crystallization of the topological product  $L(p, q) \times \mathbb{S}^1$ , whose genus is less or equal to  $6p - 6$ . This implies that  $g(\mathbb{RP}^3 \times \mathbb{S}^1) \leq 6$  as  $\mathbb{RP}^3 \cong L(2, 1)$ . Let  $K = K(p, q)$  denote the standard contracted triangulation of the lens space  $L(p, q)$ , as given in [1]. We triangulate

each 4-cell of  $K \times I$ ,  $I = [0, 1]$ , by taking the join over a complex from an opposite vertex, in some standard way. Then we label the vertices of each 4-cell by the elements of  $\Delta_4$  in a standard way, so that all vertices of each simplex of the previous triangulation are differently labeled. It is not yet convenient to identify the top of  $K \times I$  with the bottom of it because the quotient would not be a pseudocomplex. Therefore we consider a copy  $K \times J$ ,  $J = [1, 2]$ , of  $K \times I$ , which is triangulated as the previous one and label its vertices by the elements of  $\Delta_4$  too. Then we identify the top of  $K \times J$  with the bottom of  $K \times I$  in order to obtain a pseudocomplex  $\tilde{K} = \tilde{K}(p, q)$  triangulating the topological product  $L(p, q) \times \mathbb{S}^1$ . In Figure 1 we show the 5-colored graphs representing the pseudocomplexes  $K \times I$  and  $K \times J$  respectively, for the case  $(p, q) = (2, 1)$ .

We obtain now a (noncontracted) 5-colored graph  $\Gamma = \Gamma(p, q)$  representing  $\tilde{K}$  by using the rules discussed in Section 1. The graph  $\Gamma$  is isomorphic to the 1-skeleton of the dual cellular subdivision of  $\tilde{K}$ . Thus  $\Gamma$  has exactly  $32p$  vertices, which are the barycenters of the  $n$ -cells of  $\tilde{K}$ . The coloring of  $\Gamma$  is obtained by assigning to each edge the color of the vertex opposite to its dual 3-cell in  $\tilde{K}$ . For each cyclic permutation  $\epsilon$  of  $\Delta_4$ , the graph  $\Gamma$  regularly embeds into a closed connected orientable surface of genus  $g$ , according to the following table:

$\epsilon$	$g$
(01234)	$10p - 7$
(01243)	$8p - 3$
(01324)	$8p - 3$
(01342)	$5p + 1$
(01423)	$5p + 1$
(01432)	$4p + 1$
(02134)	$11p - 7$
(02143)	$8p - 3$
(02314)	$8p - 3$
(02413)	$6p + 1$
(03124)	$12p - 7$
(03214)	$11p - 7$ .

We simplify now  $\Gamma$  by deleting some dipoles (see [8]) in order to obtain a crystallization of  $L(p, q) \times \mathbb{S}^1$ . Eliminating dipoles of type 1 and the induced dipoles of types 2 and 3 produces a contracted graph (crystallization) representing  $L(p, q) \times \mathbb{S}^1$ , as shown in Figure 2 for the case  $(p, q) = (2, 1)$ .

Now it is very easy to check that the genus of this crystallization equals  $6p - 6$  by using the cyclic permutation  $\epsilon = (01432)$ . This implies that  $g(L(p, q) \times \mathbb{S}^1) \leq 6p - 6$ .

