

## The Bade property and the $\lambda$ -property in spaces of convergent sequences

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### ABSTRACT

In this note we study the Bade property in the  $\mathcal{C}(K, X)$  and  $c(X)$  spaces. We also characterize the spaces  $X = \mathcal{C}(K, \mathbb{R})$  such that  $c(X)$  has the uniform  $\lambda$ -property.

### 1. Introduction

Given a normed space  $X$ ,  $B_X$  denotes its closed unit ball,  $S_X$  the closed unit sphere of  $X$  and  $\text{Ext} B_X$  the set of extreme points of  $B_X$ .  $X$  is said to have the Bade property if  $\overline{\text{Co}(\text{Ext } B_X)} = B_X$ .

The following questions were developed by R.M. Aron and R.H. Lohman [2]: If  $x \in B_X$ , a triple  $(e, y, \lambda)$  is said to be amenable to  $x$  if  $e \in \text{Ext } B_X$ ,  $y \in B_X$ ,  $0 < \lambda \leq 1$  and  $x = \lambda e + (1 - \lambda) y$ . In this case, we define

$$\lambda(x) = \sup\{\lambda : (e, \lambda, y) \text{ is amenable to } x\},$$

and

$$\frac{1 - \|x\|}{2} \leq \lambda(x) \leq \frac{1 + \|x\|}{2}$$

is verified.  $X$  is said to have the  $\lambda$ -property if each  $x \in B_X$  admits an amenable triple. If, in addition,

$$\lambda(X) = \inf\{\lambda(x) : x \in B_X\} > 0,$$

then  $X$  is said to have the uniform  $\lambda$ -property.

In [3], it is shown that a Banach space  $X$  has the  $\lambda$ -property if and only if  $X$  has the convex series representation property, i.e. every point  $x$  in  $B_X$  can be written as an infinite series:

$$x = \sum_{n=1}^{\infty} \lambda_n e_n$$

where the points  $e_n \in \text{Ext}B_X$  and the scalars  $\lambda_n$  satisfy

$$\lambda_n \geq 0 \quad \text{and} \quad \sum_{n=1}^{\infty} \lambda_n = 1.$$

Let  $K$  be a compact Hausdorff space and let  $X$  be a normed space.  $\mathcal{C}(K, X)$  denotes the Banach space of all  $X$ -valued continuous functions  $f$  on  $K$ , with the uniform norm. As usual,  $\mathcal{C}(K)$  denotes the  $\mathcal{C}(K, X)$  space when  $X = \mathbb{R}$ . Bade's theorem states that  $\mathcal{C}(K)$  has the Bade property if and only if  $K$  is 0-dimensional (see [7] and [8]).

In [2], it is shown that if  $X$  has the  $\lambda$ -property then  $X$  has the Bade property, but it's also shown that the converse assertion is false by means of  $\mathcal{C}(K, \mathbb{C})$  where  $K$  is the unit ball of  $\mathbb{C}$ .

In [4] and [5] it's shown that if  $K$  is a compact Hausdorff space, then  $\mathcal{C}(K)$  has the  $\lambda$ -property if and only if  $K$  is 0-dimensional and, in this particular case,  $\mathcal{C}(K)$  has the uniform  $\lambda$ -property and  $\lambda(\mathcal{C}(K)) = 1/2$ . These results were also obtained independently by A. Suarez Granero.

Given a normed space  $X$ , the space of convergent sequences is denoted by  $c(X)$ , endowed with the supreme norm. In [2] it's shown that  $c(X)$  has the uniform  $\lambda$ -property when  $X$  is a strictly convex normed space. In [1] it's shown that if  $K$  is a 0-dimensional Hausdorff compact space and  $X$  is a strictly convex Banach space, then  $\mathcal{C}(K, X)$  has the uniform  $\lambda$ -property and, as a particular case, when  $K = \gamma \omega$  — Alexandroff's compactification of the discrete space  $\omega$  — we get that  $c(X)$  also has the uniform  $\lambda$ -property.

## 2. The Bade property in $\mathcal{C}(K, X)$ and $c(X)$ spaces

Let  $X$  be a normed space. It is easy to prove that  $X$  has the Bade property if and only if

$$\sup_{x \in B_X} f(x) = \sup_{x \in \text{Ext}B_X} f(x)$$

for every  $f: X \rightarrow \mathbb{R}$  continuous linear form.