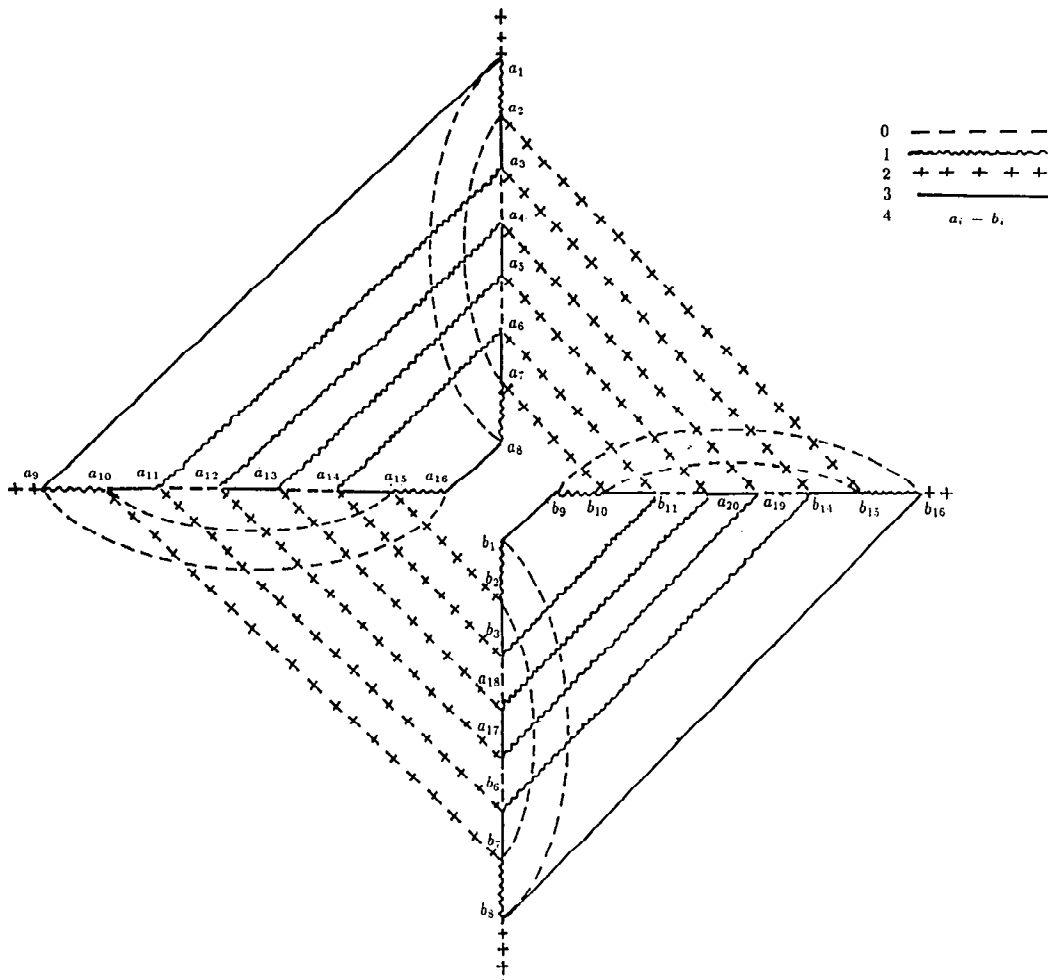


Fig. 1a: A noncontracted 5-colored graph representing  $\mathbb{R}P^3 \times [0, 1]$

In particular, we have  $g(L(2, 1) \times S^1) \leq 6$  as claimed. In summary, we have obtained the following result (use also [5] and [9] and Theorem 2 below).

**Proposition 1**

Let  $L(p, q)$  be the lens space of type  $(p, q)$ ,  $p > q \geq 1$ . Then the regular genus of the topological product  $L(p, q) \times S^1$  satisfies the inequalities

$$6 \leq g(L(p, q) \times S^1) \leq \min \{6p - 6, 4p + 1\}.$$

Furthermore, the regular genus of  $\mathbb{R}P^3 \times S^1$  is exactly 6.

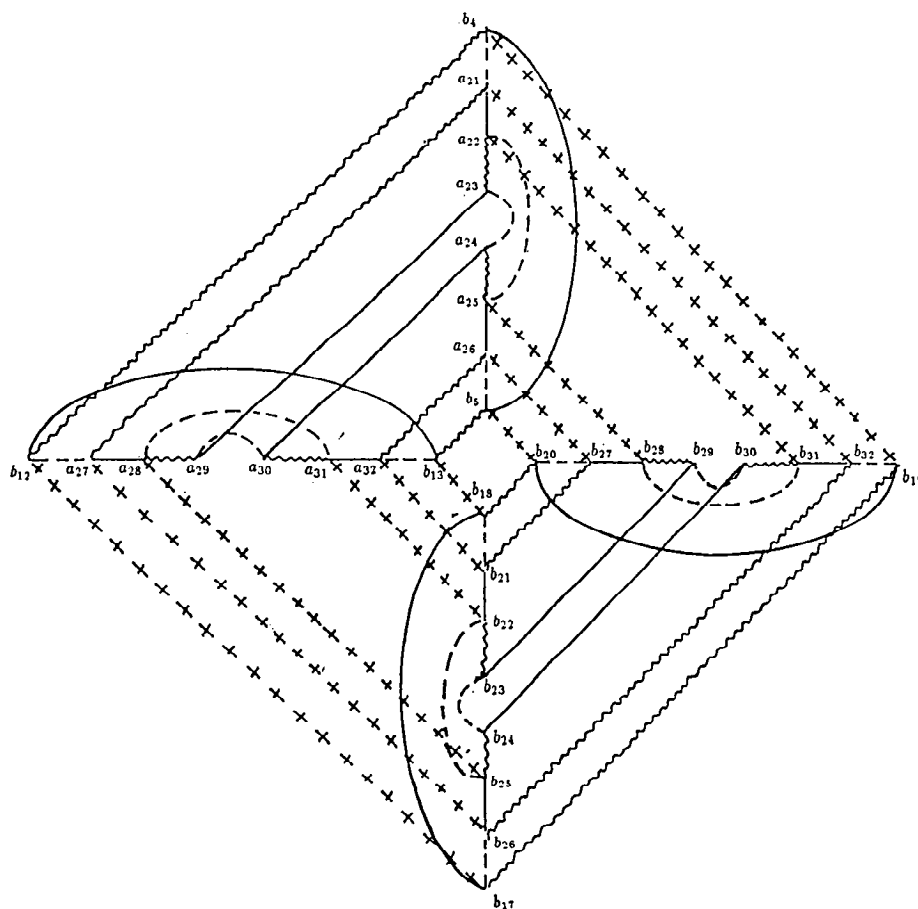


Fig. 1b: A noncontracted 5-colored graph representing  $\mathbb{R}P^3 \times [1, 2]$

### 3. Main results

In this section we shall study the topological structure of closed connected prime orientable (PL) 4-manifolds of genus 5 and 6. The closed 4-manifolds of genus  $g \leq 4$  are completely classified in [3], [4], [5]. Other results concerning the classification of closed connected orientable PL 5-manifolds up to regular genus seven can be found in [2]. We state our main results.