**Lemma 2.1**

Let  $X$  be a normed space and let  $n \in \mathbb{N}$ ,  $n > 0$ . Let's consider the space  $X^n$ , with the norm

$$\|(x_1, \dots, x_n)\| = \max_{1 \leq i \leq n} \|x_i\|.$$

Then:

- a)  $(x_1, \dots, x_n) \in \text{Ext } B_{X^n}$  if and only if  $x_i \in \text{Ext } B_X$  for every  $i \in \{1, 2, \dots, n\}$ .
- b)  $X^n$  has the Bade property if and only if  $X$  has the Bade property.

*Proof.* We just want to show that whenever  $X$  has the Bade property, then  $X^n$  also has it.

Let  $f: X \rightarrow \mathbb{R}$  be a continuous linear form, and let  $\varepsilon > 0$ . Every  $(x_1, \dots, x_n) \in X^n$  verifies

$$f(x_1, \dots, x_n) = \sum_{i=1}^n f_i(x_i),$$

where, for every  $i \in \{1, \dots, n\}$ ,  $f_i: X \rightarrow \mathbb{R}$  is defined by

$$f_i(x) = f(0, \dots, \underset{i}{x}, \dots, 0).$$

For every  $i \in \{1, \dots, n\}$  there exists an  $e_i \in \text{Ext } B_X$  such that

$$f_i(e_i) + \frac{\varepsilon}{n} > \sup_{x \in B_X} f_i(x).$$

Hence we have that  $(e_1, \dots, e_n) \in \text{Ext } B_{X^n}$  and

$$f(e_1, \dots, e_n) + \varepsilon > \sup_{(x_1, \dots, x_n) \in B_{X^n}} f(x_1, \dots, x_n). \quad \square$$

**Proposition 2.2**

Let  $K$  be a compact Hausdorff space and let  $X$  be a normed space.

- a) If  $C(K)$  and  $X$  have the Bade property, then  $C(K, X)$  has the Bade property.
- b) If  $K$  is non-perfect and  $C(K, X)$  has the Bade property, then  $X$  has the Bade property.

*Proof.* a) If  $K$  is 0-dimensional, it can be easily proved that the subspace of the finite-valued functions is dense in  $\mathcal{C}(K, X)$ . Let  $f \in \mathcal{C}(K, X)$  and  $\varepsilon > 0$ . Since  $K$  is 0-dimensional, there exist  $\{x_1, \dots, x_n\} \subset B_X$  and a partition  $\{A_1, \dots, A_n\}$  of  $K$ , where the  $A_i$  are disjoint clopen subsets such that

$$\left\| f - \sum_{i=1}^n x_i \chi_{A_i} \right\| < \frac{\varepsilon}{2}.$$

By Lemma 2.1,  $X^n$  has the Bade property. Hence there exist

$$\{\beta_1, \dots, \beta_m\} \subset [0, 1] \quad \text{and} \quad (y_{ij})_{\substack{1 \leq i \leq m \\ 1 \leq j \leq n}} \subset \text{Ext } B_X$$

such that

$$\sum_{i=1}^m \beta_i = 1 \quad \text{and} \quad \left\| (x_1, \dots, x_n) - \sum_{i=1}^m \beta_i (y_{i1}, \dots, y_{in}) \right\| < \frac{\varepsilon}{2}.$$

For every  $i \in \{1, \dots, m\}$  we define

$$g_i = \sum_{j=1}^n y_{ij} \chi_{A_j} \quad \text{and} \quad g = \sum_{i=1}^m \beta_i g_i.$$

It's clear that  $g \in \text{Co}(\text{Ext } B_{\mathcal{C}(K, X)})$  and that  $\|f - g\| < \varepsilon$ .

b) Let  $x \in B_X$  and  $\varepsilon > 0$ . We define  $f: K \rightarrow X$  by  $f(t) = x$  for every  $t \in K$ . Since  $\mathcal{C}(K, X)$  has the Bade property there exist

$$\{\alpha_1, \dots, \alpha_n\} \subset [0, 1] \quad \text{and} \quad \{e_1, \dots, e_n\} \subset \text{Ext } B_{\mathcal{C}(K, X)}$$

such that

$$\sum_{i=1}^n \alpha_i = 1 \quad \text{and} \quad \left\| f - \sum_{i=1}^n \alpha_i e_i \right\| < \varepsilon.$$

Let  $t_0 \in K$  be such that  $\{t_0\}$  is a clopen subset of  $K$ . Every  $e \in \text{Ext } B_{\mathcal{C}(K, X)}$  verifies that  $e(t_0) \in \text{Ext } B_X$ . Therefore

$$\sum_{i=1}^n \alpha_i e_i(t_0) \in \text{Co}(\text{Ext } B_X) \quad \text{and} \quad \left\| x - \sum_{i=1}^n \alpha_i e_i(t_0) \right\| < \varepsilon. \quad \square$$

*Remark 2.3.* Aron and Lohman ([2], Th. 1.6) proved that if  $K$  is a compact metric space and  $X$  is a strictly convex normed space then  $\mathcal{C}(K, X)$  has the uniform  $\lambda$ -property (and, hence, the Bade property). As a consequence, it may happen that  $\mathcal{C}(K, X)$  has the Bade property but  $\mathcal{C}(K)$  does not have it (this occurs, for instance, when  $K = [0, 1]$ ). Proposition 2.2 a) gives us a sufficient condition for  $\mathcal{C}(K, X)$  to have the Bade property, if  $\mathcal{C}(K)$  and  $X$  have it (in this case  $K$  is 0-dimensional). We don't know if there exist spaces  $\mathcal{C}(K, X)$  with the Bade property such that neither  $\mathcal{C}(K)$  nor  $X$  have that property. Proposition 2.2 b) tells us that this cannot occur if  $K$  is non-perfect.

As a consequence of Proposition 2.2 we obtain:

**Corollary 2.4**

Let  $X$  be a normed space. Then  $X$  has the Bade property if and only if  $c(X)$  has the Bade property.

**3. The  $\lambda$ -property in  $c(X)$  when  $X = \mathcal{C}(K)$**

If  $c(X)$  has the  $\lambda$ -property (resp. the uniform  $\lambda$ -property), then  $X$  has the  $\lambda$ -property (resp. the uniform  $\lambda$ -property). J.C. Navarro [6] has obtained a Banach space  $X$ , in fact a 3-dimensional space, with the uniform  $\lambda$ -property such that the corresponding  $c(X)$  space has not the  $\lambda$ -property.

Nevertheless, as a consequence of 2.4,  $c(X)$  has the Bade property. This raises the question about geometric conditions, additional to the  $\lambda$ -property, on  $X$  that are necessary for  $c(X)$  to have the  $\lambda$ -property (or, the uniform  $\lambda$ -property).

**Proposition 3.1**

Let  $X$  be a normed space with the  $\lambda$ -property. If

$$x = (x_n)_{n \in \mathbb{N}} \in B_{c(X)}, \quad x_\infty = \lim_{n \rightarrow \infty} (x_n) \quad \text{and} \quad \|x_\infty\| < 1,$$

then  $x$  has an amenable triple.

*Proof.* Let  $\alpha \in \mathbb{R}$  be such that  $\|x_\infty\| < \alpha < 1$ , there exists a  $n_0 \in \mathbb{N}$  such that  $\|x_n\| < \alpha$  for every  $n \geq n_0$ . Let

$$\lambda < \min \left\{ \frac{1 - \alpha}{2}, \lambda(x_1), \dots, \lambda(x_{n_0}) \right\}.$$

For every  $n \in \mathbb{N}$ ,  $\lambda < \lambda(x_n)$  and also  $\lambda < \lambda(x_\infty)$ . Hence, there exists an amenable triple  $(e, y, \lambda)$  for  $x_\infty$ . Since

$$\lim_{n \rightarrow \infty} \|x_n - \lambda e\| = \|x_\infty - \lambda e\| \leq \|x_\infty\| + \lambda < 1,$$

there exists a  $n_1 \in \mathbb{N}$  such that  $\|x_n - \lambda e\| < 1 - \lambda$  for every  $n \geq n_1$ .