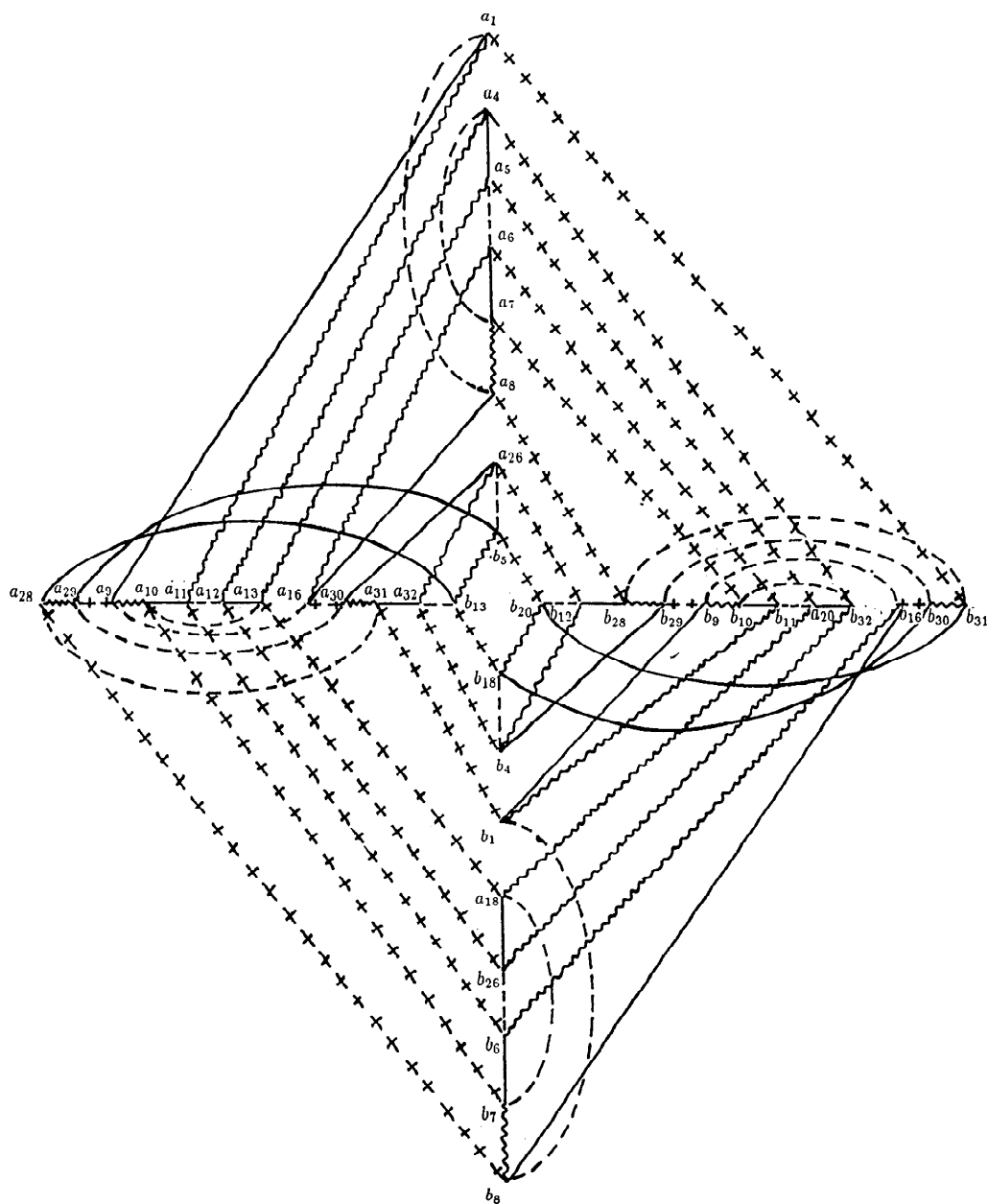


Fig. 2: A genus six crystallization of  $\mathbb{R}P^3 \times S^1$

**Theorem 2**

Let  $M$  be a smooth closed connected orientable prime 4-manifold of genus 5. Then  $M$  is homeomorphic to one of the following manifolds:  $\#_5(\mathbb{S}^1 \times \mathbb{S}^3)$ ,  $\#_3(\mathbb{S}^1 \times \mathbb{S}^3)\#CP^2$ ,  $CP^2\#CP^2\#(\mathbb{S}^1 \times \mathbb{S}^3)$ ,  $(\mathbb{S}^2 \times \mathbb{S}^2)\#(\mathbb{S}^1 \times \mathbb{S}^3)$ ,  $(\mathbb{S}^2 \times \mathbb{S}^2)\#(\mathbb{S}^1 \times \mathbb{S}^3)$ .

**Theorem 3**

Let  $M$  be a smooth closed connected orientable prime 4-manifold. If  $g(M) = 6$ , then  $M$  is homeomorphic to a lens-fiber bundle over the 1-sphere. If further  $M$  is spin, then  $M$  is homeomorphic to the topological product  $L(p, q) \times \mathbb{S}^1$ ,  $q \neq 0$ , possibly including the case  $L(0, 1) = \mathbb{S}^1 \times \mathbb{S}^2$ .

In order to prove these theorems, we recall some constructions and results given in [3], [4], [5]. Let  $M$  be a closed connected orientable smooth (or PL) 4-manifold. Let  $(\Gamma, \gamma)$  be a crystallization of  $M$  and  $\{v_i \mid i \in \Delta_4\}$  the vertex-set of  $K = K(\Gamma)$ . If  $\{i, j\} = \Delta_4 \setminus \{r, s, t\}$ , then  $K(i, j)$  (resp.  $K(r, s, t)$ ) represents the subcomplex of  $K$  generated by the vertices  $v_i, v_j$  (resp.  $v_r, v_s, v_t$ ). By  $g_{rst}$  (resp.  $g_{ij}$ ) we denote the number of edges (resp. triangles) of  $K(i, j)$  (resp.  $K(r, s, t)$ ). Note that  $g_{rst}$  and  $g_{ij}$  also represent the number of components of the subgraphs  $\Gamma_{\{r,s,t\}}$  and  $\Gamma_{\{i,j\}}$  respectively. If  $N = N(i, j)$  and  $N' = N(r, s, t)$  are regular neighborhoods of  $K(i, j)$  and  $K(r, s, t)$  respectively, then  $N$  and  $N'$  are complementary bordered 4-manifolds, i.e.  $M = N \cup N'$  and  $N \cap N' = \partial N = \partial N'$ . Following [3] and [4], we can always assume that  $(\Gamma, \gamma)$  regular embeds into the closed orientable surface of genus  $g = g(M)$  and of Euler characteristic  $\chi(M) = g_{01} + g_{12} + g_{23} + g_{34} + g_{40} - 3p$ , where  $p$  is the order of  $\Gamma$  divided by 2. As proved in [3], we have the following relations:

- |                                  |                                  |
|----------------------------------|----------------------------------|
| 1) $g_{013} = 1 + g - g_2 - g_4$ | 6) $g_{14} = g_{014} + g - g_0$  |
| 2) $g_{023} = 1 + g - g_1 - g_4$ | 7) $g_{02} = g_{012} + g - g_1$  |
| 3) $g_{024} = 1 + g - g_1 - g_3$ | 8) $g_{13} = g_{123} + g - g_2$  |
| 4) $g_{124} = 1 + g - g_0 - g_3$ | 9) $g_{24} = g_{234} + g - g_3$  |
| 5) $g_{134} = 1 + g - g_0 - g_2$ | 10) $g_{03} = g_{034} + g - g_4$ |

Furthermore, it was also proved that  $\chi(M) = 2 - 2g + \sum_i g_i$ , where  $g_i$  ( $0 \leq g_i \leq g$ ) is the genus of an orientable closed surface into which the subgraph  $\Gamma_i$  ( $i \in \Delta_4$ ) regularly embeds.

*Proof of Theorem 2.* If  $g = 5$ , then the sum  $R = g_{013} + g_{023} + g_{024} + g_{124} + g_{134} = 5 + 5g - 2 \sum_i g_i$  belongs to the set  $\{2h : 3 \leq h \leq 15, h \in \mathbb{N}\}$ . For  $12 \leq R \leq 30$ , the manifolds are topologically classified in [5]. In particular, if  $R = 30$ , then  $M \cong \#_5 \mathbb{S}^1 \times \mathbb{S}^3$ ; if  $R = 20$ , then  $M \cong \#_3 \mathbb{S}^1 \times S^3 \# \mathbb{C}P^2$ . The other cases in that range give a contradiction. We are going to consider the cases  $R \in \{6, 8, 10\}$ . If  $R = 6$ , then  $\sum_i g_i = 12$  and  $\chi(M) = 4$ . Because at least one of the  $g_{ijk}$ 's in  $R$  equals 1, the 4-manifold  $M$  is simply-connected, hence  $\chi(M) = 4$  implies that  $\beta_2(M) = 2$ . Here  $\beta_i(M)$  denotes the  $i$ -th Betti number of  $M$ . Now we consider the intersection form  $\lambda_M$  as a pairing  $H^2(M) \otimes H^2(M) \rightarrow \mathbb{Z}$  so defined:  $\lambda_M(x, y) = \langle x \cup y, [M] \rangle$ , where  $\cup$  and  $[M]$  denote the cup product and the fundamental class of  $M$  respectively. By Donaldson's theorems and Freedman's classification of simply-connected 4-manifolds (see for example [10], [11], [15]), we may have only the following cases:

- 1) If  $\lambda_M$  is positive (resp. negative) definite, then  $\lambda_M$  is isomorphic over the integers to  $(1) \oplus (1)$  (resp.  $(-1) \oplus (-1)$ ). Thus  $M$  is (TOP) homeomorphic to either  $\mathbb{C}P^2 \# \mathbb{C}P^2$  or  $(-\mathbb{C}P^2) \# (-\mathbb{C}P^2)$  respectively.
- 2) If  $\lambda_M$  is an odd indefinite form, then  $\lambda_M$  is isomorphic to  $(1) \oplus (-1)$ , hence  $M \cong \mathbb{C}P^2 \# (-\mathbb{C}P^2) \cong \mathbb{S}^2 \times \mathbb{S}^2$ .
- 3) If  $\lambda_M$  is an even indefinite form, then  $\lambda_M$  is isomorphic to the form

$$\omega = 2aE_8 + b \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix},$$

where  $\text{rank}(\omega) = 16|a| + 2|b|$ . Since  $\text{rank}(\lambda_M) = \text{rank}(\omega) = \text{rank}H_2(M) = 2$ , we obtain  $a = 0$  and  $b = 1$ , i.e.  $\lambda_M \cong \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ . Now the Freedman theorem (see [10], [11]) implies that  $M$  is (TOP) homeomorphic to  $\mathbb{S}^2 \times \mathbb{S}^2$ . All the cases give a contradiction because the above 4-manifolds have genus 4, as shown in [5].

If  $R = 8$ , then  $\sum_i g_i = 11$  and  $\chi(M) = 3$ . Because at least one of  $g_{ijk}$ 's in  $R$  equals 1, the 4-manifold  $M$  is simply-connected. The relation  $\chi(M) = 3$  implies that  $\beta_2(M) = 1$ , i.e.  $H_2(M) \simeq H^2(M) \simeq FH_2(M) \simeq \mathbb{Z}$ . Thus  $\lambda_M \cong (\pm 1)$ , hence  $M \cong \pm \mathbb{C}P^2$ . This gives a contradiction because  $g(\mathbb{C}P^2) = 2$ , as proved in [4].