For  $n \leq n_1$ , let  $(e_n, y_n, \lambda)$  be an amenable triple for  $x_n$ . We consider the sequences  $(e_n)_{n \in \mathbb{N}}$  and  $(y_n)_{n \in \mathbb{N}}$ , where for  $n > n_1$ ,

$$e_n = e \quad \text{and} \quad y_n = \frac{x_n - \lambda e}{1 - \lambda},$$

then  $((e_n)_{n \in \mathbb{N}}, (y_n)_{n \in \mathbb{N}}, \lambda)$  is an amenable triple for  $x$ .  $\square$

*Remark 3.2.* Let's recall the fact that if  $K$  is a Hausdorff compact space then  $e \in \text{Ext } B_{C(K)}$  if and only if  $e = \chi_A - \chi_{A^c}$ , where  $A$  is a clopen subset of  $K$ .

**Proposition 3.3**

Let  $K$  be a 0-dimensional Hausdorff compact space and let  $X = C(K)$ . Then  $c(X)$  has the  $\lambda$ -property and  $\lambda(c(X)) = 1/2$ .

*Proof.* Let

$$x = (x_n)_{n \in \mathbb{N}} \in B_{c(X)} \quad \text{and} \quad x_\infty = \lim_{n \rightarrow \infty} (x_n)_{n \in \mathbb{N}}.$$

For every  $\lambda \in (0, 1/2)$  and  $\alpha \in (\lambda, 1/2)$  there exists an amenable triple  $(e, y_\infty, \alpha)$  for  $x_\infty$ . Let  $A$  be a clopen subset of  $K$  such that  $e = \chi_A - \chi_{A^c}$ . Since  $\|x_\infty - \alpha e\| \leq 1 - \alpha$ , we obtain:

a) If  $t \in A$ , then  $|x_\infty(t) - \alpha| \leq 1 - \alpha \implies -1 + 2\alpha \leq x_\infty(t) \leq 1$ .

b) If  $t \in A^c$ , then  $|x_\infty(t) + \alpha| \leq 1 - \alpha \implies -1 \leq x_\infty(t) \leq 1 - 2\alpha$

Since  $\alpha - \lambda \geq 0$ , there exists  $n_0 \in \mathbb{N}$  such that  $\|x_n - x_\infty\| \leq \alpha - \lambda$  for every  $n > n_0$ . Therefore it follows that:

a) If  $t \in A$ , then  $1 \geq x_n(t) \geq -\alpha + \lambda + x_\infty(t) \geq -1 + 2\lambda$ , and hence  $|x_n(t) - \lambda e(t)| \leq 1 - \lambda$ .

b) If  $t \in A^c$ , then  $-1 \leq x_n(t) \leq \alpha - \lambda + x_\infty(t) \leq 1 - 2\lambda$ , and hence  $|x_n(t) - \lambda e(t)| \leq 1 - \lambda$ .

For every  $n \leq n_0$ , we choose an amenable triple  $(e_n, y_n, \lambda)$  for  $x_n$ . Let's consider the sequences  $(e_n)_{n \in \mathbb{N}}$  and  $(y_n)_{n \in \mathbb{N}}$ , where  $e_n = e$  and

$$y_n = \frac{x_n - \lambda e}{1 - \lambda}$$

for every  $n > n_0$ . Then  $((e_n)_{n \in \mathbb{N}}, (y_n)_{n \in \mathbb{N}}, \lambda)$  is an amenable triple for  $x$ .  $\square$

*Remark 3.4.* An immediate consequence of the former proposition is that  $c(c)$  and  $c(\ell_\infty)$  have the uniform  $\lambda$ -property.

As a consequence of corollary 2.4, the proposition 3.3 and the results obtained in [4] and [5] it's quite apparent that:

**Corollary 3.5**

Let  $K$  be a Hausdorff compact space, then the following statements are equivalent:

- a)  $K$  is 0-dimensional.
- b)  $C(K)$  has the Bade property.
- c)  $c(C(K))$  has the Bade property.
- d)  $C(K)$  has the  $\lambda$ -property.
- e)  $C(K)$  has the uniform  $\lambda$ -property and  $\lambda(C(K)) = 1/2$ .
- f)  $c(C(K))$  has the  $\lambda$ -property.
- g)  $c(C(K))$  has the uniform  $\lambda$ -property and  $\lambda(C(C(K))) = 1/2$ .

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