If  $R = 10$ , then  $\sum_i g_i = 10$  and  $\chi(M) = 2$ . If at least one of  $g_{ijk}$ 's in  $R$  equals 1, then  $\Pi_1(M) = 0$ , hence  $\chi(M) = 2$  and  $\beta_2(M) = 0$ . Thus  $H_2(M) = 0$ ,  $\lambda_M \cong 0$  and  $M$  is homeomorphic to the 4-sphere  $\mathbb{S}^4$ . This gives a contradiction because  $g(\mathbb{S}^4) = 0$ .

If  $g_{ijk} \geq 2$ , then we obtain  $g_{013} = g_{023} = g_{024} = g_{124} = g_{134} = 2$ . Thus  $1 \leq \text{rank}\Pi_1(M) \leq 1 = g_{013} - 1$ , i.e. we have either  $\Pi_1(M) \cong \mathbb{Z}$  or  $\Pi_1(M) \cong \mathbb{Z}_n$ . If  $\Pi_1(M) \cong \mathbb{Z} \cong H_1(M)$ , then  $\chi(M) = 2$  implies that  $\beta_2(M) = 2$ , hence  $H_2(M) = \mathbb{Z} \oplus \mathbb{Z}$ . By (1),  $\dots$ , (5) we obtain  $g_0 = g_1 = g_2 = g_3 = g_4 = 2$ . By (6),  $\dots$ , (10)



it follows that  $g_{14} = g_{014} + 3$ ,  $g_{02} = g_{012} + 3$ ,  $g_{13} = g_{123} + 3$ ,  $g_{24} = g_{234} + 3$  and  $g_{03} = g_{034} + 3$ . Since  $g_{024} = 2$ , then  $K(1, 3)$  is formed by two vertices joined by exactly two edges, hence  $N(1, 3)$  is homeomorphic to  $\mathbb{S}^1 \times B^3$ . Furthermore,  $K(0, 2)$  and  $K(2, 4)$  are formed by two edges each one as  $g_{134} = g_{013} = 2$ . Because  $g_{13} = g_{123} + 3$ , the pseudocomplex  $K(0, 2, 4)$  has many triangles, but three, as there are edges in  $K(0, 4)$ . The Mayer-Vietoris sequence of the triple  $(M, N, N')$  becomes  $0 = H_3(N) \oplus H_3(N') \rightarrow H_3(M) \cong \mathbb{Z} \rightarrow H_2(\partial N) \cong \mathbb{Z} \rightarrow H_2(N) \oplus H_2(N') \rightarrow H_2(M) \cong \mathbb{Z} \oplus \mathbb{Z} \rightarrow H_1(\partial N) \cong \mathbb{Z} \rightarrow H_1(N) \oplus H_1(N') \rightarrow H_1(M) \cong \mathbb{Z} \rightarrow 0$ . Since  $H_2(N) \cong 0$  and  $H_1(N) \cong \mathbb{Z}$ , it follows that  $H_2(N') \cong \mathbb{Z}$ . Now the arguments discussed in [5] implies that  $M$  is homeomorphic to the connected sum  $\mathbb{S}^1 \times \mathbb{S}^3 \# \mathbb{CP}^2 \# \mathbb{CP}^2$ .

If  $\Pi_1(M) \cong \mathbb{Z}_n \cong H_1(M)$ , then we have  $H_3(M) \cong H^1(M) \cong FH_1(M) \oplus TH_0(M) \cong 0$ . Since  $\chi(M) = 2$ , it follows that  $\beta_2(M) = 0$ , hence  $H_2(M) \cong H^2(M) \cong FH_2(M) \oplus TH_1(M) \cong \mathbb{Z}_n$ . The Mayer-Vietoris sequence yields  $H_3(M) \cong 0 \rightarrow H_2(\partial N) \cong \mathbb{Z} \rightarrow H_2(N) \oplus H_2(N') \cong \mathbb{Z} \oplus \mathbb{Z} \rightarrow H_2(M) \cong \mathbb{Z}_n \xrightarrow{\alpha} H_1(\partial N) \cong \mathbb{Z} \rightarrow H_1(N) \oplus H_1(N') \cong \mathbb{Z} \oplus \mathbb{Z} \rightarrow \mathbb{Z}_n \rightarrow 0$ . This easily implies that  $\alpha = 0$ . Now the exact sequence  $0 \rightarrow \mathbb{Z} \rightarrow \mathbb{Z} \oplus \mathbb{Z} \rightarrow \mathbb{Z}_n \rightarrow 0$  yields  $n = 1$ , i.e.  $\Pi_1(M) \cong \mathbb{Z}_1 \cong 0$ . It follows that  $M$  is homeomorphic to  $\mathbb{S}^4$ , which is a contradiction. This completes the proof of Theorem 2.  $\square$

*Proof of Theorem 3.* If  $g = 6$ , then the sum  $R = 5 + 5g - 2 \sum_i g_i$  belongs to the set  $\{2h + 1 : 2 \leq h \leq 17, h \in \mathbb{N}\}$ . In [5], it was shown that: if  $R = 35$ , then  $M \cong \#_6 \mathbb{S}^1 \times \mathbb{S}^3$ ; if  $R = 25$ , then  $M \cong \#_4 (\mathbb{S}^1 \times \mathbb{S}^3) \# \mathbb{CP}^2$ ; if  $15 < R < 35$  and  $R \neq 25$ , there is a contradiction. So we have only to examine the cases  $R \in \{5, 7, 9, 11, 13, 15\}$ .

If  $R = 5$ , then  $\sum_i g_i = 15$  and  $\chi(M) = 5$ . Because at least one of the  $g_{ijk}$ 's in  $R$  equals 1, the manifold  $M$  is simply-connected, hence  $\chi(M) = 5$  implies that  $\beta_2(M) = 3$ . Thus we have  $H_2(M) \cong H^2(M) \cong FH_2(M) \oplus TH_1(M) = FH_2(M)$ , i.e.  $H_2(M) \cong \mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z}$ . The only possible values for the addendum of  $R$  are  $g_{013} = g_{023} = g_{024} = g_{124} = g_{134} = 1$ . Then the relations (1),  $\dots$ , (5) imply that  $g_0 = g_1 = g_2 = g_3 = g_4 = 3$ . By (6),  $\dots$ , (10) we obtain  $g_{14} = g_{014} + 3$ ,  $g_{02} = g_{012} + 3$ ,  $g_{13} = g_{123} + 3$ ,  $g_{24} = g_{234} + 3$  and  $g_{03} = g_{034} + 3$ . Since  $g_{023} = 1$ , the complex  $K(1, 4)$  consists of one edge, hence  $N(1, 4)$  is a 4-cell. Furthermore,  $K(0, 2)$  and  $K(0, 3)$  are formed by one edge each one as  $g_{134} = g_{124} = 1$ . Because  $g_{14} = g_{014} + 3$ , the complex  $K(0, 2, 3)$  contains many triangles, but three, as there are edges in  $K(2, 3)$ . The Mayer-Vietoris sequence of the triple  $(M, N, N')$  yields  $0 \rightarrow H_2(N) \oplus H_2(N') \rightarrow H_2(M) \cong \mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z} \rightarrow H_1(\partial N) \cong 0 \rightarrow H_1(N) \oplus H_1(N') \rightarrow H_1(M) \cong 0$ , hence  $H_1(N') \cong 0$  and  $H_2(N') \cong \mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z}$ . Then the arguments developed in [3], [4] and [5] imply that  $M$  is homeomorphic to the connected sum  $\#_3 (\pm \mathbb{CP}^2)$ .

If  $R = 7$ , then  $\sum_i g_i = 14$  and  $\chi(M) = 4$ . Because at least one of  $g_{ijk}$ 's in  $R$  equals 1, we have  $\Pi_1(M) \cong 0$ , hence  $\chi(M) = 4$  implies that  $\beta_2(M) = 2$ . Thus it

follows that  $H_2(M) \cong H^2(M) \cong \mathbb{Z} \oplus \mathbb{Z}$ , and hence  $M$  is homeomorphic to  $\#_2(\pm CP^2)$  by [10], [11] and [15].

If  $R = 9$ , then  $\sum_i g_i = 13$  and  $\chi(M) = 3$ . Because at least one of the  $g_{ijk}$ 's in  $R$  equals 1, we have  $\Pi_1(M) \cong 0$ , hence  $\chi(M) = 3$  implies that  $\beta_2(M) = 1$ . Thus we obtain  $H_2(M) \cong FH_2(M) \cong \mathbb{Z}$ . The addendum of  $R$  may assume the following values (up to circular permutations):

case	$g_{013}$	$g_{023}$	$g_{024}$	$g_{124}$	$g_{134}$
9.1	1	1	1	1	5
9.2	3	3	1	1	1
9.3	3	1	3	1	1
9.4	4	2	1	1	1
9.5	4	1	2	1	1
9.6	3	2	2	1	1
9.7	3	2	1	2	1
9.8	3	1	2	2	1
9.9	3	2	1	1	2
9.10	2	2	2	2	1

Case 9.1). We have the relations  $g_{\bar{0}} = g_{\bar{1}} = g_{\bar{2}} = 1$ ,  $g_{\bar{3}} = g_{\bar{4}} = 5$ ,  $g_{14} = g_{014} + 5$ ,  $g_{02} = g_{012} + 5$ ,  $g_{13} = g_{123} + 5$ ,  $g_{24} = g_{234} + 1$  and  $g_{03} = g_{034} + 1$ . Since  $g_{013} = 1$ , the pseudocomplex  $K(2, 4)$  consists of only one edge, hence  $N(2, 4)$  is a 4-cell. Furthermore,  $K(0, 3)$  and  $K(1, 3)$  are also formed by one edge each one as  $g_{124} = g_{024} = 1$ . Thus all triangles of  $K(0, 1, 3)$  have two edges in common. Because  $g_{24} = g_{234} + 1$ , the complex  $K(0, 1, 3)$  has many triangles, but one, as there are edges in  $K(0, 1)$ . Therefore  $K(0, 1, 3)$  collapses to a combinatorial 2-sphere formed by exactly two triangles  $T_1, T_2$  with common boundary. Thus  $M$  is homeomorphic to  $\pm CP^2$  as proved in [4]. This gives a contradiction as  $g(CP^2) = 2$ . Now one can easily verify that the other cases yield the same result.

If  $R = 11$ , then  $\sum_i g_i = 12$  and  $\chi(M) = 2$ . If at least one of the  $g_{ijk}$ 's in  $R$  equals 1, then we have  $\Pi_1(M) \cong 0$ , hence  $\chi(M) = 2$  implies that  $\beta_2(M) = 0$ , i.e.  $H_2(M) \cong FH_2(M) \cong 0$ . Thus  $M$  is homeomorphic to  $S^4$  which is a contradiction as  $g(S^4) = 0$ . If  $g_{ijk} \geq 2$ , then we have the unique case  $g_{013} = g_{024} = g_{124} = g_{134} = 2$  and  $g_{023} = 3$  (up to circular permutations). Thus it follows that  $1 \leq \text{rank } \Pi_1(M) \leq 1 = g_{ijk} - 1$ , hence we have either  $\Pi_1(M) \cong \mathbb{Z}$  or  $\Pi_1(M) \cong \mathbb{Z}_n$ . If  $\Pi_1(M) \cong H_1(M) \cong \mathbb{Z}$ , then  $\chi(M) = 2$  implies that  $\beta_2(M) = 2$ , hence  $H_2(M) \cong \mathbb{Z} \oplus \mathbb{Z}$ . Let  $M'$  be the closed 4-manifold obtained by killing the generator of  $\Pi_1(M)$ . It is well-known that the intersection forms  $\lambda_{M'}$  and  $\lambda_M$  are isomorphic (see for example[6]). Since  $H_2(M') \cong H_2(M) \cong \mathbb{Z} \oplus \mathbb{Z}$  and  $\Pi_1(M') \cong 0$ , the Freedman-Donaldson theorems imply that

either  $M' \cong (\pm CP^2) \# (\pm CP^2)$  or  $M' \cong \mathbb{S}^2 \times \mathbb{S}^2$ . Now it was proved in [7] that  $M$  is homeomorphic to the connected sum  $M' \# (\mathbb{S}^1 \times \mathbb{S}^3)$ . If  $\Pi_1(M) \cong H_1(M) \cong \mathbb{Z}_n$ , then we have  $H_3(M) \cong H^1(M) \cong FH_1(M) \oplus TH_0(M) = 0$ . Since  $\chi(M) = 2$ , we obtain  $\beta_2(M) = 0$ , that is  $H_2(M) \cong H^2(M) \cong FH_2(M) \oplus TH_1(M) \cong \mathbb{Z}_n$ . These facts produce a contradiction as shown in the proof of Theorem 2.

If  $R = 13$ , then  $\sum_i g_i = 11$  and  $\chi(M) = 1$ . If at least one of the  $g_{ijk}$ 's in  $R$  equals 1, then we have  $\Pi_1(M) \cong 0$  and  $H_3(M) \cong 0$ . Thus it follows that  $\chi(M) = 2 + \beta_2(M) \geq 2 \neq 1$ , which is a contradiction. Therefore  $g_{ijk} \geq 2$ ,  $\text{rank} \Pi_1(M) \leq 1$  and either  $\Pi_1(M) \cong \mathbb{Z}$  or  $\Pi_1(M) \cong \mathbb{Z}_n$ . If  $\Pi_1(M) \cong \mathbb{Z}_n$ , then we obtain a contradiction as before. If  $\Pi_1(M) \cong H_1(M) \cong \mathbb{Z}$ , then  $\chi(M) = 1$  implies that  $\beta_2(M) = 1$ , hence  $H_2(M) \cong FH_2(M) \cong \mathbb{Z}$ . The addendum of  $R$  may only assume the following values:

case	$g_{013}$	$g_{023}$	$g_{024}$	$g_{124}$	$g_{134}$
13.1	5	2	2	2	2
13.2	2	2	3	3	3
13.3	2	3	2	3	3
13.4	4	3	2	2	2
13.5	4	2	3	2	2

Case 13.1). We have  $g_0 = g_1 = 4$ ,  $g_2 = g_3 = g_4 = 1$ ,  $g_{14} = g_{014} + 2$ ,  $g_{02} = g_{012} + 2$ ,  $g_{13} = g_{123} + 5$ ,  $g_{24} = g_{234} + 5$  and  $g_{03} = g_{034} + 5$ . Since  $g_{023} = 2$ , the pseudocomplex  $K(1, 4)$  consists of two edges, hence  $N(1, 4)$  is homeomorphic to  $\mathbb{S}^1 \times B^3$ . Furthermore,  $K(0, 2)$  and  $K(0, 3)$  are also formed by two edges each one as  $g_{134} = g_{124} = 2$ . Because  $g_{14} = g_{014} + 2$ , the pseudocomplex  $K(0, 2, 3)$  contains many triangles, but two, as there are edges in  $K(2, 3)$ . The Mayer-Vietoris sequence of the triple  $(M, N, N')$  yields  $H_2(N') \cong \mathbb{Z}$ . Thus  $K(0, 2, 3)$  collapses to a combinatorial 2-sphere  $\mathbb{S}^2$ , formed by exactly two triangles  $T_1, T_2$  of  $K(0, 2, 3)$  with common boundary plus an edge  $e$  such that  $\text{Int } e \cap \mathbb{S}^2 = \emptyset$  and  $e \cap \mathbb{S}^2 = \partial e$ . Following [4] we obtain that  $M$  is homeomorphic to the connected sum  $(\pm CP^2) \# (\mathbb{S}^1 \times \mathbb{S}^3)$  which is a contradiction as this manifold has genus 3. Now one can verify that the other cases give the same contradiction.

If  $R = 15$ , then  $\sum_i g_i = 10$  and  $\chi(M) = 0$ . If all the  $g_{ijk}$ 's in  $R$  are greater than 3, then  $R \geq 20$ , which is a contradiction. Thus at least one of the  $g_{ijk}$ 's in  $R$  is less or equal 3, hence  $\beta_1(M) \leq 2$  and  $\text{rank } \Pi_1(M) \leq 2$ . If  $\beta_1(M) = 0$ , then  $\chi(M) = 2 + \beta_2(M) \geq 2 \neq 0$  which contradicts the relation  $\chi(M) = 0$ . If  $\beta_1(M) = 1$ , then  $FH_1(M) \cong \mathbb{Z}$  so  $\chi(M) = 0$  implies  $\beta_2(M) = 0$ . Since there is an epimorphism  $\Pi_1(M) \rightarrow \mathbb{Z}$ , the fundamental group  $\Pi_1(M)$  is an extension of  $\mathbb{Z}$  by a normal cyclic (finite or not) subgroup  $\mathbb{Z}_n$  as  $\text{rank } \Pi_1(M) \leq 2$  (note that  $\mathbb{Z}_0 \cong \mathbb{Z}$ ). But such an extension splits: a choice of element  $t \in \Pi_1(M)$  which projects to a generator of

$\mathbb{Z}$  determines a right inverse to the epimorphism  $\Pi_1(M) \rightarrow \mathbb{Z}$ . Let  $\theta \in \text{Aut}(\mathbb{Z}_n)$  determined by conjugation by  $t$  in  $\Pi_1(M)$ . Then  $\Pi_1(M)$  is isomorphic to either the semidirect product  $\mathbb{Z}_n \times_{\theta} \mathbb{Z}$ ,  $n \neq 0$ , or  $\mathbb{Z} \times \mathbb{Z}$  as  $M$  is orientable. Thus  $\Pi_1(M)$  has exactly two (resp. one) ends if  $\Pi_1(M) \cong \mathbb{Z}_n \times_{\theta} \mathbb{Z}$ ,  $n \neq 0$  (resp.  $\Pi_1(M) \cong \mathbb{Z} \times \mathbb{Z}$ ). If  $\Pi_1(M)$  has two ends, then the universal covering space  $\tilde{M}$  of  $M$  is homotopy equivalent to  $\mathbb{S}^3$  as  $\chi(M) = 0$  (see [13], Theorem 10). Let  $\hat{M}$  be the  $n$ -fold covering space of  $M$ . Since  $\hat{M}$  is a closed connected orientable 4-manifold with  $\chi(\hat{M}) = 0$ ,  $\Pi_1(\hat{M}) \cong \mathbb{Z}$  and  $\Pi_2(\hat{M}) \cong \Pi_2(\tilde{M}) \cong 0$ , it was proved in [6] that  $\hat{M}$  is homotopy equivalent to  $\mathbb{S}^1 \times \mathbb{S}^3$ . Now the results of [7] imply that  $\hat{M}$  is  $s$ -cobordant to  $\mathbb{S}^1 \times \mathbb{S}^3$ , and hence these manifolds are also topologically homeomorphic by [10]. Now the only possibilities for  $M$  are the finite quotients of  $\mathbb{S}^1 \times \mathbb{S}^3$ , i.e.  $M$  is topologically homeomorphic to a lens-fiber bundle over the 1-sphere as claimed. In particular, if  $\Pi_1(M) \cong \mathbb{Z}$ , then  $M$  is homeomorphic to  $\mathbb{S}^3 \times \mathbb{S}^1$  by [10]. This fact gives a contradiction as  $g(\mathbb{S}^3 \times \mathbb{S}^1) = 1$  (see [3]). If  $\beta_1(M) = 2$ , then  $\Pi_1(M) \cong H_1(M) \cong \mathbb{Z} \oplus \mathbb{Z}$  and  $\chi(M) = 0$  implies  $\beta_2(M) = 2$ . Since  $\chi(M) = 0$  and  $\Pi_1(M) \cong \mathbb{Z} \oplus \mathbb{Z}$  has one end, it follows from [13] that  $H^1(M; \Lambda) \cong H_3(M; \Lambda) \cong H_3(\tilde{M}) \cong 0$  and  $H^2(M; \Lambda) \cong H_2(M; \Lambda) \cong H_2(\tilde{M}) \cong \Pi_2(\tilde{M}) \cong \mathbb{Z}$ , where  $\Lambda = \mathbb{Z}[\Pi_1]$  is the integral group ring of  $\Pi_1(M)$ . Thus the universal covering space  $\tilde{M}$  is homotopy equivalent to the standard 2-sphere  $\mathbb{S}^2$  (see [13]). Furthermore, the manifold  $M$  is homotopy equivalent to an  $(\mathbb{S}^1 \times \mathbb{S}^2)$ -bundle over  $\mathbb{S}^1$ , as shown in [13], Corollary C, p.35. Now the results of [6] and [7] imply that  $M$  is also  $s$ -cobordant to an  $(\mathbb{S}^1 \times \mathbb{S}^2)$ -bundle over  $\mathbb{S}^1$ . Since  $\Pi_1(M) \cong \mathbb{Z} \times \mathbb{Z}$  is a polycyclic group, the orientable manifold  $M$  is just homeomorphic to the product  $\mathbb{S}^1 \times \mathbb{S}^2 \times \mathbb{S}^1$  since any  $s$ -cobordism is topologically a product for this class of fundamental groups. Thus, if  $M$  is a prime spin closed orientable 4-manifold of genus 6, then  $M$  is topologically homeomorphic to the product  $L(p, q) \times \mathbb{S}^1$ ,  $q \neq 0$ , possibly including the case  $L(0, 1) = \mathbb{S}^1 \times \mathbb{S}^2$ . This completes the proof of Theorem 3.  $\square$

Finally, we conjecture that the unique closed connected orientable prime 4-manifold of genus six is really the topological product  $\mathbb{RP}^3 \times \mathbb{S}^1$ . In fact, nowadays we are not able to construct a genus six crystallization for any lens-fiber bundles over  $\mathbb{S}^1$  which is different from  $\mathbb{RP}^3 \times \mathbb{S}^1$ .

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